

# **Complex manifolds of dimension 1**

## **lecture 7: Isometries of Poincaré plane**

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## Some low-dimensional Lie group isomorphisms

**DEFINITION: Lie algebra** of a Lie group  $G$  is the Lie algebra  $\text{Lie}(G)$  of left-invariant vector fields. **Adjoint representation** of  $G$  is the standard action of  $G$  on  $\text{Lie}(G)$ . For a Lie group  $G = GL(n)$ ,  $SL(n)$ , etc.,  $PGL(n)$ ,  $PSL(n)$ , etc. denote the image of  $G$  in  $GL(\text{Lie}(G))$  with respect to the adjoint action.

**REMARK: This is the same as a quotient  $G/Z$  by the center  $Z$  of  $G$  (prove it).**

**DEFINITION:** Define  $SO(1,2)$  as the group of orthogonal matrices on a 3-dimensional real space equipped with a scalar product of signature  $(1,2)$ ,  $SO^+(1,2)$  a connected component of unity, and  $U(1,1)$  the group of complex linear maps  $\mathbb{C}^2 \rightarrow \mathbb{C}^2$  preserving a pseudo-Hermitian form of signature  $(1,1)$ .

**THEOREM: The groups  $PU(1,1)$ ,  $PSL(2, \mathbb{R})$ ,  $SO^+(1,2)$  are isomorphic.**

**Proof:** Isomorphism  $PU(1,1) = SO^+(1,2)$  will be established later. To see  $PSL(2, \mathbb{R}) \cong SO^+(1,2)$ , consider **the Killing form**  $\kappa$  on the Lie algebra  $\mathfrak{sl}(2, \mathbb{R})$ , with  $\kappa(a, b) := \text{Tr}(ab)$ . **Check that it has signature  $(1,2)$ . Then the image of  $SL(2, \mathbb{R})$  in automorphisms of its Lie algebra is mapped to  $SO(\mathfrak{sl}(2, \mathbb{R}), \kappa) = SO^+(1,2)$ .** Both groups are 3-dimensional, hence it is an isomorphism (“Corollary 2” in Lecture 3). ■

## Möbius transforms (reminder)

**DEFINITION:** **Möbius transform** is a conformal (that is, holomorphic) diffeomorphism of  $\mathbb{C}P^1$ .

**REMARK:** The group  $PGL(2, \mathbb{C})$  acts on  $\mathbb{C}P^1$  holomorphically.

**THEOREM:** The natural map from  $PGL(2, \mathbb{C})$  to the group of Möbius transforms is an isomorphism.

**DEFINITION:** **A circle in  $S^2$**  is an orbit of a 1-parametric isometric rotation subgroup  $U \subset PGL(2, \mathbb{C})$ .

**PROPOSITION:** The action of  $PGL(2, \mathbb{C})$  on  $\mathbb{C}P^1$  maps circles to circles.

**THEOREM:** All conformal automorphisms of  $\mathbb{C}$  can be expressed by  $z \rightarrow az + b$ , where  $a, b$  are complex numbers,  $a \neq 0$ .

## Schwartz lemma

**CLAIM: (maximum principle)** Let  $f$  be a holomorphic function defined on an open set  $U$ . **Then  $f$  cannot have strict maxima in  $U$ . If  $f$  has non-strict maxima, it is constant.**

**Proof:** By Cauchy formula,  $f(0) = \frac{1}{2\pi} \int_{\partial\Delta} f(z) \frac{dz}{-\sqrt{-1}z}$ , where  $\Delta$  is a disk in  $\mathbb{C}$ . An elementary calculation gives  $\frac{dz}{-\sqrt{-1}z}|_{\partial\Delta} = \text{Vol}(\partial\Delta)$  – the volume form on  $\partial\Delta$ . Therefore,  $f(0)$  is the average of  $f(z)$  on the circle, and it is the average of  $f(z)$  on the disk  $\Delta$ . Now, absolute value of the average  $|\text{Av}_{x \in S} \mu(x)|$  of a complex-valued function  $\mu$  on a set  $S$  is equal to  $\max_{x \in S} |\mu(x)|$  only if  $\mu = \text{const}$  almost everywhere on  $S$  (**check this**). ■

**LEMMA: (Schwartz lemma)** Let  $f : \Delta \rightarrow \Delta$  be a map from disk to itself fixing 0. **Then  $|f'(0)| \leq 1$ , and equality can be realized only if  $f(z) = \alpha z$  for some  $\alpha \in \mathbb{C}$ ,  $|\alpha| = 1$ .**

**Proof:** Consider the function  $\varphi := \frac{f(z)}{z}$ . Since  $f(0) = 0$ , it is holomorphic, and since  $f(\Delta) \subset \Delta$ , on the boundary  $\partial\Delta$  we have  $|\varphi|_{\partial\Delta} \leq 1$ . Now, **the maximum principle implies that  $|f'(0)| = |\varphi(0)| \leq 1$** , and equality is realized only if  $\varphi = \text{const}$ . ■

## Conformal automorphisms of the disk act transitively

**CLAIM:** Let  $\Delta \subset \mathbb{C}$  be the unit disk. **Then the group  $\text{Aut}(\Delta)$  of its holomorphic automorphisms acts on  $\Delta$  transitively.**

**Proof. Step 1:** Let  $V_a(z) = \frac{z-a}{1-\bar{a}z}$  for some  $a \in \Delta$ . Then  $V_a(0) = -a$ . To prove transitivity, it remains to show that  $V_a(\Delta) = \Delta$ .

**Step 2:** For  $|z| = 1$ , we have

$$|V_a(z)| = |V_a(z)||z| = \left| \frac{z\bar{z} - a\bar{z}}{1 - \bar{a}z} \right| = \left| \frac{1 - a\bar{z}}{1 - \bar{a}z} \right| = 1.$$

Therefore,  $V_a$  preserves the circle. Maximum principle implies that  $V_a$  maps its interior to its interior.

**Step 3:** To prove invertibility, we interpret  $V_a$  as an element of  $PGL(2, \mathbb{C})$ . ■

## Transitive action is determined by a stabilizer of a point

**Lemma 2:** Let  $M = G/H$  be a homogeneous space, and  $\Psi : G_1 \rightarrow G$  a homomorphism such that  $G_1$  acts on  $M$  transitively and  $\text{St}_x(G_1) = \text{St}_x(G)$ .

**Then  $G_1 = G$ .**

**Proof:** Since any element in  $\ker \Psi$  belongs to  $\text{St}_x(G_1) = \text{St}_x(G) \subset G$ , the homomorphism  $\Psi$  is injective. It remains only to show that  $\Psi$  is surjective.

Let  $g \in G$ . Since  $G_1$  acts on  $M$  transitively,  $gg_1(x) = x$  for some  $g_1 \in G_1$ . Then  $gg_1 \in \text{St}_x(G_1) = \text{St}_x(G) \subset \text{im } G_1$ . This gives  $g \in G_1$ . ■

## Group of conformal automorphisms of the disk

**REMARK:** The group  $PU(1, 1) \subset PGL(2, \mathbb{C})$  of unitary matrices preserving a pseudo-Hermitian form  $h$  of signature  $(1, 1)$  acts on a disk  $\{l \in \mathbb{C}P^1 \mid h(l, l) > 0\}$  by holomorphic automorphisms.

**COROLLARY:** Let  $\Delta \subset \mathbb{C}$  be the unit disk,  $\text{Aut}(\Delta)$  the group of its conformal automorphisms, and  $\psi : PU(1, 1) \longrightarrow \text{Aut}(\Delta)$  the map constructed above. **Then  $\psi$  is an isomorphism.**

**Proof:** We use Lemma 2. Both groups act on  $\Delta$  transitively, hence **it suffices only to check that  $\text{St}_x(PU(1, 1)) = S^1$  and  $\text{St}_x(\text{Aut}(\Delta)) = S^1$ .** The first isomorphism is clear, because the space of unitary automorphisms fixing a vector  $v$  is  $U(v^\perp)$ . The second isomorphism follows from Schwartz lemma **(prove it!)**. ■

**COROLLARY:** Let  $h$  be a homogeneous metric on  $\Delta = PU(1, 1)/S^1$ . **Then  $(\Delta, h)$  is conformally equivalent to  $(\Delta, \text{flat metric})$ .**

**Proof:** The group  $\text{Aut}(\Delta) = PU(1, 1)$  acts on  $\Delta$  holomorphically, that is, preserving the conformal structure of the flat metric. However, homogeneous conformal structure on  $PU(1, 1)/S^1$  is unique for the same reason the homogeneous metric is unique up to a constant multiplier **(prove it)**. ■

## Upper half-plane

**REMARK:** The map  $z \rightarrow -(z - \sqrt{-1})^{-1} - \frac{\sqrt{-1}}{2}$  induces a diffeomorphism from the unit disc in  $\mathbb{C}$  to the upper half-plane  $\mathbb{H}^2$  **(prove it)**.

**PROPOSITION:** The group  $\text{Aut}(\Delta)$  acts on the upper half-plane  $\mathbb{H}^2$  as  $z \xrightarrow{A} \frac{az+b}{cz+d}$ , where  $a, b, c, d \in \mathbb{R}$ , and  $\det \begin{pmatrix} a & b \\ c & d \end{pmatrix} > 0$ .

**REMARK:** The group of such  $A$  is naturally identified with  $PSL(2, \mathbb{R}) \subset PSL(2, \mathbb{C})$ .

**Proof:** The group  $PSL(2, \mathbb{R})$  preserves the line  $\text{im } z = 0$ , hence acts on  $\mathbb{H}^2$  by conformal automorphisms. The stabilizer of a point is  $S^1$  **(prove it)**. Now, Lemma 2 implies that  $PSL(2, \mathbb{R}) = PU(1, 1)$ . ■

**COROLLARY:** The group of conformal automorphisms of  $\mathbb{H}^2$  acts on  $\mathbb{H}^2$  preserving a unique, up to a constant, Riemannian metric. **The Riemannian manifold  $PSL(2, \mathbb{R})/S^1$  obtained this way is isometric to a hyperbolic space.**



## Upper half-plane as a Riemannian manifold

**DEFINITION: Poincaré half-plane** is the upper half-plane equipped with a homogeneous metric of constant negative curvature constructed above.

**THEOREM:** Let  $(x, y)$  be the usual coordinates on the upper half-plane  $\mathbb{H}^2$ . Then the Riemannian structure  $s$  on  $\mathbb{H}^2$  is written as  $s = \text{const} \frac{dx^2 + dy^2}{y^2}$ .

**Proof:** Since the complex structure on  $\mathbb{H}^2$  is the standard one and all Hermitian structures are proportional, we obtain that  $s = \mu(dx^2 + dy^2)$ , where  $\mu \in C^\infty(\mathbb{H}^2)$ . It remains to find  $\mu$ , using the fact that  $s$  is  $PSL(2, \mathbb{R})$ -invariant.

For each  $a \in \mathbb{R}$ , the parallel transport  $z \rightarrow z + a$  fixes  $s$ , hence  $\mu$  is a function of  $y$ . For any  $\lambda \in \mathbb{R}^{>0}$ , the homothety  $H_\lambda(z) = \lambda z$  also fixes  $s$ ; since  $\mathbb{H}_\lambda(dx^2 + dy^2) = \lambda^2(dx^2 + dy^2)$ , we have  $\mu(\lambda z) = \lambda^{-2}\mu(z)$  for any  $z \in \mathbb{H}^2$ . The only function  $\mu(x, y)$  which is constant in  $x$  and satisfies  $\mu(\lambda y) = \lambda^{-2}\mu(y)$  is  $\mu(x, y) = \text{const } y^{-2}$ . ■

## Geodesics on Riemannian manifold

**DEFINITION: Minimising geodesic** in a Riemannian manifold is a piecewise smooth path connecting  $x$  to  $y$  such that its length is equal to the geodesic distance. **Geodesic** is a piecewise smooth path  $\gamma$  such that for any  $x \in \gamma$  there exists a neighbourhood of  $x$  in  $\gamma$  which is a minimising geodesic.

**EXERCISE:** Prove that a big circle in a sphere is a geodesic. Prove that an interval of a big circle of length  $\leq \pi$  is a minimising geodesic.

## Geodesics in Poincaré half-plane

**THEOREM:** Geodesics on a Poincaré half-plane are vertical straight lines and their images under the action of  $SL(2, \mathbb{R})$ .

**Proof. Step 1:** Let  $a, b \in \mathbb{H}^2$  be two points satisfying  $\operatorname{Re} a = \operatorname{Re} b$ , and  $l$  the vertical line connecting these two points. Denote by  $\Pi$  the orthogonal projection from  $\mathbb{H}^2$  to the vertical line connecting  $a$  to  $b$ . For any tangent vector  $v \in T_z \mathbb{H}^2$ , one has  $|D\pi(v)| \leq |v|$ , and the equality means that  $v$  is vertical (prove it). Therefore, **a projection of a path  $\gamma$  connecting  $a$  to  $b$  to  $l$  has length  $\leq L(\gamma)$ , and the equality is realized only if  $\gamma$  is a straight vertical interval.**

**Step 2:** For any points  $a, b$  in the Poincaré half-plane, **there exists an isometry mapping  $(a, b)$  to a pair of points  $(a_1, b_1)$  such that  $\operatorname{Re}(a_1) = \operatorname{Re}(b_1)$ . (Prove it!)**

**Step 3:** Using Step 2, we prove that **any geodesic  $\gamma$  on a Poincaré half-plane is obtained as an isometric image of a straight vertical line:**  
 $\gamma = v(\gamma_0)$ ,  $v \in \operatorname{Iso}(\mathbb{H}^2) = PSL(2, \mathbb{R})$  ■

## Geodesics in Poincaré half-plane

**CLAIM:** Let  $S$  be a circle or a straight line on a complex plane  $\mathbb{C} = \mathbb{R}^2$ , and  $S_1$  closure of its image in  $\mathbb{C}P^1$  under the natural map  $z \rightarrow 1 : z$ . **Then  $S_1$  is a circle, and any circle in  $\mathbb{C}P^1$  is obtained this way.**

**Proof:** The circle  $S_r(p)$  of radius  $r$  centered in  $p \in \mathbb{C}$  is given by equation  $|p - z| = r$ , in homogeneous coordinates it is  $|px - z|^2 = r|x|^2$ . This is the zero set of the pseudo-Hermitian form  $h(x, z) = |px - z|^2 - |x|^2$ , hence it is a circle.

■

**COROLLARY:** **Geodesics on the Poincaré half-plane are vertical straight lines and half-circles orthogonal to the line  $\text{im } z = 0$  in the intersection points.**

**Proof:** We have shown that geodesics in the Poincaré half-plane are Möbius transforms of straight lines orthogonal to  $\text{im } z = 0$ . However, any Möbius transform preserves angles and maps circles or straight lines to circles or straight lines. ■

## Poincaré metric on a disk

**DEFINITION: Poincaré metric** on the unit disk  $\Delta \subset \mathbb{C}$  is an  $\text{Aut}(\Delta)$ -invariant metric (it is unique up to a constant multiplier; prove it).

**DEFINITION:** Let  $f : M \rightarrow M_1$  be a map of metric spaces. Then  $f$  is called  **$C$ -Lipschitz** if  $d(x, y) \geq C d(f(x), f(y))$ . A map is called **Lipschitz** if it is  $C$ -Lipschitz for some  $C > 0$ .

**THEOREM: (Schwartz-Pick lemma)**

**Any holomorphic map  $\varphi : \Delta \rightarrow \Delta$  from a unit disk to itself is 1-Lipschitz with respect to Poincaré metric.**

**Proof. Step 1:** We need to prove that for each  $x \in \Delta$  the norm of the differential, taken with respect to the Poincaré metric, satisfies  $|D\varphi_x|_P \leq 1$ . Since the automorphism group acts on  $\Delta$  transitively, **it suffices to prove that  $|D\varphi_x| \leq 1$  when  $x = 0$  and  $\varphi(x) = 0$ .**

**Step 2:** This is Schwartz lemma. ■