

# **Hyperkähler manifolds,**

## **lecture 24: Fujiki formula and its applications**

IMPA, sala 236

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## The BBF form (reminder)

**THEOREM:** Let  $M$  be a compact holomorphically symplectic manifold,  $\dim_{\mathbb{C}} M = 2n$ , admitting the Hodge decomposition on  $H^2(M)$ . Assume that all  $\partial$ -exact holomorphic 3-forms on  $M$  vanish, and  $H^{0,2}(M) = H^{2,0}(M) = \mathbb{C}$ . **Then the space  $H^2(M)$  is equipped with a bilinear symmetric form  $q$  such that for any  $\eta \in H^2(M)$ , one has  $\int_M \eta^{2n} = q(\eta, \eta)^n$ .**

**Proof. Step 1:** Consider the Hodge decomposition on  $H^2(M)$  induced by the complex structure  $I \in U$ . This gives “the Hodge rotation map”, that is, an  $U(1)$ -action  $\rho_I(t)$ , acting as  $e^{2\pi\sqrt{-1}(p-q)t}$  on  $H^{p,q}(M)$ . **Clearly, the polynomial  $Q(\eta) := \int_M \eta^{2n}$  is  $\rho_I$ -invariant.** By definition,  $\rho_I$  acts trivially on  $H^{1,1}(M)$  and rotates  $W = \langle \operatorname{Re} \Omega, \operatorname{Im} \Omega \rangle$ .

**Step 2:** Let  $G$  be the Lie group generated by the Hodge rotation maps  $\rho_I$  for all complex structures  $I$  satisfying the assumptions of the theorem. Since the image of the period map is open, **the action of  $G$  satisfies assumptions of Proposition 1, Lecture 23, giving  $Q(\eta) = \lambda q(\eta, \eta)^n$ .** ■

**DEFINITION:** Usually one normalizes  $q$  in such a way that it is integer and primitive; then  $Q(\eta) = \lambda q(\eta, \eta)^n$ , where  $\lambda > 0$  is called **the Fujiki constant**. The form  $q$  is called **the Bogomolov-Beauville-Fujiki form** (the BBF form).

## Hodge decomposition on hk manifolds (reminder from Lecture 21)

### EXERCISE: (Klebsch-Gordan formula)

Let  $V_p$  and  $V_q$ ,  $p \geq q$  be a  $(p+1)$ - and  $(q+1)$ -dimensional complex irreducible representation of  $SU(2)$ . **Prove that**  $V_p \otimes V_q = \bigoplus_{i=0}^q V_{p+q-2i}$ .

**COROLLARY:** Let  $M$  be a hyperkähler manifold,  $\dim_{\mathbb{H}} M = n$ . Then for each  $x \in M$ , and each  $d \leq 2n$ , **the space  $\Lambda_x^d(M)$  is a direct sum of several  $V_i$  for  $0 \leq i \leq d$ ,** of the same parity as  $d$ , and the same holds for  $H^d(M)$ .

**Proof:** Follows from Klebsch-Gordan because  $\Lambda_x^1 M$  is a direct sum of  $SU(2)$ -representations of weight 1,  $\Lambda_x^1 M = V_1^n$ . ■

**CLAIM:** Let  $M$  be a compact hyperkähler manifold of maximal holonomy. **Then**  $H^2(M) = \langle \omega_I, \omega_J, \omega_K \rangle \oplus H^2(M)^{SU(2)}$ , where  $H^2(M)^{SU(2)}$  is the space of  $SU(2)$ -invariant vectors in  $H^2(M)$ .

**Proof:** A class  $\eta \in H^2(M)$  is  $SU(2)$ -invariant if and only if  $\eta$  is of Hodge type  $(1, 1)$  with respect to all induced complex structures. However,  $\dim H^{2,0}(M, I) = 1$ , hence the sub-representation  $W$  generated by  $H^{2,0}(M, I)$  is 1-dimensional. It is a subrepresentation of weight 2, hence it is 3-dimensional, hence  $W = \langle \omega_I, \omega_J, \omega_K \rangle$ . Its complement is an  $SU(2)$ -invariant subspace  $V \subset H_I^{1,1}(M)$ ; by  $SU(2)$ -invariance, this gives  $V \subset H_L^{1,1}(M)$  for all induced complex structures, hence  $V = H^2(M)^{SU(2)}$ . ■

## Primitive cohomology classes

Recall that a cohomology class  $\eta \in H^2(M)$  on Kähler manifold  $(M, \omega)$  is called **primitive** if  $\int_M \eta \wedge \omega^{\dim_{\mathbb{C}} M - 1} = 0$ . The following result is a special case of “Hodge-Riemann relations”.

### PROPOSITION: (Hodge index theorem)

Let  $\eta \in H^{1,1}(M, \mathbb{R})$  be a non-zero primitive  $(1, 1)$ -class on a compact Kähler manifold  $(M, \omega)$ . **Then**  $\int_M \eta \wedge \eta \wedge \omega^{\dim_{\mathbb{C}} M - 2} < 0$ .

**Proof:** Take a harmonic representative for  $\eta$ . Since  $\Lambda \eta = 0$ ,  $\eta \perp \omega$  at each point of  $M$ . Consider a  $2n$ -dimensional real vector space  $V$  equipped with a Hermitian structure  $(I, g, \omega)$ , and let  $\eta \in \Lambda^{1,1}(V)$  be a form which is orthogonal to  $\omega$ . **It would suffice to show that  $\eta \wedge \eta \wedge \omega^{\dim_{\mathbb{C}} V - 2}$  is a strictly negative volume form on  $V$ .**

**LEMMA:** Let  $(V, I, g, \omega)$  be a real vector space equipped with a Hermitian structure, and  $\eta \in \Lambda^{1,1}(V)$  a non-zero form which is orthogonal to  $\omega$ . **Then**  $\frac{\eta \wedge \eta \wedge \omega^{\dim_{\mathbb{C}} V - 2}}{\text{Vol}_V} < 0$ .

**Proof:** Next slide

## Hodge index theorem for pseudo-Hermitian 2-forms on $\mathbb{R}^{2n}$

**LEMMA:** Let  $(V, I, g, \omega)$  be a real vector space equipped with a Hermitian structure, and  $\eta \in \Lambda^{1,1}(V)$  a non-zero form which is orthogonal to  $\omega$ . **Then**  

$$\frac{\eta \wedge \eta \wedge \omega^{\dim_{\mathbb{C}} V - 2}}{\text{Vol}_V} < 0.$$

**Step 1:** Let  $h(x, y) := \eta(x, Iy)$ . Since  $\eta$  is of type  $(1, 1)$ , **the form  $h$  is  $I$ -invariant, that is, pseudo-Hermitian.** For any pseudo-Hermitian form on a Hermitian space, there exists a basis where both forms are diagonal. Therefore, **there exists an orthonormal basis  $x_1, \dots, x_n, y_1, \dots, y_n$  in  $V^*$  such that  $I(x_i) = y_i$ ,  $I(y_i) = -x_i$  and  $h = \sum_{i=1}^n u_i(x_i \otimes x_i + y_i \otimes y_i)$ .**

**Step 2:** The form  $g$  is written in the same basis:  $g = \sum_{i=1}^n x_i \otimes x_i + y_i \otimes y_i$ . Therefore, the scalar product  $(h, g)$  is equal to  $2 \sum_{i=1}^n u_i$ , and  $(\omega, \eta) = 0$  is equivalent to  $\sum_{i=1}^n u_i = 0$ . Clearly,  $\eta \wedge \eta \wedge \omega^{\dim_{\mathbb{C}} V - 2} = \frac{1}{n(n-1)} \sum_{i < j} u_i u_j \omega^n$ . Since  $\sum_{i=1}^n u_i = 0$ , we have

$$0 = \left( \sum_{i=1}^n u_i \right)^2 = \sum_{i=1}^n u_i^2 + 2 \sum_{i < j} u_i u_j,$$

giving  $\sum_{i < j} u_i u_j < 0$ . ■

## Fujiki formula

**REMARK:** For any Kähler form,  $\int_M \omega^{\dim_{\mathbb{C}} M} > 0$ , hence the sign of  $q(\omega, \omega)$  is constant when  $\omega$  runs through the Kähler cone. If the choice of the sign in BBF form is ambiguous, **we fix the sign of  $q$  in such a way that  $q(\omega, \omega) > 0$  for Kähler  $\omega$ .**

**CLAIM: The BBF form is  $SU(2)$ -invariant,** where the  $SU(2)$ -action is induced by any hyperkähler structure.

**Proof:** Indeed, the  $SU(2)$ -action is generated by the Hodge rotation  $\rho_L$ , where  $L$  are induced complex structures. ■

## PROPOSITION: (Fujiki formula)

Let  $\eta_1, \dots, \eta_{2n}$  be a collection of classes in  $H^2(M, \mathbb{R})$ . Denote by  $\Sigma_{2n}$  the symmetric group, with a permutation  $\sigma = (\sigma_1, \dots, \sigma_{2n})$  taking  $(1, \dots, 2n)$  to  $\sigma_1, \dots, \sigma_{2n}$ . **Then**

$$\int_M \eta_1 \wedge \dots \wedge \eta_{2n} = A \sum_{\sigma \in \Sigma_{2n}} q(\eta_{\sigma_1}, \eta_{\sigma_2}) q(\eta_{\sigma_3}, \eta_{\sigma_4}) \dots q(\eta_{\sigma_{2n-1}}, \eta_{\sigma_{2n}}),$$

**where  $A$  is a positive rational constant.**

**Proof:** For any degree  $p$  homogeneous polynomial function  $f \in \text{Sym}^p V^*$ , its **polarization** is a symmetric form  $Q := \frac{d^p f}{d\zeta_1 d\zeta_2 \dots d\zeta_p} \in (V^*)^{\otimes p}$ , satisfying  $Q(\zeta, \zeta, \dots, \zeta) = f(\zeta)$ . The Fujiki formula is obtained by applying the polarization to both sides of  $\int_M \eta^{2n} = \lambda q(\eta, \eta)^n$ . ■

## Primitive cohomology classes on hyperkähler manifolds

**CLAIM:** Let  $M$  be a hyperkähler manifold of maximal holonomy. Then **the space  $H_{prim}^{1,1}(M)$  of primitive  $(1,1)$ -classes is  $H^2(M)^{SU(2)}$ .**

**Proof:** Primitive classes are represented by forms in  $\ker \Lambda$ , where  $\Lambda$  is the Hodge operator. Therefore, a harmonic form is primitive if and only if it is orthogonal to  $\omega$  pointwise. The decomposition  $H^2(M) = \langle \omega_I, \omega_J, \omega_K \rangle \oplus H^2(M)^{SU(2)}$  is orthogonal, hence the decomposition  $H_I^{1,1}(M) = \mathbb{R}\omega_I \oplus H^2(M)^{SU(2)}$  is also orthogonal, giving  $H^2(M)^{SU(2)} = H_{prim}^{1,1}(M)$ . ■

**Corollary 1:** Let  $M$  be a hyperkähler manifold of maximal holonomy,  $\dim_{\mathbb{C}} M = 2n$ , and  $\eta \in H^{1,1}(M)$  primitive. **Then  $q(\eta, \omega) = 0$  and  $q(\eta, \eta)$  is negative.**

**Proof. Step 1:**  $0 = \int_M \eta \wedge \omega^{\dim_{\mathbb{C}} M - 1} = \lambda q(\eta, \omega) q(\omega, \omega)^{n-1}$ . Since  $q(\omega, \omega) > 0$ , this gives  $q(\eta, \omega) = 0$ .

**Step 2:** By Hodge index theorem,  $\int_M \eta \wedge \eta \wedge \omega^{\dim_{\mathbb{C}} V - 2} < 0$ . Fujiki formula gives  $\int_M \eta \wedge \eta \wedge \omega^{\dim_{\mathbb{C}} V - 2} = c_1 q(\eta, \omega)^2 q(\omega, \omega)^{n-2} + c_2 q(\eta, \eta) q(\omega, \omega)^{n-1}$ , where  $c_1, c_2$  are positive constants. Since  $q(\eta, \omega) = 0$  (Step 1),  $\int_M \eta \wedge \eta \wedge \omega^{\dim_{\mathbb{C}} V - 2} < 0$  gives  $q(\eta, \eta) q(\omega, \omega)^{n-1} < 0$ , hence  $q(\eta, \eta) < 0$ . ■

## Signature of the BBF form

**PROPOSITION:** Let  $M$  be a hyperkähler manifold of maximal holonomy, and  $q \in \text{Sym}^2(H^2(M, \mathbb{Q})^*)$  the BBF form. **Then  $q$  is a non-degenerate form of signature  $(3, b_2 - 3)$ .** It is positive on the subspace in  $H^2(M, \mathbb{R})$  generated by  $\omega_I, \omega_J, \omega_K$ , and negative definite on the space  $H^2(M, \mathbb{R})^{SU(2)}$  of  $SU(2)$ -invariant classes.

**Proof. Step 1:** As we have already seen,  $H^2(M, \mathbb{R}) = H^2(M, \mathbb{R})^{SU(2)} \oplus \langle \omega_I, \omega_J, \omega_K \rangle$ . The form  $q$  on  $\langle \omega_I, \omega_J, \omega_K \rangle$  is positive definite, because it is positive on  $\omega_I$  and  $SU(2)$ -invariant. **It remains only to show that  $q$  is negative definite on  $H^2(M, \mathbb{R})^{SU(2)}$ .**

**Step 2:** The space  $H^2(M, \mathbb{R})^{SU(2)}$  coincides with the space  $H_{prim}^{1,1}(M)$  of primitive  $(1,1)$ -forms, and  **$q$  is negative definite on  $H_{prim}^{1,1}(M)$  by Corollary 1.** ■



## Beauville-Bogomolov formula

### THEOREM: (Beauville-Bogomolov formula)

Let  $\Omega$  be a holomorphic symplectic form on a hyperkähler manifold of maximal holonomy. **Then the BBF form is equal to**

$$q'(\eta, \eta') := \lambda \int_M \eta \wedge \eta' \wedge \Omega^{n-1} \wedge \overline{\Omega}^{n-1} - R\lambda \frac{\left( \int_M \eta \wedge \Omega^{n-1} \wedge \overline{\Omega}^n \right) \cdot \left( \int_M \eta' \wedge \Omega^n \wedge \overline{\Omega}^{n-1} \right)}{\int_M \Omega^n \wedge \overline{\Omega}^n}, \quad (*)$$

where  $R = \frac{2(n-1)}{n}$ , and  $\lambda$  is a positive constant.

**Proof. Step 1:** The precise value of  $R$  is irrelevant and is left as an exercise. Since  $q$  is compatible with the Hodge decomposition, we have  $q(\eta, \Omega) = q(\eta, \overline{\Omega}) = q(\Omega, \Omega) = q(\overline{\Omega}, \overline{\Omega}) = 0$  for any  $\eta \in H^{1,1}(M)$ . By Fujiki formula, this gives  $q(\eta, \eta)q(\Omega, \overline{\Omega})^{n-2} = \text{const} \int_M \eta^2 \wedge \Omega^{n-1} \wedge \overline{\Omega}^{n-1}$ . Therefore, the form  $q'$  is proportional to  $q$  on the space  $H^{1,1}(M)$ . **We fix the coefficients  $\lambda$  and  $R\lambda$  in such a way that  $q(x, \bar{x}) = q'(x, \bar{x})$  when  $x \in H^{1,1}(M)$  and  $x = \Omega$ .** It remains to show that  $q = q'$  for such choice of constants.

## Beauville-Bogomolov formula (2)

**THEOREM:** The **BBF** form is equal to

$$q'(\eta, \eta') := \lambda \int_M \eta \wedge \eta' \wedge \Omega^{n-1} \wedge \overline{\Omega}^{n-1} - \\ - R\lambda \frac{\left( \int_M \eta \wedge \Omega^{n-1} \wedge \overline{\Omega}^n \right) \cdot \left( \int_M \eta' \wedge \Omega^n \wedge \overline{\Omega}^{n-1} \right)}{\int_M \Omega^n \wedge \overline{\Omega}^n}, \quad (*)$$

**Proof. Step 1:** ...We fix the coefficients  $\lambda$  and  $R\lambda$  in such a way that  $q(x, \overline{x}) = q'(x, \overline{x})$  when  $x \in H^{1,1}(M)$  and  $x = \Omega$ ... It remains to show that  $q = q'$  for such choice of constants.

**Step 2:** By construction, the forms  $q$  and  $q'$  are equal on  $H^{1,1}(M)$ . Since both forms are compatible with the Hodge decomposition, they satisfy  $q(\eta, \Omega) = q(\eta, \overline{\Omega}) = q(\Omega, \Omega) = q(\overline{\Omega}, \overline{\Omega}) = 0$  and  $q'(\eta, \Omega) = q'(\eta, \overline{\Omega}) = q'(\Omega, \Omega) = q'(\overline{\Omega}, \overline{\Omega}) = 0$ . Therefore,  $q = q'$  is implied by  $q(\Omega, \overline{\Omega}) = q'(\Omega, \overline{\Omega})$  and  $q(\eta, \eta) = q'(\eta, \eta)$ . ■

**REMARK:** A similar argument proves the following formula (which we won't be using)

$$q(\eta, \eta') = \lambda \int_X \omega^{n-2} \wedge \eta \wedge \eta' - \lambda \frac{n-2}{(n-1)^2} \cdot \frac{\int_X \omega^{n-1} \eta \cdot \int_X \omega^{n-1} \eta'}{\int_X \omega^n}$$

## The Lie group generated by Hodge rotations

Let  $(M, I)$  be a compact Kähler manifold. Recall that **the Hodge rotation** is  $U(1)$ -action  $\rho_I(t) \subset \text{Aut}(H^*(M, \mathbb{R}))$ , acting as  $e^{2\pi\sqrt{-1}(p-q)t}$  on  $H^{p,q}(M)$ . Recall that  $O(p, q)$ ,  $p, q > 0$  has 4 connected components. **The connected component of unity is denoted  $SO^+(H^2(M, \mathbb{R}), q)$ .**

**THEOREM:** Let  $G \subset \text{Aut}(H^*(M, \mathbb{R}))$  be the subgroup generated by all Hodge rotations  $\rho_I(t)$ , for all complex structures  $I$  of hyperkähler type. **Then  $G$  acts on  $H^2(M, \mathbb{R})$  as  $SO^+(H^2(M, \mathbb{R}), q)$ .**

**Proof. Step 1:** The image  $G'$  of  $G$  in  $GL(H^2(M, \mathbb{R}))$  is a connected subgroup preserving  $\pm q$ , hence  $G' := G|_{H^2(M, \mathbb{R})}$  belongs to  $SO^+(H^2(M, \mathbb{R}), q)$ .

**Step 2:** This group contains all Hodge rotations, which can be identified with rotations in a 2-dimension subspace  $V \in \mathbb{P}er \subset \text{Gr}(2, H^2(M, \mathbb{R}))$ . Since  $\mathbb{P}er$  is open in  $\text{Gr}(2, H^2(M, \mathbb{R}))$ ,  **$G'$  is an open subgroup in the subgroup  $G'$  of  $SO^+(H^2(M, \mathbb{R}), q)$  generated by all rotations in  $W \in \text{Gr}(2, H^2(M, \mathbb{R}))$ .**

## The Lie group generated by Hodge rotations (2)

**THEOREM:** Let  $G \subset \text{Aut}(H^*(M, \mathbb{R}))$  be the subgroup generated by all Hodge rotations  $\rho_I(t)$ , for all complex structures  $I$  of hyperkähler type. **Then  $G$  acts on  $H^2(M, \mathbb{R})$  as  $SO^+(H^2(M, \mathbb{R}), q)$ .**

**Step 2:** The group  $G' := G|_{H^2(M, \mathbb{R})}$  is an open subgroup in the subgroup  $G''$  of  $SO^+(H^2(M, \mathbb{R}), q)$  generated by all rotations in  $W \in \text{Gr}(2, H^2(M, \mathbb{R}))$ .

**Step 3:** A map which is  $O(H^2(M, \mathbb{R}), q)$ -conjugated to a rotation in  $W \in \text{Gr}(2, H^2(M, \mathbb{R}))$  is again a rotation, hence  $G''$  is a normal subgroup in  $SO^+(H^2(M, \mathbb{R}), q)$ . This group is simple for  $\text{rk } H^2(M, \mathbb{R}) \neq 4$ , because the corresponding complex Lie algebra is simple. When  $\text{rk } H^2(M, \mathbb{R}) = 4$ , the Lie algebra is  $\mathfrak{so}(3)^2$ , but the Lie group  $SO(1, 3) = SL(2, \mathbb{C}) / \pm 1$  is nevertheless simple. **We proved that  $G'' = SO^+(H^2(M, \mathbb{R}), q)$ .**

**Step 4:** This implies that  $G'$  is an open, connected subgroup of  $SO^+(H^2(M, \mathbb{R}), q)$ , but a connected Lie group is multiplicatively generated by any neighbourhood of the unity, **(prove this as an exercise)** hence  $G' = SO^+(H^2(M, \mathbb{R}), q)$ . ■

## The Hodge-Riemann bilinear relations

**DEFINITION:** Let  $M$  be a compact Kähler manifold, and  $p+q \leq n = \dim_{\mathbb{C}} M$ . Denote by  $H^*(M) = \bigoplus_{r=0}^n W_r$  the weight decomposition associated with the Lefschetz  $\mathfrak{sl}(2)$ -action, with  $W_r$  a direct sum of  $\mathfrak{sl}(2)$ -representations of weight  $r$ . Let  $V_k^{p,q} := H^{p,q}(M) \cap W_k$ . **Clearly,**  $H^*(M) = \bigoplus_{k,p,q} V_k^{p,q}$ . **The Riemann-Hodge form** on  $V_k^{p,q}$  is

$$\eta, \eta' \longrightarrow \sqrt{-1}^{p-q} (-1)^{p+q-k} \int_M \eta \wedge \bar{\eta}' \wedge \omega^{n-p-q}$$

### THEOREM: (Riemann-Hodge relations)

**The Riemann-Hodge form is positive definite.**

**Proof:** Follows from Weyl's structure theorem on tensor representations of  $U(n)$ . See *Howe, Roger E., "Remarks on classical invariant theory", Transactions of the American Mathematical Society, American Mathematical Society, 313 (2): 539-570, 1989.*

**REMARK:** For 2-forms **this statement is the Hodge index theorem**, proven earlier today.

## The automorphisms of the cohomology algebra

**THEOREM:** The kernel of the natural restriction map  $\text{Aut}(H^*(M, \mathbb{R})) \longrightarrow O(H^2(M, \mathbb{R}), q)$  is a compact group.

**Proof. Step 1:** Let  $u \in \text{Aut}(H^*(M, \mathbb{R}))$  be an automorphism which trivially acts on  $H^2(M, \mathbb{R})$ . Then  $u$  commutes with all Lefschetz triples. Indeed, in an  $\mathfrak{sl}(2)$ -triple acting on a finite-dimensional vector space  $V$ , any two elements define the third (**prove this as an exercise**), hence any automorphism of  $H^*(M, \mathbb{R})$  which preserves  $L$  and  $H$  also preserves  $\Lambda$ .

**Step 2:** In Lecture 22, we proved that  $W_I = [L_J, \Lambda_K]$ , where  $W_I$  is the operator acting as  $\sqrt{-1}(p-q)\text{Id}$  on  $H^{p,q}(M)$ . Since  $u$  preserves the Lefschetz  $\mathfrak{sl}(2)$ , it also commutes with  $W_I$ , and hence preserves the Hodge decomposition.

**Step 3:** We obtain that  $u$  preserves the decomposition  $H^*(M) = \bigoplus_{k,p,q} V_k^{p,q}$  and commutes with the Hodge-Riemann pairing; the group of such automorphisms is compact, because the Hodge-Riemann pairing is positive definite. ■

**COROLLARY:** The kernel of  $\text{Aut}(H^*(M, \mathbb{Z})) \longrightarrow O(H^2(M, \mathbb{Z}), q)$  is finite.

**Proof:** Indeed,  $\text{Aut}(H^*(M, \mathbb{Z}))$  is discrete, and a discrete subgroup of a compact group is finite. ■