

# **Seiberg-Witten invariants,**

## **lecture 2: Spin structures**

IMPA, sala 236

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## Clifford algebras (reminder)

**DEFINITION:** The Clifford algebra of a vector space  $V$  with a scalar product  $q$  is an algebra generated by  $V$  with a relation  $xy + yx = -q(x, y)1$ , that is, a quotient of  $T^{\otimes}V := k \oplus V \oplus V \otimes V \oplus \dots \oplus T^{\otimes i}V \oplus \dots$  by an ideal

$$T^{\otimes}V \cdot (xy + yx + q(x, y)) \cdot T^{\otimes}V$$

generated by the relation  $xy + yx = -q(x, y)$  for all  $x, y \in V$ .

**EXAMPLE:** If  $q = 0$ , Clifford algebra is Grassmann algebra.

**THEOREM:** Let  $V = \mathbb{C}^n$  with non-degenerate scalar product. Then  $\text{Cl}(V) = \text{Mat}(\mathbb{C}, 2^{n/2})$  for even  $n$  and  $\text{Cl}(V) = \text{Mat}(\mathbb{C}, 2^{n-1/2}) \oplus \text{Mat}(\mathbb{C}, 2^{n-1/2})$  for odd  $n$ .

**Proof:** Lecture 1. ■

$$\text{Cl}(W \oplus W^*) = \text{Mat}(\Lambda^*W)$$

**THEOREM:** Let  $V := W \oplus W^*$ , with the usual pairing  $\langle (x + \xi), (x' + \xi') \rangle = \xi(x') + \xi'(x)$ . **Then  $\text{Cl}(V)$  is naturally isomorphic to  $\text{Mat}(\Lambda^*V^*)$ .**

**Proof. Step 1:** Consider the convolution map  $W \otimes \Lambda^i W^* \rightarrow \Lambda^{i-1} W^*$ , with  $v \otimes \xi \rightarrow \xi(v, \cdot, \dots, \cdot)$  denoted by  $v, \xi \rightarrow i_v(\xi)$  and the exterior multiplication map  $W^* \otimes \Lambda^i W^* \rightarrow \Lambda^{i+1} W^*$ , with  $\nu \otimes \xi \rightarrow \nu \wedge \xi$ , denoted by  $\nu, \xi \rightarrow e_\nu(\xi)$ . Let  $V \otimes \Lambda^* W^* \xrightarrow{\Gamma} \Lambda^* W^*$  map  $(v, \nu) \otimes \xi$  to  $i_v(\xi) + e_\nu(\xi)$ . Clearly, all  $i_v$  pairwise anticommute, all  $e_\nu$  pairwise anticommute, and the anticommutator  $\{i_v, e_\nu\}$  is a scalar operator of multiplication by a number  $\nu(v)$ .

To prove the last assertion without any calculations, we notice that  $i_v$  is an odd derivation of the Grassmann algebra,  $e_\nu$  is a linear operator, and a commutator of a derivation and a linear operator is linear, hence one has

$$\{i_v, e_\nu\}(a) = \{i_v, e_\nu\}(1) \wedge a = \nu(v) \cdot a.$$

**Step 2:** These relations imply that the map  $V \otimes \Lambda^* W^* \xrightarrow{\Gamma} \Lambda^* W^*$ , is extended to a homomorphism  $\text{Cl}(V) \rightarrow \text{Mat}(\Lambda^* W^*)$ . It's not hard to show that this map is surjective. **Since  $\dim \text{Cl}(V) = 2^{\dim V} = 2^{2 \dim W} = \dim \text{Mat}(\Lambda^* W^*)$ , this also implies that  $\text{Cl}(V) \cong \text{Mat}(\Lambda^* W^*)$ .** ■

## An isomorphism $\mathfrak{so}(V) = \Lambda^2(V)$

**CLAIM:** Let  $q$  be a non-degenerate scalar product on  $V$ . Then the Lie algebra  $\mathfrak{so}(V)$  **is naturally isomorphic to  $\Lambda^2(V)$ .**

**Proof:** Consider  $q$  as a map  $\hat{g} : V \rightarrow V^*$ , and let  $\Psi : V \otimes V \rightarrow \text{End}(V)$  take  $x \otimes y$  to  $x \otimes \hat{g}(y) \in V \otimes V^* = \text{End}(V)$ . Then for any  $v \in V$ , we have  $\psi(\eta)(v) = i_v(\eta)$ , where  $i_v$  is the contraction with the 1-form  $q(v)$ . Then for any  $\eta \in V \otimes V$ , we have  $q(\Psi(\eta)(x), y) = q(i_x(\eta), y) = i_x i_y(\eta)$ . Clearly, **this map is skew-symmetric if and only if  $\eta \in \Lambda^2 V$ .** ■

$\mathfrak{so}(V)$ -action on  $\text{Cl}(V)$ 

**Remark 1:** Let  $\alpha_1, \alpha_2, \dots$  be derivations acting on an associative algebra  $A$  and preserving its set of generators  $W$ . Then  $[\alpha_i, \alpha_j]$  is also a derivation, and its action on  $A$  is extended from  $W$ . In particular, **all Lie relations between  $\alpha_i$  which are true on  $W$  remains true on  $A$ .**

**REMARK:** Let  $a \in A$  be an element of an associative algebra. Then the map  $x \rightarrow [a, x]$  **is always a derivation on  $A$ .**

**Proof:**  $[a, x]y + x[a, y] = axy - xay + xay - xya = axy - xya = [a, xy]$ . ■

**CLAIM:** Consider the map  $V \otimes V \rightarrow \text{Cl}(V)$  taking  $x \otimes y$  to  $xy + q(x, y)$ . Clifford identities  $xy + q(x, y) = -yx - q(x, y)$  imply that this map is antisymmetric, hence defines a linear operator  $\Psi : \Lambda^2(V) \rightarrow \text{Cl}(V)$ . **Then its image is a Lie subalgebra of  $\text{Cl}(V)$ .** Moreover, it is isomorphic to  $\mathfrak{so}(V)$

**Proof. Step 1:** Let  $x, y \in V$  be orthogonal vectors. Then  $xy = -yx$ , by Clifford identities, hence  $\Psi(x \otimes y) = xy$ . Clearly,  $xyt - txy = xq(y, t) - yq(x, y)$ , hence  $a \rightarrow [xy, a]$  **acts on  $V \subset \text{Cl}(V)$  as an element of  $\mathfrak{so}(V)$  corresponding to  $x \wedge y$ .**

**Step 2:** The operation  $a \rightarrow [xy, a]$  **is a derivation**, hence the  $\mathfrak{so}(V)$ -action on  $V$  defined above extends to the action of the same Lie algebra on  $\text{Cl}(V)$  (Remark 1). ■

$\mathfrak{so}(V)$  in  $\text{Cl}(V)$

**CLAIM:** The image of the map  $\Psi : \Lambda^2(V) \rightarrow \text{Cl}(V)$  is a Lie subalgebra isomorphic to  $\mathfrak{so}(V)$ .

**Proof:** It suffices to compute  $[\Psi(x \wedge y), \Psi(z \wedge t)]$ , where  $x, y \in V$  and  $z, t \in V$  are orthogonal vectors. Let  $A := \Psi(x \wedge y)$ . Then  $[\Psi(x \wedge y), \Psi(z \wedge t)] = A(z)t - zA(t)$ , and this is precisely how  $\mathfrak{so}(V)$  acts on  $\Lambda^2(V)$ . ■

## Exponent in $\text{Cl}(V)$

**REMARK:** For any element  $z \in \text{Cl}(V)$ , we define  $\exp(z) := \sum_i \frac{z^i}{i!}$ .

**CLAIM:** For any  $\eta \in \Lambda^2 V$ , **the map  $z \rightarrow \exp(\Psi(\eta))z \exp(\Psi(\eta))^{-1}$  acts on  $\text{Cl}(V)$  as matrix exponentials of the map by  $v \mapsto [\Psi(\eta), v]$ .**

**Proof:** Let  $z \in \text{End}(V)$  and  $A(z)(v) := [z, v]$ . The relation  $e^z v e^{-z} = e^{A(z)} v$  is true in the tensor algebra, because

$$A(z)(v) = \frac{d}{dt} e^{tz} v e^{-tz} = \frac{d}{dt} e^{tA(z)} v,$$

hence  $e^z v e^{-z}$  and  $e^{A(z)} v$  are exponents of the same vector field. ■

**REMARK:** The exponent of  $\Psi(V) \subset \text{Cl}(V)$  is a subgroup of the group  $\text{Cl}(V)^*$  of invertible elements of  $\text{Cl}(V)$ . Previous claim implies that the **conjugation with this group acts on  $\text{Cl}(V)$  as the connected component  $SO^+(V)$  of  $SO(V)$ .** We are going to prove that **the group  $e^{\Psi(V)} \subset \text{Cl}(V)$  is the double cover of  $SO^+(V)$ , that is, the Spin group.**

## Spin group as a subgroup in the group of invertible elements of $\text{Cl}(V)$

**THEOREM:** Consider the Lie algebra embedding  $\mathfrak{so}(V) \rightarrow \text{Der}(\text{Cl}(V))$  constructed above. **Then its exponent is the non-trivial double cover of the connected component of  $SO(V)$ , that is,  $\text{Spin}(V)$ .**

**Proof. Step 1:** Clearly, an exponent of a derivation is an automorphism, and the exponent of  $\mathfrak{so}(V)$  is the connected component of  $SO(V)$  or its covering. **It remains to show that this subgroup is the Spin group, and not its quotient  $SO(V)$ .**

**Step 2:** Since  $\text{Cl}(\mathbb{R}^3, + + +) = \mathbb{H}^2$ , and its spinorial representation is  $\mathbb{H}$ . **This gives  $\text{Spin}(3) = SU(2)$ , where  $SU(2) = U(1, \mathbb{H})$  is the group of unit quaternions. This proves the claim when  $V = \mathbb{R}^3$ .**

**Step 3:** For any  $V = \mathbb{R}^n$ ,  $n > 3$ , there is a 3-dimensional subspace  $W \subset V$ , and **the corresponding subgroup  $G(V) \subset \text{Cl}(V)$  is isomorphic to  $SU(2)$** , while its Lie algebra is isomorphic to  $\mathfrak{so}(3)$ . This is impossible if  $G(V) = SO(V)$  hence  $G(V)$  is the 2-sheeted covering of  $SO(V)$ . ■

**REMARK:** We have shown that  $\text{Spin}(V) \subset \text{Cl}(V)^*$  **is the multiplicative subgroup of  $\text{Cl}(V)^*$  generated by  $e^\eta$ , for all  $\eta \in \Lambda^2(V)$ .**

## Principal bundles

**DEFINITION:** Let  $G$  be a Lie group. **Principal  $G$ -bundle** over a manifold  $M$  is a smooth fibration  $P \mapsto M$  with a smooth  $G$ -action which acts freely and transitively on fibers.

**EXAMPLE: Frame bundle** on a smooth  $n$ -manifold  $M$  is the bundle of all frames (bases) in  $T_x M$ , for all  $x \in M$ .

**DEFINITION:** Let  $H \rightarrow G$  be a group homomorphism, and  $P$  a principal  $H$ -bundle. Then the quotient  $P_G := P \times G/H$  (with  $H$  acting on both components in a natural way) is called **an associated principal bundle**, and  $P$  is called **reduction of the principal  $G$ -bundle  $P_G$  to the group  $H$** .

**DEFINITION:** Let  $G$  be a Lie group, and  $G \rightarrow GL(n, \mathbb{R})$  a group homomorphism. **A  $G$ -structure on a manifold  $M$**  is a reduction of the principal frame bundle to  $G$ .

**DEFINITION:** Let  $G$  be a Lie group,  $V$  its representation, and  $P$  a principal  $G$ -bundle on  $M$ . The quotient  $P \times V/G$  is a vector bundle over  $M$ , called **the associated vector bundle**.

## Spin-structures and spinor bundles

**DEFINITION:** A **spin-structure** on an oriented real vector bundle with a scalar product is a reduction of its frame bundle (which is a principal  $SO(n)$ -fibration) to  $\text{Spin}(n)$ .

**REMARK:** This happens precisely when the second Stiefel-Whitney class  $w_2(B)$  vanishes.

**CLAIM:** Let  $P_{SO(n)}(B)$  be the bundle of orthogonal frames in  $B$ . Then the Spin-structures on  $B$  **are in bijective correspondence with the 2-sheeted covers  $\tilde{P}_{SO(n)}(B) \rightarrow P_{SO(n)}(B)$  defining the universal cover on the fibers.**

■

**REMARK:** To prove that  $w_2(M)$  is an obstruction to existence of the spin structure, we need to consider an exact sequence

$$0 \longrightarrow H^1(M, \mathbb{Z}/2) \longrightarrow H^1(P_{SO(n)}(M), \mathbb{Z}/2) \longrightarrow H^1(SO(n), \mathbb{Z}/2) \xrightarrow{\delta} H^2(M, \mathbb{Z}/2)$$

and prove that the image of  $\delta$  is  $w_2(B)$ . This would follow if we compare this class with  $w_2(B)$  for the universal bundle over  $BSO(n)$  and then use its functoriality.

## Spinor bundle

**DEFINITION:** A **spin-structure** on an oriented  $n$ -manifold  $M$  is a reduction of its structure group to  $\text{Spin}(n)$ . A manifold is called **spin** if it admits a spin-structure.

**REMARK:** This happens precisely when the second Stiefel-Whitney class  $w_2(M)$  vanishes.

**DEFINITION:** A **bundle of spinors** on a spin-manifold  $M$  is a vector bundle associated to the principal  $\text{Spin}(n)$ -bundle and a spin representation.

## Exercises

**EXERCISE:** Let  $G \subset \text{Cl}(V)^*$  be the subgroup of all  $x \in \text{Cl}(V)^*$  such that  $xVx^{-1} \subset V$ . **Prove that the connected component of  $G$  is  $\text{Spin}(V)$ .**

**EXERCISE:** Let Pin group  $\text{Pin}(V) \subset \text{Cl}(V)^*$  be the subgroup generated by all  $v \in V$  such that  $q(v, v) = \pm 1$ . **Prove that the set of all even-graded elements in Pin is  $\text{Spin}(V)$ .**

**HINT:** Use the previous exercise.

**EXERCISE:** Let  $x_1, \dots, x_n$  be an oriented orthonormal basis in  $V$ , with  $q(x_i, x_i) = \pm 1$ . The **volume element** of  $\text{Cl}(V)$  is  $w := x_1x_2\dots x_n$ . Prove that **the center of  $\text{Spin}(V)$  is  $\pm 1 \subset \text{Cl}(V)$  when  $n$  is odd, and  $\{\pm 1, \pm w\}$  when it is even.**