

# **Metric spaces**

## **lecture 3: Local metrics**

Misha Verbitsky

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## Intrinsic metrics (reminder)

Let  $(M, d)$  be a metric space, and  $\gamma : [a, b] \mapsto M$  a continuous path (here  $[a, b]$  denotes the closed interval). Let  $x_0 = a < x_1 < \dots < x_{n-1} < b = x_n$  be the partition of the interval, and  $L_\gamma(x_1, \dots, x_{n-1}) := \sum_{i=0}^{n-1} d(\gamma(x_i), \gamma(x_{i+1}))$  the length of the corresponding polygonal chain.

**DEFINITION:** We define **the arc-length** (or **the length**) of the path  $\gamma$  as

$$L_d(\gamma) := \sup_{a < x_1 < \dots < x_{n-1} < b} L_\gamma(x_1, \dots, x_{n-1}),$$

where supremum is taken over all partitions of the interval  $[a, b]$ . A path is called **rectifiable** if its arc-length is finite.

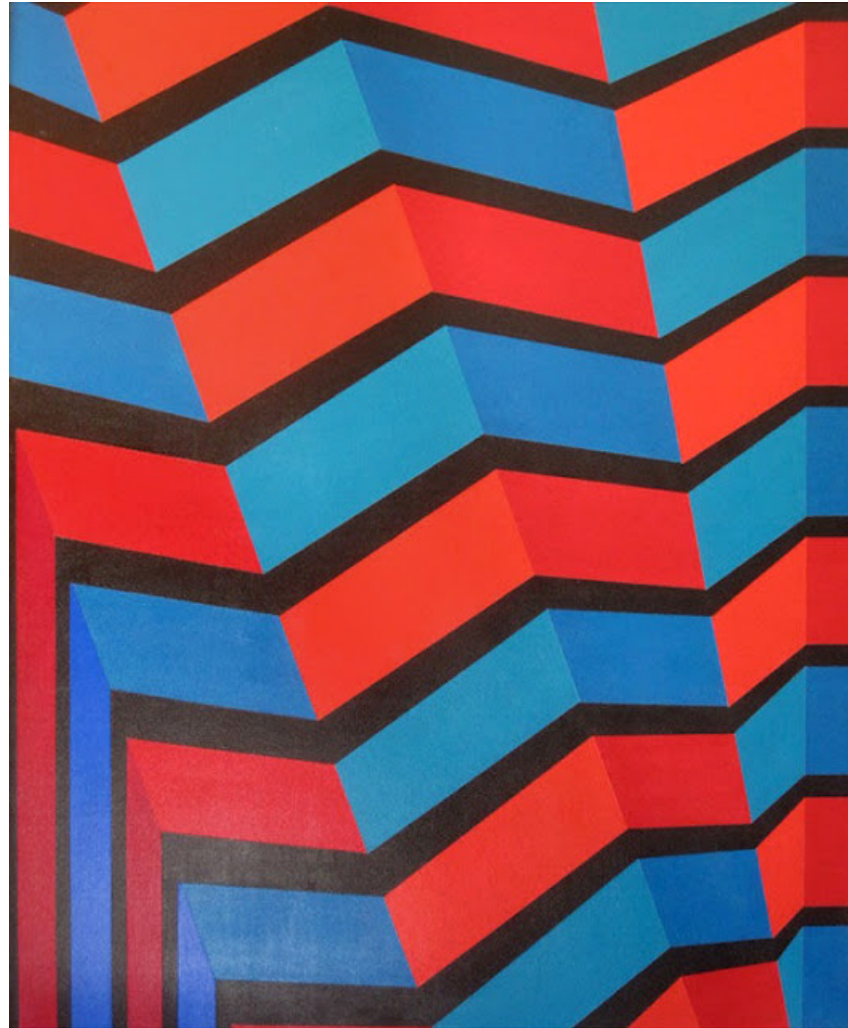
**DEFINITION:** A metric  $d$  on  $M$  is called **intrinsic metric** if  $d(x, y) = \inf_\gamma L_d(\gamma)$ , where the infimum is taken over all rectifiable paths  $\gamma$  connecting  $x$  to  $y$ .

## Herbert Busemann



Herbert Busemann (1905 – 1994)

*Busemann defined the intrinsic metric, Finsler manifolds, and many other concepts of metric geometry.*



*Herbert Busemann: "Untitled" (1972),  
Noho Modern gallery, Los Angeles*

## Conflict



*Herbert Busemann: "Conflict" (1972),  
Noho Modern gallery, Los Angeles*

## Weakly intrinsic metrics

**DEFINITION:** For any two points  $x, y$  in a metric space  $(M, d)$ , **an  $\varepsilon$ -chain**, connecting  $x$  to  $y$  is a collection of points  $x = z_0, z_1, \dots, z_{n-1}, z_n = y$  such that  $d(z_i, z_{i+1}) \leq \varepsilon$ . Its **defect** is the number  $\sum_{i=0}^{n-1} d(z_i, z_{i+1}) - d(x, y)$ . The space  $(M, d)$  is **weakly intrinsic** if for any two points  $x, y \in M$  such that  $d(x, y) < \infty$  and any  $\varepsilon > 0, \delta > 0$ , there exists an  $\varepsilon$ -chain connecting  $x$  to  $y$  with defect  $\leq \delta$ .

**CLAIM: Intrinsic metrics are weakly intrinsic.**

**Proof. Step 1:** For any partition of a rectifiable path  $\gamma : [a, b] \rightarrow M$  **there exists a sub-partition**  $t_0 = a < t_1 < t_2 < \dots < t_n = b$  **such that**  $d(\gamma(t_i), \gamma(t_{i+1})) < \varepsilon$ . To find such a smaller partition, we cover the image of  $\gamma$  by  $\varepsilon$ -balls and find a finite subcover.

**Step 2:** Choose a path  $\gamma$  connecting  $x$  to  $y$  such that  $d(x, y) < L_d(\gamma) - \frac{1}{2}\delta$ . Take a partition  $x_1, \dots, x_n$  of  $\gamma$  such that  $L_\gamma(x_1, \dots, x_m) + \frac{1}{2}\delta > L_d(\gamma)$ ; the same is true for any sub-partition,  $t_1, \dots, t_n$ , because  $L_\gamma(x_1, \dots, x_m) \leq L_\gamma(t_1, \dots, t_n) \leq L_d(\gamma)$ . **Then**  $|L_\gamma(t_1, \dots, t_n) - L_d(\gamma)| < \frac{1}{2}\delta$  **and**  $|d(x, y) - L_d(\gamma)| < \frac{1}{2}\delta$ , **hence**  $|L_\gamma(t_1, \dots, t_n) - d(x, y)| < \delta$ .

## Weakly intrinsic metrics (2)

**Step 3:** Using Step 1, we choose a sub-partition  $u_1, \dots, u_n$  of the partition constructed in Step 2 in such a way that  $d(\gamma(u_i), \gamma(u_{i+1})) < \varepsilon$ . After passing to a sub-partition, the number  $\sum_i d(\gamma(u_i), \gamma(u_{i+1}))$  would possibly increase, hence the property

$$d(x, y) + \delta \geq L_d(\gamma) \geq \sum_i d(\gamma(u_i), \gamma(u_{i+1})) > d(x, y) - \delta$$

is retained. Then  $\gamma(u_0), \gamma(u_1), \dots$  is an  $\varepsilon$ -chain with defect at most  $\delta$ . ■

## Local metrics

**CLAIM:** Let  $d_i$  be a family of metrics (possibly infinite), and  $d(x, y) := \sup_i d_i(x, y)$ . **Then  $d$  is also a metric.**

**Proof:** We need to check only that  $d(x, y) \leq d(x, z) + d(z, y)$ . This is clear, because

$$\begin{aligned} d(x, y) = \sup_i d_i(x, y) &\leq \sup_i (d_i(x, z) + d_i(z, y)) \leq \\ &\leq \sup_i d_i(x, z) + \sup_i d_i(z, y) = d(x, z) + d(z, y). \end{aligned}$$

■

**DEFINITION:** Let  $\{U_i\}$  be an open covering of a metric space  $\{M, d\}$ . Denote by  $d_{\{U_i\}}$  the metric  $\sup_{\alpha} d_{\alpha}$ , where the supremum is taken over all metrics  $d_{\alpha}$  which satisfy  $d_{\alpha}|_{U_i} = d$  for all open sets  $U_i$  in the cover. A metric  $d$  is called  **$\{U_i\}$ -local** if  $d_{\{U_i\}} = d$ . It is called  **$\varepsilon$ -local**, if it is  $\{U_i\}$ -local with respect to the covering  $\{U_i\}$  consisting of all  $\varepsilon$ -balls, and **local** if it is  $\varepsilon$ -local for all  $\varepsilon > 0$ .

**REMARK:** This definition is useful if we have a covering  $\pi : \tilde{M} \rightarrow M$ , and **want to extend a metric from  $M$  to  $\tilde{M}$ .**

**EXERCISE:** Find all finite local metrics on a line  $\mathbb{R}$ . Construct a non-local metric on  $\mathbb{R}$ .



## Weakly intrinsic implies local

**THEOREM:** Let  $(M, d)$  be a metric space, with  $d$  weakly intrinsic. **Then  $(M, d)$  is local.**

**Proof. Step 1:** Denote by  $d_\varepsilon$  the supremum of all metrics which are equal to  $d$  on all  $\varepsilon$ -balls. Clearly,  $d$  is local if and only if  $d = d_\varepsilon$  for all  $\varepsilon > 0$ .

**Step 2:** Let  $x, y \in M$ ,  $d(x, y) < \infty$ , and  $x = z_0, z_1, \dots, z_{n-1}, z_n = y$  be an  $\varepsilon$ -chain with defect  $\leq \delta$ . Then

$$d(x, y) \leq d_\varepsilon(x, y) \leq \sum_{i=0}^{n-1} d_\varepsilon(z_i, z_{i+1}) = \sum_{i=0}^{n-1} d(z_i, z_{i+1}) \leq d(x, y) + \delta$$

**(the equality is true because  $d_\varepsilon = d$  on any  $\varepsilon$ -ball).** Passing to the limit  $\delta \rightarrow 0$ , we obtain  $d(x, y) = d_\varepsilon(x, y)$ . ■

## Local implies weakly intrinsic

The converse is also true.

**THEOREM:** Let  $(M, d)$  be a metric space, with  $d$  local. **Then  $(M, d)$  is weakly intrinsic.**

**Proof:** Define  $d'_\varepsilon(x, y)$  as infimum of  $\sum_{i=0}^{n-1} d(z_i, z_{i+1})$  for all  $\varepsilon$ -chains  $z_0 = x, z_1, \dots, z_n = y$ . Clearly,  $d = d'_\varepsilon$  on all  $\frac{1}{2}\varepsilon$ -balls; since  $d$  is local, this implies  $d'_\varepsilon \leq d$ . On the other hand  $d'_\varepsilon \geq d$  by triangle inequality. This gives  $d = d'_\varepsilon$ . Then  $d(x, y) = \inf_{x_1, \dots, x_{n-1}} \sum_{i=0}^{n-1} d(z_i, z_{i+1})$  where the infimum is taken over all sequences  $z_0 = x, z_1, \dots, z_n = y$  such that  $d(z_i, z_{i+1}) < \varepsilon$ , hence  $d$  is weakly intrinsic. ■

## The distance between metric balls

**DEFINITION:** For any two subsets  $A, B \subset M$ , we denote by  $d(A, B)$  the number  $\inf_{a \in A, b \in B} d(a, b)$ .

**DEFINITION:** We say that a metric space  $(M, d)$  **admits  $\varepsilon$ -midpoints** if any  $x, y \in M$  we have  $d(B_x(r/2), B_y(r/2)) = 0$ .

**THEOREM:** Let  $(M, d)$  be a metric space. **Then the following conditions are equivalent.**

- (1).  $(M, d)$  is weakly intrinsic.
- (2).  $(M, d)$  is local.
- (3). For any  $x, y \in M$ , and any  $r_1, r_2 > 0$  such that  $d(x, y) = r_1 + r_2$ , we have  $d(B_x(r_1), B_y(r_2)) = 0$ .
- (4).  $(M, d)$  admits  $\varepsilon$ -midpoints.

**REMARK:** The first two are equivalent as we have already shown. Also, (3) clearly implies (4). We are going to prove (1)  $\Rightarrow$  (3) and (4)  $\Rightarrow$  (1).

## The distance between metric balls (2)

**Proof. Step 1:** Weak intrinsic implies that for all  $\varepsilon, \delta > 0$  there exists an  $\varepsilon$ -chain  $x = t_0, \dots, t_n = y$  with defect  $\leq \delta$ . Clearly, **the defect is monotonous if we pass from  $t_0, \dots, t_n$  to a subset with the same ordering.**

**Step 2:** Let  $t_k$  be the last of  $t_i$  which belongs to  $B_x(r_1)$ , and  $t_l$  the first of  $t_i$  which belongs to  $B_y(r_2)$ . Since  $t_{k+1} \notin B_x(r_1)$ , we have  $d(x, t_{k+1}) > r_1$ , and  $d(t_k, t_{k+1}) < \varepsilon$  **implies that  $r_1 > d(x, t_k) \geq r_1 - \varepsilon$ . Similarly,  $r_2 > d(y, t_l) \geq r_2 - \varepsilon$ .**

**Step 3:** Since the defect of the chain  $t_0, t_k, t_l, t_n$  is bounded by  $\delta$  (Step 1), we have  $d(x, t_k) + d(y, t_l) + d(t_l, t_k) < r_1 + r_2 + \delta$ . Then Step 2 implies

$$d(t_l, t_k) < r_1 + r_2 + \delta - d(x, t_k) - d(y, t_l) < \delta + 2\varepsilon.$$

**We have obtained  $d(B_x(r_1), B_y(r_2)) < \delta + 2\varepsilon$ .** Passing to the limit as  $\varepsilon, \delta \rightarrow 0$ , we get  $d(B_x(r_1), B_y(r_2)) = 0$ , hence (1)  $\Rightarrow$  (3).

## The distance between metric balls (3)

**Step 4:** We are going to prove that (4)  $\Rightarrow$  (1) (**existence of  $\varepsilon$ -midpoints implies that  $d$  is weak intrinsic**). Let  $x, y \in M$  be a points which satisfy  $d(x, y) = r < \infty$ . We fix  $\varepsilon \gg \delta > 0$ . Choose points  $x' \in B_x(r/2)$  and  $y' \in B_y(r/2)$  such that  $d(x', y') < \frac{1}{3}\delta$ . Clearly, the defect  $\delta_0$  of the chain  $x, x', y', y$  is bounded by  $\frac{1}{3}\delta$ .

**Step 5:** To prove that  $(M, d)$  is weakly intrinsic, **it remains to construct two  $\varepsilon$ -chains  $a_0 = x, a_1, \dots, a_l = x'$  and  $b_0 = y, b_1, \dots, b_m = y'$ , such that  $d(a_i, a_{i+1}) < \varepsilon$  and  $d(b_j, b_{j+1}) < \varepsilon$ , and the defect  $\delta_a, \delta_b$  of these chains is bounded by  $\frac{1}{3}\delta$** . Then the defect of the chain  $x = a_0, \dots, a_n = x', y' = b_m, \dots, y = b_0$  is bounded by  $\sum_i d(a_i, a_{i+1}) + \sum_j d(b_j, b_{j+1}) + d(x', y') - r \leq \delta_a + d(x, x') + \delta_b + d(y, y') + d(x', y') - r \leq \delta_a + \delta_b + \delta_0 \leq \delta$ .

**Step 6:** Let  $\lceil \alpha \rceil$  denote the smallest integer  $u \geq \alpha$ . We use induction in  $m = \lceil \frac{d(x, y)}{\varepsilon} \rceil$ . **Assume that an  $\varepsilon$ -chain with defect  $< \delta$  connecting  $x$  to  $y$  exists whenever  $\lceil \frac{d(x, y)}{\varepsilon} \rceil < n$ , for any given  $\delta > 0$** . Clearly,  $\lceil \frac{d(x, x')}{\varepsilon} \rceil < \lceil \frac{d(x, y)}{\varepsilon} \rceil$  unless  $\frac{d(x, x')}{\varepsilon} < 1$ . In the latter case, we take the  $\varepsilon$ -chain  $x = z_0, x' = z_1, y' = z_2, y = z_3$ , and observe that  $d(z_0, z_1) + d(z_1, z_2) + d(z_2, z_3) < d(x, y) + \frac{1}{3}\delta$ . Otherwise, we apply the induction assumption to find the chains  $a_0 = x, a_1, \dots, a_l = x'$  and  $b_0 = y, b_1, \dots, b_m = y'$  (Step 5). ■