

# **Complex analytic spaces**

**lecture 6: Germs of complex analytic varieties and Noetherianity**

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## Weierstrass preparation theorem (reminder)

**DEFINITION:** Let  $z_1, \dots, z_n$  be coordinate functions on  $\mathbb{C}^n$ . Denote the ring of germs of holomorphic functions on  $\mathbb{C}^n$  depending on  $z_1, \dots, z_k$  by  $\mathcal{O}_k$ . **A Weierstrass polynomial** is a function  $F \in \mathcal{O}_{n-1}[z_n]$ , with the leading coefficient 1. In other words,  $F = A_0 + z_n A_1 + \dots + a_{k-1} z_n^{k-1} + z_n^k$ , where  $A_i$  are germs of holomorphic functions on  $\mathbb{C}^n$  depending on  $z_1, \dots, z_{n-1}$ . **A Weierstrass polynomial is often written as  $P(z, z_n)$** , where  $z$  denotes the collection  $z_1, \dots, z_{n-1}$ .

### **THEOREM: (Weierstrass preparation theorem)**

Let  $F \in \mathcal{O}_n$  be a germ of a holomorphic function, with zero of order  $k$  in 0, and  $Q \in \mathbb{C}[z_1, \dots, z_n]$  its principal part. Assume that  $Q(0, z_n) \neq 0$ . **Then in a certain neighbourhood of 0,  $F$  can be decomposed as  $F = uP(z, z_n)$** , where  $u \in \mathcal{O}_n$  is an invertible holomorphic function, and  $P(z, z_n)$  a Weierstrass polynomial of degree  $k$ . Moreover, **such a decomposition is unique.**

**Proof:** Lecture 4.

**COROLLARY:** For any countable set of holomorphic functions  $f_1, f_2, \dots$ , **there exists a coordinate system such that all  $f_i$  satisfy the assumptions of the Weierstrass preparation theorem. ■**

## Weierstrass division theorem (reminder)

### THEOREM: (Weierstrass division theorem)

Let  $P(z, z_n) \in \mathcal{O}_{n-1}[z_n]$  be a Weierstrass polynomial of degree  $k$ , with  $P(0, z_n) = z_n^k$ . **Then every germ  $F \in \mathcal{O}_n$  can be written as  $F = hP + Q$** , where  $Q(z, z_n)$  is a Weierstrass polynomial of degree  $< k$ .

**Proof. Step 1:** Since  $\lim_{z_n \rightarrow 0} \frac{P(0, z_n)}{z_n^k} = 1$ , in a certain polydisc  $\Delta(n-1, 1) := B_r(z_1, \dots, z_{n-1}) \times \Delta_{r'}(z_n)$  we have  $P(z, z_n) \neq 0$  when  $|z_n| = r'$ . We will construct the decomposition  $F = hP + Q$  in this polydisc.

**Step 2:** Write

$$h(z, z_n) = \frac{1}{2\pi\sqrt{-1}} \int_{\partial\Delta} \frac{F(z, \zeta)}{P(z, \zeta)} \frac{1}{\zeta - z_n} d\zeta.$$

**By Proposition 1,  $Q := F - Ph$  is a polynomial in  $z_n$  of degree  $< k$** , with coefficients in  $\mathcal{O}_{n-1}$ , that is, a Weierstrass polynomial. ■

## Noetherian rings

**DEFINITION:** A ring  $R$  is called **Noetherian** if any increasing chain of ideals in  $R$  stabilizes: for any chain  $I_1 \subset I_2 \subset I_3 \subset \dots$  one has  $I_n = I_{n+1} = I_{n+2} = \dots$

**EXERCISE:** Prove that  $R$  is Noetherian if and only **if any ideal in  $R$  is finitely generated as an  $R$ -module.**

**EXERCISE:** Let  $R$  be a Noetherian ring and  $M$  a finitely generated  $R$ -module. **Prove that any submodule  $M_1 \subset M$  is also finitely generated.**

**THEOREM: (Hilbert basis theorem)**

**Any finitely generated ring is Noetherian.**

**Proof:** I will distribute a handout with the proof, if needed.

## Ring of germs in Noetherian

### THEOREM: (Emanuel Lasker, 1905)

**The ring  $\mathcal{O}_n$  of germs of holomorphic functions is Noetherian.**

**Proof. Step 1:** Let  $I \subset \mathcal{O}_n$  be an ideal, and  $P \in I$  a non-zero element. By WPT,  $P$  is a Weierstrass polynomial, up to an invertible multiplier. Dividing  $P$  by an invertible multiplier if necessary, we can assume that  $P(z, z_n)$  is a Weierstrass polynomial of degree  $k$ . Weierstrass division theorem implies that **the ring  $\mathcal{O}_n/(P)$  is generated by  $1, z_n, z_n^2, \dots, z_n^{k-1}$  over  $\mathcal{O}_{n-1}$ .**

**Step 2:** This implies that  $\mathcal{O}_n/(P)$  is finitely generated as an  $\mathcal{O}_{n-1}$ -module.

**Step 3:** Denote by  $\pi$  the natural homomorphism  $\mathcal{O}_n \rightarrow \mathcal{O}_n/(P)$ . Using induction, we may assume that  $\mathcal{O}_{n-1}$  is Noetherian. Then **the image  $\pi(I) \subset \mathcal{O}_n/(P)$  is finitely generated as a  $\mathcal{O}_{n-1}$ -module.**

**Step 4:** Let  $\xi_1, \dots, \xi_N$  be generators of  $\pi(I)$ , and  $\tilde{\xi}_1, \dots, \tilde{\xi}_N$  their preimages in  $I$ . **Then  $P, \tilde{\xi}_1, \dots, \tilde{\xi}_N$  generate  $I$  as an  $\mathcal{O}_n$ -module.** Since any ideal in  $\mathcal{O}_n$  is finitely generated,  $\mathcal{O}_n$  is Noetherian. ■

**COROLLARY: The ring  $\mathcal{O}_{Z,x}$  of germs of holomorphic functions on a complex variety is Noetherian.**

**Proof:** By definition, this ring is a quotient of  $\mathcal{O}_n$ . ■

## Emanuel Lasker



Emanuel Lasker  
(December 24, 1868 – January 11, 1941)

## Irreducible complex varieties

**DEFINITION:** A complex variety  $Z$  is called **irreducible** if it cannot be decomposed as a union of complex subvarieties  $Z = A_1 \cup A_2$  such that  $A_1 \not\subset A_2$  and  $A_2 \not\subset A_1$ .

**DEFINITION:** **An irreducible component** of a complex variety  $Z$  is an irreducible subvariety  $A \subset Z$  such that  $Z = A \cup B$ , for a complex subvariety  $B \subset Z$  such that  $A \not\subset B$  and  $B \not\subset A$ .

**DEFINITION:** **An irreducible decomposition** of a complex variety  $Z$  is a decomposition of  $Z$  into the union of its irreducible components.

**REMARK:** Just as in the category of algebraic varieties, **the irreducible decomposition of complex varieties always exists** (we prove this in the next lecture). However, there are two things which are different.

**EXERCISE:** Construct a connected complex variety **which has infinitely many irreducible components**.

**EXERCISE:** Construct an irreducible complex variety  $Z$  **and a connected open subset  $U \subset Z$  such that  $U$  is not irreducible**.

## Complex analytic subvarieties and their germs

**DEFINITION:** A complex analytic subset (or a complex analytic subvariety) of a complex manifold  $M$  is a closed subset  $Z \subset M$  locally defined as the set of common zeros of a collection of holomorphic functions.

**EXERCISE:** Prove that a finite union and any intersection of complex analytic subsets is a complex analytic subset.

**DEFINITION:** Let  $Z_1 \subset U_1, Z_2 \subset U_2$  be complex analytic subsets of open subsets  $U_i \subset M$  containing  $x \in M$ . We say that  $Z_1$  and  $Z_2$  have the same germ in  $x$  if  $Z_1 \cap U = Z_2 \cap U$  for some neighbourhood  $U \subset U_1 \cap U_2$  containing  $x$ . Clearly, this defines an equivalence relation. A germ of a complex analytic subset in  $x \in M$  is an equivalence class of complex-analytic subsets  $Z \subset U$  under this relation.

**EXERCISE:** Prove that a finite union and any intersection of germs of complex analytic subsets is a germ of a complex analytic subset.



## Irreducible germs of subvarieties

**DEFINITION:** Let  $A_1, A_2$  be germs of complex analytic subsets in  $x \in M$ . We say that  $A_1 \subset A_2$  if  $A_1 \cap U \subset A_2 \cap U$  for some open neighbourhood of  $x \in M$ .

**DEFINITION:** A germ  $Z$  of a complex analytic subset in  $x \in M$  is called **irreducible** if for any decomposition  $Z = A_1 \cup A_2$  into a union of two germs, we have  $A_1 \subset A_2$  or  $A_2 \subset A_1$ . **An irreducible component** of a germ  $Z$  is an irreducible germ  $Z_1 \subset Z$  such that  $Z = Z_1 \cup Z_2$ , for some other germ  $Z_2 \not\subset Z_1$ .

**EXERCISE:** Prove that **a germ of a smooth submanifold is irreducible.**

**EXERCISE:** Find an irreducible complex variety  $Z$  such that the germ of  $Z$  in  $x$  is not irreducible.

## Germs and ideals

**DEFINITION:** Let  $Z$  be a germ of a complex analytic subset of  $M$  in  $x$ , and  $f \in \mathcal{O}_{M,x}$  a germ of a function. We say that  $f$  **vanishes in  $Z$**  if there exists an open set  $U \ni x$ , a complex analytic subset  $Z_U \subset U$  and a function  $f_U \in \Gamma(\mathcal{O}_U)$  such that  $f$  is a germ of  $f_U$ ,  $Z$  is a germ of  $Z_U$ , and  $f_U|_{Z_U} = 0$ .

**DEFINITION:** An ideal  $I \subset R$  is called **radical** if  $R/I$  has no nilpotents.

**EXERCISE:** Let  $Z$  be a germ of a complex analytic subvariety in  $x \in M$ , and  $\text{Ann}(Z) \subset \mathcal{O}_{M,x}$  the ideal of all germs of holomorphic functions vanishing in  $Z$ . **Prove that  $\text{Ann}(Z)$  is a radical ideal.**

**THEOREM: (Rückert)**

**“Complex-analytic Nullstellensatz”**

Let  $Z$  be a germ of a complex analytic subvariety in  $x \in M$ , and  $\text{Ann}(Z) \subset \mathcal{O}_{M,x}$ . Then  $Z$  is uniquely determined by  $\text{Ann}(Z)$ . Moreover, **this correspondence defines a bijection between the set of germs of complex analytic subsets in  $x \in M$  and the set of radical ideals in  $\mathcal{O}_{M,x}$ .**

**Proof:** Next week. ■

## Irreducible subvarieties and prime ideals

**PROPOSITION:** A germ of subvariety  $Z \subset M$  is irreducible if and only if its ideal  $\text{Ann}(Z) \subset \mathcal{O}_n$  is prime.

**Proof. Step 1:** Suppose that we have a non-trivial decomposition  $Z = A \cup B$  onto a union of germs of subvarieties. Then there exist functions vanishing on  $B$  and not on  $A$  and functions vanishing on  $A$  and not on  $B$ . The product of such functions belongs to  $\text{Ann}(Z)$ , which is therefore not prime.

**Step 2:** Conversely, assume that  $\text{Ann}(Z)$  is not prime. Then there exists  $f, g \in \mathcal{O}_n$  which don't vanish on  $Z$ , such that  $fg \in \text{Ann}(Z)$ . Let  $A \subset Z$  be the zeros of  $f$  and  $B \subset Z$  be the zeros of  $g$ ; then  $Z = A \cup B$ , hence  $Z$  is not irreducible. ■