

Commutative Algebra

lecture 9: Yoneda lemma and the fibered product

Misha Verbitsky

<http://verbit.ru/IMPA/CA-2022/>

IMPA, sala 232

January 24, 2022

Natural transformation of functors

DEFINITION: Let $F, G : \mathcal{C}_1 \rightarrow \mathcal{C}_2$ be functors on categories. **A natural transformation of functors** is a morphism $\Psi_X : F(X) \rightarrow G(X)$ such that for any $\varphi \in \text{Mor}(X, Y)$, one has $F(\varphi) \circ \Psi_Y = \Psi_X \circ G(\varphi)$.

REMARK: The condition $F(\varphi) \circ \Psi_Y = \Psi_X \circ G(\varphi)$ is expressed by a commutative diagram

$$\begin{array}{ccc} F(X) & \xrightarrow{F(\varphi)} & F(Y) \\ \Psi_X \downarrow & & \downarrow \Psi_Y \\ G(X) & \xrightarrow{G(\varphi)} & G(Y) \end{array}$$

REMARK: Equivalence of functors is a special case of a natural transformation of functors.

Representable functors and natural transformations

DEFINITION: Consider the functor $h_A : \mathcal{C} \rightarrow \mathit{Sets}$ taking $X \in \mathcal{O}b(\mathcal{C})$ to $\mathit{Mor}(A, X)$. We say that h_A **is represented by an object** $A \in \mathcal{O}b(\mathcal{C})$.

CLAIM: Let $\Phi : h_A \rightarrow F$ be a natural transformation of functors from \mathcal{C} to sets. **Then Φ is uniquely determined by the element $\Phi(\text{Id}_A) \in F(A)$.**

Proof: For any $\lambda \in \mathit{Mor}(A, B)$, we have a commutative diagram

$$\begin{array}{ccc} h_A(A) = \mathit{Mor}(A, A) & \xrightarrow{f \mapsto f \circ \lambda} & h_A(B) = \mathit{Mor}(A, B) \\ \Phi_A \downarrow & & \downarrow \Phi_B \\ F(A) & \xrightarrow{F(\lambda)} & F(B) \end{array}$$

Suppose that the top arrow takes Id_A to λ . Commutativity of this diagram implies that $\Phi_B(\lambda) = F(\lambda)(\Phi_A(\text{Id}_A))$, hence **the map $\Phi_B : \mathit{Mor}(A, B) \rightarrow F(B)$ is uniquely determined by $\Phi_A(\text{Id}_A) \in \mathit{Mor}(A, A)$.** ■

Yoneda lemma

This brings the following useful result.

THEOREM: (Yoneda lemma)

Let \mathcal{C} be a category, $A \in \text{Ob}(\mathcal{C})$, and $h_A : \mathcal{C} \rightarrow \text{Sets}$ the functor represented by A . Consider a functor $F : \mathcal{C} \rightarrow \text{Sets}$. Then **the set of natural transformations $h_A \rightarrow F$ is in bijective correspondence with $F(A)$.** ■

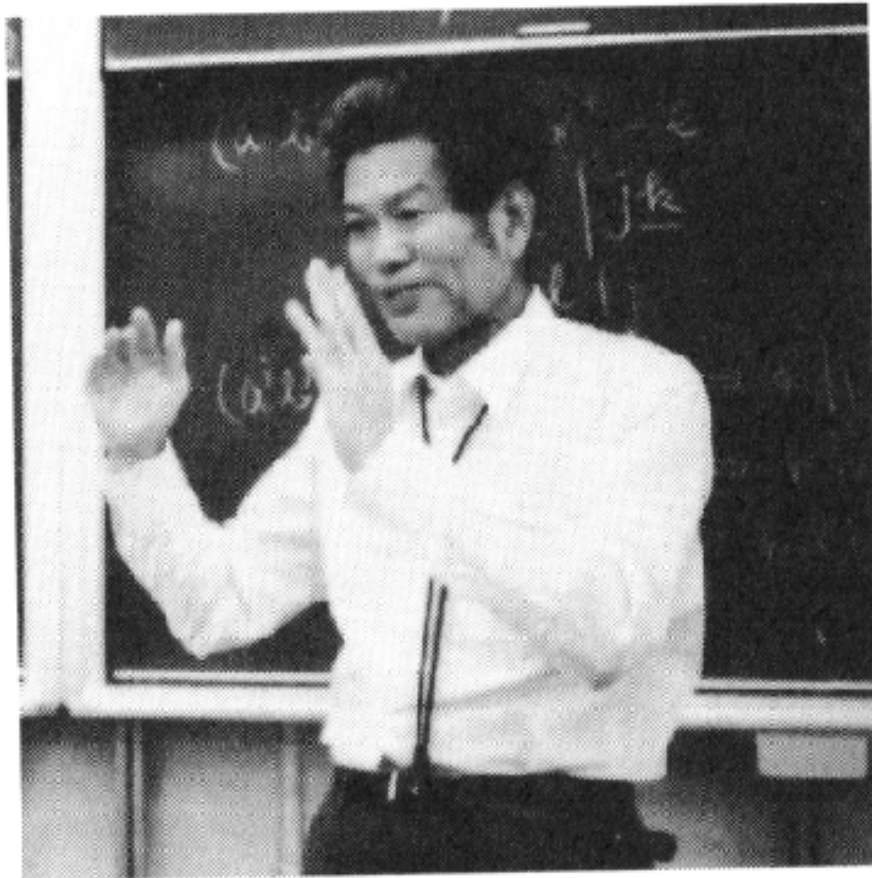
The functors $F : \mathcal{C} \rightarrow \text{Sets}$ form a category. Objects of this category are functors $F : \mathcal{C} \rightarrow \text{Sets}$, morphisms are natural transforms. Yoneda lemma **immediately implies that $\text{Mor}(h_A, h_B) = \text{Mor}(B, A)$.** We obtained the following statement.

CLAIM: Let \mathcal{C} be a category, and \mathcal{F} the category of representable functors $F : \mathcal{C} \rightarrow \text{Sets}$. **Then the contravariant functor $A \rightarrow h_A$ defines an equivalence of categories $\mathcal{C} \rightarrow \mathcal{F}^\circ$.** ■

REMARK: In particular, **an object A of category \mathcal{C} representing a given functor $h_A : \mathcal{C} \rightarrow \text{Sets}$ is uniquely up to an isomorphism determined by this functor.**

Yoneda lemma (2)

REMARK: The same is true for contravariant functors: **a category \mathcal{C} is equivalent to the category \mathcal{G} of contravariant functors $\mathcal{C}^{\circ} \rightarrow \mathit{Sets}$ represented by $h_A^{\circ}(X) = \mathit{Mor}(X, A)$.**



米田信夫

Yoneda Nobuo, (1930-1996)

Initial and terminal objects

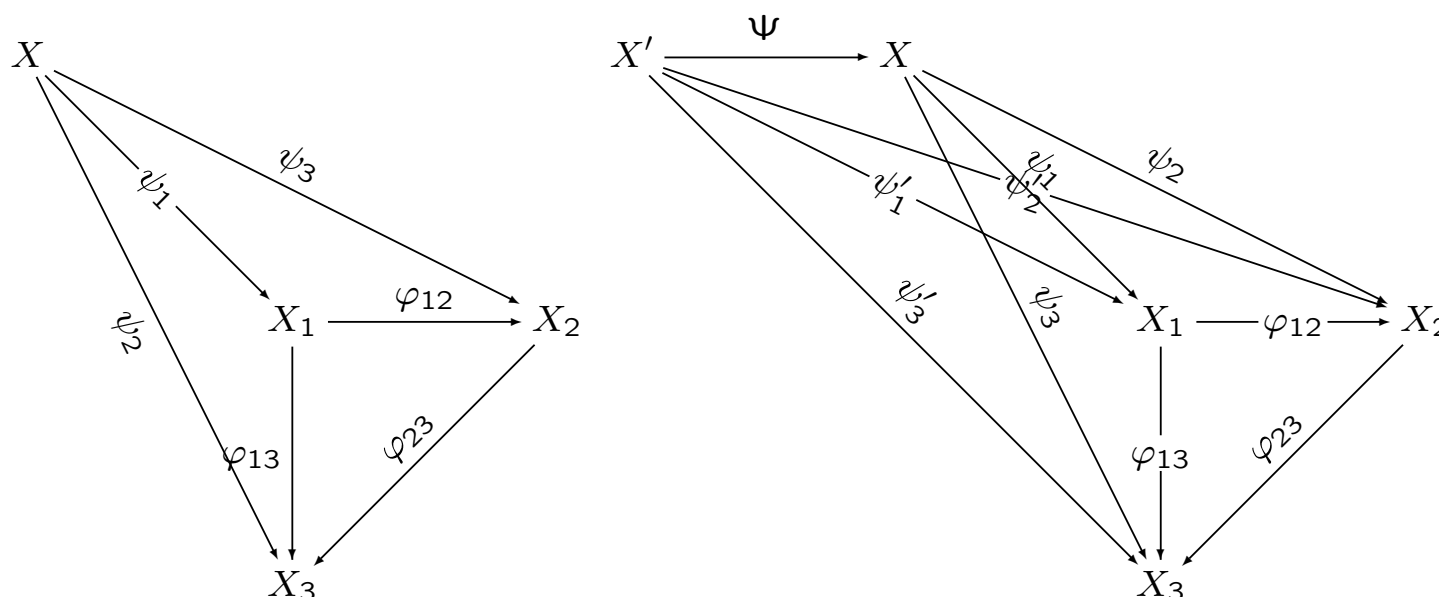
DEFINITION: Fix a directed graph (graph with arrows). Suppose that for each vertex of this graph we have an object of category \mathcal{C} , and each arrow corresponds to a morphism of the associated objects. These data is called **a diagram** in the category \mathcal{C} . It is called **commutative** if whenever there exist two ways of going from one vertex to another along directed arrows, the compositions of the corresponding arrows are equal.

DEFINITION: **An initial object** of a category is an object $I \in \mathcal{Ob}(\mathcal{C})$ such that $\text{Mor}(I, X)$ is always a set of one element. **A terminal object** is $T \in \mathcal{Ob}(\mathcal{C})$ such that $\text{Mor}(X, T)$ is always a set of one element.

EXERCISE: Prove that **the initial and the terminal object is unique.**

Limits and colimits of diagrams

DEFINITION: Let $S = \{X_i, \varphi_{ij}\}$ be a commutative diagram in \mathcal{C} , and $\vec{\mathcal{C}}_S$ be a category of pairs (object X in \mathcal{C} , morphisms $\psi_i : X \rightarrow X_i$, defined for all X_i) making the diagram formed by $(X, X_i, \psi_i, \varphi_{ij})$ commutative.



Morphisms $\text{Mor}(\{X, \psi_i\}, \{X', \psi'_i\})$, are morphisms $\Psi \in \text{Mor}(X, X')$, making the diagram formed by $(X, X', \psi_i, \psi'_i, \varphi_{ij})$ commutative. The terminal object in this category is called **limit**, or **inverse limit** of the diagram S .

DEFINITION: **Colimit**, or **direct limit** is obtained from the previous definition by inverting all arrows and replacing “terminal” by “initial”.

Products and coproducts

EXERCISE: Prove that the limit of $S = \{X_i, \varphi_{ij}\}$ is an object of \mathcal{C} representing the contravariant functor $\mathcal{C}^\circ \rightarrow \text{Sets}$ which takes $X \in \text{Ob}(\mathcal{C})$ to the set of all morphisms $\psi_i : X \rightarrow X_i$ making the above diagram commutative.

EXAMPLE: Let S be a diagram with two vertices X_1 and X_2 and no arrows. The inverse limit of S is called **the product** of X_1 and X_2 , and the direct limit **the coproduct**.

EXERCISE: Prove that **the product** $X_1 \times X_2$ is an object which represents the functor $A \rightarrow \text{Mor}(A, X_1) \times \text{Mor}(A, X_2)$ and **coproduct** is an object which represents the functor $A \rightarrow \text{Mor}(X_1, A) \times \text{Mor}(X_2, A)$.

EXAMPLE: Products in the category of sets, vector spaces and topological spaces are the usual products of sets, vector spaces and topological spaces (**check this**).

EXAMPLE: Coproduct in the category of groups is called **free product**, or **amalgamated product**. Coproduct of the group \mathbb{Z} with itself is called **the free group**. Coproduct in the category of vector spaces is also the usual product of vector spaces. Coproduct in the category of sets is disjoint union.

Preimage and diagonal (reminder)

Claim 2: Let $f : X \rightarrow Y$ be a morphism of algebraic varieties, $f^* : \mathcal{O}_Y \rightarrow \mathcal{O}_X$ the corresponding ring homomorphism, $Z \subset Y$ a subvariety, and I_Z its ideal. Denote by R_1 the quotient of a ring $R := \mathcal{O}_X \otimes_{\mathcal{O}_Y} (\mathcal{O}_Y/I_Z) = \mathcal{O}_X/f^*(I_Z)$ by its nilradical. **Then $\text{Spec}(R_1) = f^{-1}(Z)$.**

Proof: Clearly, the set of common zeros of the ideal $J := f^*(I_Z)$ contains $f^{-1}(Z)$. On the other hand, for any point $x \in X$ such that $f(x) \notin Z$ there exist a function $g \in J$ such that $g(x) \neq 0$. Therefore, $f^{-1}(Z) = V_J$, and strong Nullstellensatz implies that $\mathcal{O}_{f^{-1}(Z)} = R_1$. ■

Claim 3: Let M be an algebraic variety, $\Delta \subset M \times M$ the diagonal, and $I \subset \mathcal{O}_M \otimes_{\mathbb{C}} \mathcal{O}_M$ the ideal generated by $r \otimes 1 - 1 \otimes r$ for all $r \in \mathcal{O}_M$. **Then \mathcal{O}_Δ is $\mathcal{O}_M \otimes_{\mathbb{C}} \mathcal{O}_M/I$.**

Proof. Step1: By definition of the tensor product, $\mathcal{O}_M \otimes_{\mathbb{C}} \mathcal{O}_M/I = \mathcal{O}_M \otimes_{\mathcal{O}_M} \mathcal{O}_M = \mathcal{O}_M$, hence it is reduced. If we prove that $\Delta = V_I$, the statement of the claim would follow from strong Nullstellensatz.

Step 2: Clearly, $\Delta \subset V_I$. To prove the converse, let $(m, m') \in M \times M$ be a point not on diagonal, and $f \in \mathcal{O}_M$ a function which satisfies $f(m) = 0, f(m') \neq 0$. Then $f \otimes 1 - 1 \otimes f$ is non-zero on (m, m') . ■

Fibered product (reminder)

DEFINITION: Let $X \xrightarrow{\pi_X} M, Y \xrightarrow{\pi_Y} M$ be maps of sets. **Fibered product** $X \times_M Y$ is the set of all pairs $(x, y) \in X \times Y$ such that $\pi_X(x) = \pi_Y(y)$.

CLAIM: Let $X \xrightarrow{\pi_X} M, Y \xrightarrow{\pi_Y} M$ be morphism of algebraic varieties, $R := \mathcal{O}_X \otimes_{\mathcal{O}_M} \mathcal{O}_Y$, and R_1 the quotient of R by its nilradical. **Then $\text{Spec}(R_1) = X \times_M Y$.**

Proof: Let I be the ideal of diagonal in $\mathcal{O}_M \otimes_{\mathbb{C}} \mathcal{O}_M$. Since I is generated by $r \otimes 1 - 1 \otimes r$ (Claim 3), $R = \mathcal{O}_X \otimes_{\mathbb{C}} \mathcal{O}_Y / (\pi_X \times \pi_Y)^*(I)$. Applying Claim 2, we obtain that $\text{Spec}(R_1) = (\pi_X \times \pi_Y)^{-1}(\Delta)$. ■

Products and coproducts (2)

EXERCISE: Prove that **the product of algebraic varieties is their product in this category.**

EXERCISE: Prove that **coproduct of rings over \mathbb{C} in the category of rings is their tensor product.**

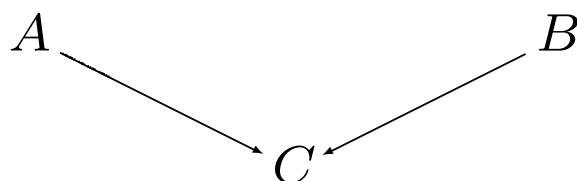
EXERCISE: Prove that **coproduct of reduced rings over \mathbb{C} in the category of reduced rings is the quotient of their tensor product by the nilradical.**

Since the category of algebraic varieties is equivalent to the category of finitely generated reduced rings, this gives another proof of the theorem.

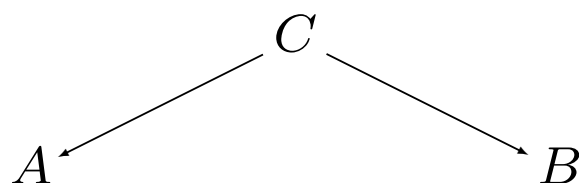
THEOREM: Let A, B be finitely generated reduced rings over \mathbb{C} . **Then**
 $\text{Spec}(A \otimes_{\mathbb{C}} B/I) = \text{Spec}(A) \times \text{Spec}(B)$, where I is nilradical.

Fibered product in categories

DEFINITION: Consider the following diagram:



Its limit is called **fibered product** of A and B over C . Colimit of the diagram



is called **coproduct** of A and B over C .

EXERCISE: Prove that the **fibered product of algebraic varieties is the same as their product in the category of algebraic varieties.**

EXERCISE: Prove that the **coproduct of rings A and B over C is $A \otimes_C B$.** Prove that the **coproduct of reduced rings A and B over C in the category of reduced rings is $A \otimes_C B/I$, where I is nilradical.**

Using strong Nullstellensatz again, we obtain

CLAIM: Let $X \xrightarrow{\pi_X} M, Y \xrightarrow{\pi_Y} M$ be morphisms of affine varieties, $R := \mathcal{O}_X \otimes_{\mathcal{O}_M} \mathcal{O}_Y$, and R_1 the quotient of R by its nilradical. **Then $\text{Spec}(R_1) = X \times_M Y$.**