

# **Complex analytic spaces**

**lecture 22: The integral closure**

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## Spectrum of a ring (reminder)

**DEFINITION:** **Spectrum** of a ring  $R$  is the set  $\text{Spec } R$  of its prime ideals.

**DEFINITION:** Let  $J \subset R$  be an ideal, and  $V(J) \subset \text{Spec } R$  be the set of all prime ideals containing  $J$ . **Zariski topology** on  $\text{Spec } R$  is topology where all  $V(J)$  (and only those) are closed. Clearly,  $V(J_1) \cap V(J_2) = V(J_1 + J_2)$  and  $V(J_1) \cup V(J_2) = V(J_1 J_2)$ , hence finite unions and intersections of closed sets are closed.

**DEFINITION:** Let  $R$  be a ring,  $\text{Spec}(R)$  its spectrum and  $f \in R$ . **Affine open set** is an open set  $U_f := \text{Spec } R \setminus V(f)$ . We identify  $U_f$  with  $\text{Spec}(R[f^{-1}])$  (localization in  $f$ ).

**EXERCISE:** Prove that **finite intersection of affine open sets is affine**,  
 $U_f \cap U_g = U_{fg}$ .

**EXERCISE:** Prove that **affine open sets give a base of Zariski topology**.

## Affine schemes (reminder)

**DEFINITION:** The sheaf  $\mathcal{O}$  of regular functions on  $\text{Spec } R$  is defined as the sheaf which satisfies  $\mathcal{O}|_{U_f} = R[f^{-1}]$ , with restriction maps taking a function to its restriction to an open set.

**EXERCISE:** Prove that  $\mathcal{O}|_{U_f} = R[f^{-1}]$  is sufficient to define a sheaf, which is reconstructed uniquely from this property.

**DEFINITION:** A scheme is a ringed space  $(M, \mathcal{O})$ , which is locally isomorphic to an affine scheme with the sheaf of regular functions. In this situation sheaf  $\mathcal{O}$  is called **the structure sheaf** of the scheme, or **the sheaf of regular functions**.

**REMARK:** The structure sheaf **may contain nilpotents**. An **algebraic variety** is a scheme which does not have nilpotents in its structure sheaf.

**DEFINITION:** **Morphism of affine schemes** is a morphism of ringed spaces  $\text{Spec } A \rightarrow \text{Spec } B$  induced by a ring homomorphism  $B \rightarrow A$ . **Morphism of schemes** is a map of schemes which is given by morphisms of affine schemes in local affine charts.

## Dominant morphisms (reminder)

**DEFINITION: Zariski topology** on an algebraic variety is a topology where the closed subsets are algebraic subsets. **Zariski closure** of  $Z \subset M$  is an intersection of all Zariski closed subsets containing  $Z$ .

**EXERCISE:** Prove that **Zariski topology on  $\mathbb{C}$  coincides with the cofinite topology.**

**CAUTION: Zariski topology is non-Hausdorff.**

**DEFINITION: Dominant morphism** is a morphism  $f : X \rightarrow Y$ , such that  $Y$  is a Zariski closure of  $f(X)$ .

**PROPOSITION:** Let  $f : X \rightarrow Y$  be a morphism of affine varieties. **The morphism  $f$  is dominant if and only if the homomorphism  $\mathcal{O}_Y \xrightarrow{f^*} \mathcal{O}_X$  is injective.**

## Field of fractions (reminder)

**DEFINITION:** Let  $S \subset R$  be a subset of  $R$ , closed under multiplication and not containing 0. **Localization** of  $R$  in  $S$  is a ring, formally generated by symbols  $a/F$ , where  $a \in R$ ,  $F \in S$ , and relations  $a/F \cdot b/G = ab/FG$ ,  $a/F + b/G = \frac{aG+bF}{FG}$  and  $aF^k/F^{k+n} = a/F^n$ .

**DEFINITION:** Let  $R$  be a ring without zero divisors, and  $S$  the set of all non-zero elements in  $R$ . **Field of fractions** of  $R$  is a localization of  $R$  in  $S$ .

**CLAIM:** Let  $f : X \rightarrow Y$  be a dominant morphism, where  $X$  is irreducible. **Then  $Y$  is also irreducible.** Moreover,  $f^* : \mathcal{O}_Y \rightarrow \mathcal{O}_X$  **can be extended to a homomorphism of the fields of fractions.**  $k(Y) \rightarrow k(X)$ .

**DEFINITION:** A dominant morphism of irreducible varieties is called **birational** if the corresponding homomorphism of the fields of fractions is an isomorphism.

## Integral dependence

**DEFINITION:** Let  $A \subset B$  be rings. An element  $b \in B$  is called **integral over  $A$**  if the subring  $A[b] = A \cdot \langle 1, b, b^2, b^3, \dots \rangle$ , generated by  $b$  and  $A$ , is finitely generated as  $A$ -module.

**DEFINITION:** **Monic polynomial** is a polynomial with leading coefficient 1.

**CLAIM:** An element  $x \in B$  **is integral over  $A \subset B$  if and only if the chain of submodules**

$$A \subset A \cdot \langle 1, x \rangle \subset A \cdot \langle 1, x, x^2 \rangle \subset A \cdot \langle 1, x, x^2, x^3 \rangle \subset \dots$$

**terminates.**

**COROLLARY:** **An element  $x \in B$  is integral over  $A \subset B \Leftrightarrow x$  is a root of a monic polynomial with coefficients in  $A$ . ■**

**CLAIM:** Let  $A \subset B$  be Noetherian rings. Then **sum and product of elements which are integral over  $A$  is also integral.**

## Integral closure

**DEFINITION:** Let  $A \subset B$  be rings. The set of all elements in  $B$  which are integral over  $A$  is called **the integral closure of  $A$  in  $B$** .

**DEFINITION:** Let  $A$  be the ring without zero divisors, and  $k(A)$  its field of fractions. The set of all elements  $a \in k(A)$  which are integral over  $A$  is called **the integral closure of  $A$** . A ring  $A$  is called **integrally closed** if  $A$  coincides with its integral closure in  $k(A)$ .

**REMARK:** As shown above, **the integral closure is a ring**.

**DEFINITION:** An affine variety  $X$  is called **normal** if all its irreducible components  $X_i$  are disconnected, and the ring of functions  $\mathcal{O}_{X_i}$  for each of these irreducible components is integrally closed.

**REMARK:** Equivalently,  **$X$  is normal if any finite, birational morphism  $Y \rightarrow X$  is an isomorphism**.

## Factorial rings

**DEFINITION:** An element  $p$  of a ring  $R$  is called **prime** if the corresponding principal ideal  $(p)$  is prime.

**DEFINITION:** A ring  $R$  without zero divisors is called **factorial** if any element  $r \in R$  can be represented as a product of prime elements,  $r = \prod_i p_i^{\alpha_i}$ , and this decomposition is unique up to invertible factors and permutation of  $p_i$ .

**PROPOSITION:** Let  $A$  be a factorial ring. Then it is integrally closed.

**Proof. Step 1:** Let  $u, v \in A$ , and  $u/v \in k(A)$  a root of a monic polynomial  $P(t) \in A[t]$  of degree  $n$ . Then  $u^n$  is divisible by  $v$  in  $A$ .

**Step 2:** Let  $u/v \in k(A)$  be a root of a monic polynomial  $P(t) \in A[t]$ . Assume that  $u, v$  are coprime. Since  $u^n$  is divisible by  $v$ , and they are coprime,  $v$  is invertible by factoriality of  $A$ . Then  $u/v \in A$ . ■