

Complex analytic spaces

lecture 28: Pluri-Laplacian

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Hodge decomposition (reminder)

DEFINITION: Let M be a smooth manifold. An **almost complex structure** is an operator $I: TM \rightarrow TM$ which satisfies $I^2 = -\text{Id}_{TM}$.

The eigenvalues of this operator are $\pm\sqrt{-1}$. The corresponding eigenvalue decomposition is denoted $TM = T^{0,1}M \oplus T^{1,0}(M)$.

DEFINITION: Let $\Lambda^{p,0}(M, I) := \Lambda_{C_{\mathbb{C}}^{\infty}(M)}^p (T^{1,0}M)^*$, $\Lambda^{0,p}(M, I) := \Lambda_{C_{\mathbb{C}}^{\infty}(M)}^p (T^{0,1}M)^*$, and $\Lambda^{p,q}(M, I) := \Lambda^{p,0}(M, I) \otimes_{C_{\mathbb{C}}^{\infty}(M)} \Lambda^{0,q}(M, I)$.

CLAIM:

$$\Lambda^n M \otimes_{\mathbb{R}} \mathbb{C} = \bigoplus_{p+q=n} \Lambda^{p,q}(M, I)$$

Complex manifolds (reminder)

EXAMPLE: Let $M = \mathbb{C}^n$, with the complex coordinates z_1, \dots, z_n and real coordinates $x_i := \operatorname{Re}(z_i)$, $y_i := \operatorname{Im}(z_i)$. **The standard almost complex structure** is defined as $I(dx_i) = dy_i$, $I(dy_i) = -dx_i$.

DEFINITION: **A complex manifold** is an almost complex manifold which is locally isomorphic to \mathbb{C}^n with this complex structure.

REMARK: A 1-form $\alpha \in \Lambda^1(M, \mathbb{C})$ satisfies $\alpha(Ix) = \sqrt{-1} \alpha(x)$ if and only if $\alpha \in \Lambda^{1,0}(M)$. Therefore, **a function $f : M \rightarrow \mathbb{C}$ is complex differentiable if and only if $df \in \Lambda^{1,0}(M)$.**

Graded vector spaces and algebras

DEFINITION: A **graded vector space** is a space $V^* = \bigoplus_{i \in \mathbb{Z}} V^i$.

REMARK: If V^* is graded, the endomorphisms space $\text{End}(V^*) = \bigoplus_{i \in \mathbb{Z}} \text{End}^i(V^*)$ is also graded, with $\text{End}^i(V^*) = \bigoplus_{j \in \mathbb{Z}} \text{Hom}(V^j, V^{i+j})$

DEFINITION: A **graded algebra** (or “graded associative algebra”) is an associative algebra $A^* = \bigoplus_{i \in \mathbb{Z}} A^i$, with the product compatible with the grading: $A^i \cdot A^j \subset A^{i+j}$.

REMARK: A bilinear map of graded spaces which satisfies $A^i \cdot A^j \subset A^{i+j}$ is called **graded**, or **compatible with grading**.

REMARK: The category of graded spaces can be defined as a **category of vector spaces with $U(1)$ -action**, with the weight decomposition providing the grading. Then **a graded algebra is an associative algebra in the category of spaces with $U(1)$ -action**.

DEFINITION: An operator on a graded vector space is called **even (odd)** if it shifts the grading by even (odd) number. The **parity** \tilde{a} of an operator a is 0 if it is even, 1 if it is odd. We say that an operator is **pure** if it is even or odd.

Supercommutator

DEFINITION: A **supercommutator** of pure operators on a graded vector space is defined by a formula $\{a, b\} = ab - (-1)^{\tilde{a}\tilde{b}}ba$.

DEFINITION: A graded associative algebra is called **graded commutative** (or “supercommutative”) if its supercommutator vanishes.

EXAMPLE: The Grassmann algebra is supercommutative.

DEFINITION: A **graded Lie algebra** (Lie superalgebra) is a graded vector space \mathfrak{g}^* equipped with a bilinear graded map $\{\cdot, \cdot\} : \mathfrak{g}^* \times \mathfrak{g}^* \rightarrow \mathfrak{g}^*$ which is graded anticommutative: $\{a, b\} = -(-1)^{\tilde{a}\tilde{b}}\{b, a\}$ and satisfies **the super Jacobi identity** $\{c, \{a, b\}\} = \{\{c, a\}, b\} + (-1)^{\tilde{a}\tilde{c}}\{a, \{c, b\}\}$

EXAMPLE: Consider the algebra $\text{End}(A^*)$ of operators on a graded vector space, with supercommutator as above. **Then $\text{End}(A^*), \{\cdot, \cdot\}$ is a graded Lie algebra.**

Lemma 1: Let d be an odd element of a Lie superalgebra, satisfying $\{d, d\} = 0$, and L an even or odd element. **Then $\{\{L, d\}, d\} = 0$.**

Proof: $0 = \{L, \{d, d\}\} = \{\{L, d\}, d\} + (-1)^{\tilde{L}}\{d, \{L, d\}\} = 2\{\{L, d\}, d\}$. ■

The twisted differential d^c

DEFINITION: The **twisted differential** is defined as $d^c := IdI^{-1}$.

CLAIM: Let (M, I) be a complex manifold. **Then** $\partial := \frac{d+\sqrt{-1}d^c}{2}$, $\bar{\partial} := \frac{d-\sqrt{-1}d^c}{2}$ are the **Hodge components of d** , $\partial = d^{1,0}$, $\bar{\partial} = d^{0,1}$.

Proof: The Hodge components of d are expressed as $d^{1,0} = \frac{d+\sqrt{-1}d^c}{2}$, $d^{0,1} = \frac{d-\sqrt{-1}d^c}{2}$. Indeed, $I(\frac{d+\sqrt{-1}d^c}{2})I^{-1} = \sqrt{-1} \frac{d+\sqrt{-1}d^c}{2}$, hence $\frac{d+\sqrt{-1}d^c}{2}$ **has Hodge type $(1,0)$** ; the same argument works for $\bar{\partial}$. ■

CLAIM: Let W be **the Weil operator**, $W|_{\Lambda^{p,q}(M)} = \sqrt{-1} (p - q)$. On any complex manifold, one has $d^c = [W, d]$.

Proof: Clearly, $[W, d^{1,0}] = \sqrt{-1} d^{1,0}$ and $[W, d^{0,1}] = -\sqrt{-1} d^{0,1}$. Then $[W, d] = \sqrt{-1} d^{1,0} - \sqrt{-1} d^{0,1} = IdI^{-1}$. ■

COROLLARY: $\{d, d^c\} = \{d, \{d, W\}\} = 0$ (Lemma 1).

Plurilaplacian

THEOREM: Let (M, I) be a complex manifold. **Then 1.** $\partial^2 = 0$.

2. $\bar{\partial}^2 = 0$.

3. $dd^c = -d^c d$

4. $dd^c = 2\sqrt{-1} \partial\bar{\partial}$.

Proof: The first is vanishing of $(2,0)$ -part of d^2 , and the second is vanishing of its $(0,2)$ -part. Now, $\{d, d^c\} = -\{d, \{d, W\}\} = 0$ (Lemma 1), this gives $dd^c = -d^c d$. Finally, $2\sqrt{-1} \partial\bar{\partial} = \frac{1}{2}(d + \sqrt{-1} d^c)(d - \sqrt{-1} d^c) = \frac{1}{2}(dd^c - d^c d) = dd^c$. ■

DEFINITION: The operator dd^c is called **the pluri-Laplacian**.

REMARK: The pluri-Laplacian **takes real functions to real $(1,1)$ -forms on M .**

EXERCISE: Prove that **on a Riemannian surface (M, I, ω) , one has $dd^c(f) = \Delta(f)\omega$.**

DEFINITION: The Hodge $U(1)$ -action on differential forms on a complex manifold defined by $\rho(t)(\eta) = e^{tW}(\eta)$. On (p, q) -forms, it acts as a scalar $\rho(t)|_{\wedge^{p,q}(M)} = e^{(p-q)\sqrt{-1}t}$ Id; the (p, p) -forms are clearly invariant.

Positive (1,1)-forms

CLAIM: Consider a real (1,1)-form $\eta \in \Lambda^{1,1}(M) \cap \Lambda^2(M, \mathbb{R})$. **Then the bilinear form $g_\eta(x, y) := \eta(x, Iy)$ is symmetric.**

Proof: Clearly, $0 = W(\eta)(x, y) = \eta(W(x), y) + \eta(x, W(y)) = \eta(Ix, y) + \eta(x, Iy)$. This gives $\eta(x, Iy) = -\eta(Ix, y) = \eta(y, Ix)$. ■

CLAIM: This construction **defines a bijection between $U(1)$ -invariant symmetric forms $g \in \text{Sym}^2(T^*M)$ and sections of $\Lambda^{1,1}(M) \cap \Lambda^2(M, \mathbb{R})$.** ■

DEFINITION: A real (1,1)-form η is called **positive** if $\eta(x, Ix) \geq 0$ for any $x \in TM$.

REMARK: By convention, **0 is a positive (1,1)-form.**

DEFINITION: A (1,1)-form is called **Hermitian** if it is positive and non-degenerate, that is, when $\eta(x, Ix) > 0$ for any $x \in TM \setminus 0$.

REMARK: The above construction **gives a bijective correspondence between the Hermitian (1,1)-forms and $U(1)$ -invariant Riemannian metric tensors on M .**

EXAMPLE: For any (1,0)-form ξ , **the form $\sqrt{-1} \xi \wedge \bar{\xi}$ is positive (prove this).**

The coordinate operators

Let V be an even-dimensional real vector space equipped with a scalar product, and v_1, \dots, v_{2n} an orthonormal basis. Denote by $e_{v_i} : \Lambda^k V \rightarrow \Lambda^{k+1} V$ an operator of multiplication, $e_{v_i}(\eta) = v_i \wedge \eta$. Let $i_{v_i} : \Lambda^k V \rightarrow \Lambda^{k-1} V$ be an adjoint operator, $i_{v_i} = *e_{v_i}*$.

CLAIM: The operators $e_{v_i}, i_{v_i}, \text{Id}$ are a basis of an **odd Heisenberg Lie superalgebra** \mathfrak{h} , with **the only non-trivial supercommutator given by the formula** $\{e_{v_i}, i_{v_j}\} = \delta_{i,j} \text{Id}$.

Now, consider the tensor $\omega = \sum_{i=1}^n v_{2i-1} \wedge v_{2i}$, and let $L(\alpha) = \omega \wedge \alpha$, and $\Lambda := L^*$ be the corresponding **Hodge operators**.

CLAIM: (Lefschetz $\mathfrak{sl}(2)$ -action)

From the commutator relations in \mathfrak{h} , one obtains immediately that

$$H := [L, \Lambda] = \left[\sum e_{v_{2i-1}} e_{v_{2i}}, \sum i_{v_{2i-1}} i_{v_{2i}} \right] = \sum_{i=1}^{2n} e_{v_i} i_{v_i} - \sum_{i=1}^{2n} i_{v_i} e_{v_i},$$

is a scalar operator acting as $k - n$ on k -forms.

COROLLARY: The triple L, Λ, H satisfies the relations for the $\mathfrak{sl}(2)$ Lie algebra: $[L, \Lambda] = H$, $[H, L] = 2L$, $[H, \Lambda] = 2\Lambda$.

Laplacian and a pluri-Laplacian

DEFINITION: Let ω be a Hermitian form on a complex manifold (M, I) , and $\Lambda: \Lambda^{1,1}(M) \rightarrow C^\infty M$ the Lefschetz operator. **The Laplacian** $\Delta: C^\infty M \rightarrow C^\infty M$ is defined as $\Delta(f) := \Lambda(dd^c f)$.

REMARK: Consider an orthonormal frame $\xi_1, \dots, \xi_n \in \Lambda^{1,0} M$; then $\omega = \sqrt{-1} \sum \xi_i \wedge \bar{\xi}_i$. Then $dd^c = 2\sqrt{-1} \partial\bar{\partial}$ has the same symbol as

$$f \mapsto \sum_{i,j} \frac{2}{\sqrt{-1}} \text{Lie}_{x_i} \text{Lie}_{\bar{x}_j}(f) \xi_i \wedge \bar{\xi}_j$$

where $x_1, \dots, x_n \in T^{1,0} M$ is the dual basis. **This implies that $\Delta(f)$ has the same symbol as**

$$f \mapsto \sum_i \frac{2}{\sqrt{-1}} \text{Lie}_{x_i} \text{Lie}_{\bar{x}_i}(f)$$

which **has the same symbol as $\sum_i \text{Lie}_{p_i}^2(f) + \text{Lie}_{q_i}^2(f)$** , where $p_i = \text{Re } x_i$, $q_i = \text{Im}(x_i)$.

COROLLARY: The Laplacian $\Delta(f) = \Lambda(dd^c f)$ **is an elliptic operator of second order.** ■

Pluri-harmonic functions

DEFINITION: A function f on a complex manifold is called **pluri-harmonic** if $dd^c f = 0$.

REMARK: A function f is called **holomorphic** if $\bar{\partial}f = 0$, and **antiholomorphic** if $\partial f = 0$. Since $dd^c = 2\sqrt{-1}\partial\bar{\partial} = -2\sqrt{-1}\bar{\partial}\partial$, **any holomorphic and any antiholomorphic function is pluri-harmonic.**

THEOREM: Any pluriharmonic function **is locally expressed as a sum of holomorphic and antiholomorphic function.**

Proof: Let f be a pluriharmonic function on a ball, and $\alpha = \partial f$. Since $\bar{\partial}(\alpha) = 0$, this form is holomorphic; since $\partial^2 = 0$, it is also closed. Poincaré lemma applied to holomorphic functions implies that $\alpha = du$, where u is holomorphic. Then $d(f - u)$ is a $(0,1)$ -form, hence $v := f - u$ is antiholomorphic. **We obtain that $f = u + v$, where u is holomorphic, and v is antiholomorphic. ■**

In our proof, we use the following version of Poincaré lemma.

LEMMA: Let $B \subset \mathbb{C}^n$ be an open ball, and η a closed holomorphic $(d,0)$ -form. **Then $\eta = d\alpha$, where α is a holomorphic $(d-1,0)$ -form.**

Proof: It follows from the same argument as one which proves the Poincaré lemma (Lecture 29). ■