

Complex surfaces, lecture 3:

Chern connection

IMPA, sala 236

Misha Verbitsky, January 10, 2023, 17:00

<http://verbit.ru/IMPA/Surfaces-2025/>

Connections

DEFINITION: Recall that a **connection** on a bundle B is an operator $\nabla : B \rightarrow B \otimes \Lambda^1 M$ satisfying $\nabla(fb) = b \otimes df + f\nabla(b)$, where $f \rightarrow df$ is de Rham differential. When X is a vector field, we denote by $\nabla_X(b) \in B$ the term $\langle \nabla(b), X \rangle$.

REMARK: A connection ∇ on B gives a connection $B^* \xrightarrow{\nabla^*} \Lambda^1 M \otimes B^*$ on the dual bundle, by the formula

$$d(\langle b, \beta \rangle) = \langle \nabla b, \beta \rangle + \langle b, \nabla^* \beta \rangle$$

These connections are usually denoted **by the same letter ∇** .

REMARK: For any tensor bundle $\mathcal{B}_1 := B^* \otimes B^* \otimes \dots \otimes B^* \otimes B \otimes B \otimes \dots \otimes B$ a **connection on B defines a connection on \mathcal{B}_1** using the Leibniz formula:

$$\nabla(b_1 \otimes b_2) = \nabla(b_1) \otimes b_2 + b_1 \otimes \nabla(b_2).$$

Parallel transport along the connection

THEOREM: Let B be a vector bundle with connection over \mathbb{R} . Then for each $x \in \mathbb{R}$ and each vector $b_x \in B|_x$ **there exists a unique section $b \in B$ such that $\nabla b = 0$, $b|_x = b_x$.**

Proof: This is existence and uniqueness of solutions of an ODE $\frac{db}{dt} + A(b) = 0$.

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DEFINITION: Let $\gamma : [0, 1] \rightarrow M$ be a smooth path in M connecting x and y , and (B, ∇) a vector bundle with connection. Restricting (B, ∇) to $\gamma([0, 1])$, we obtain a bundle with connection on an interval. Solve an equation $\nabla(b) = 0$ for $b \in B|_{\gamma([0,1])}$ and initial condition $b|_x = b_x$. This process is called **parallel transport** along the path via the connection. The vector $b_y := b|_y$ is called **vector obtained by parallel transport of b_x along γ** . **Holonomy group** of γ is the group of endomorphisms of the fiber B_x obtained from parallel transports along all paths starting and ending in $x \in M$

Curvature

Let $\nabla : B \rightarrow B \otimes \Lambda^1 M$ be a connection on a vector bundle B . **We extend ∇ to an operator**

$$B \xrightarrow{\nabla} \Lambda^1(M) \otimes B \xrightarrow{\nabla} \Lambda^2(M) \otimes B \xrightarrow{\nabla} \Lambda^3(M) \otimes B \xrightarrow{\nabla} \dots$$

using the Leibnitz identity $\nabla(\eta \otimes b) = d\eta \otimes b + (-1)^{\tilde{\eta}} \eta \wedge \nabla b$.

REMARK: This operation is well defined, because

$$\begin{aligned} \nabla(\eta \otimes fb) &= d\eta \otimes fb + (-1)^{\tilde{\eta}} \eta \wedge \nabla(fb) = \\ &= d\eta \otimes fb + (-1)^{\tilde{\eta}} \eta \wedge df \otimes b + f\eta \wedge \nabla b = d(f\eta) \otimes b + f\eta \wedge \nabla b = \nabla(f\eta \otimes b) \end{aligned}$$

REMARK: Sometimes $\Lambda^2(M) \otimes B \xrightarrow{\nabla} \Lambda^3(M) \otimes B$ is denoted d_{∇} .

DEFINITION: The operator $\nabla^2 : B \rightarrow B \otimes \Lambda^2(M)$ is called **the curvature** of ∇ .

REMARK: The algebra of differential forms with coefficients in $\text{End } B$ acts on $\Lambda^* M \otimes B$ via $\eta \otimes a(\eta' \otimes b) = \eta \wedge \eta' \otimes a(b)$, where $a \in \text{End}(B)$, $\eta, \eta' \in \Lambda^* M$, and $b \in B$. **This is the formula expressing the action of ∇^2 on $\Lambda^* M \otimes B$.**

Bianchi identity

REMARK: The algebra of $\text{End}(B)$ -valued forms naturally acts on $\Lambda^*M \otimes B$. The curvature satisfies $\nabla^2(fb) = d^2fb + df \wedge \nabla b - df \wedge \nabla b + f\nabla^2b = f\nabla^2b$, hence it is $C^\infty M$ -linear. **We consider it as an $\text{End}(B)$ -valued 2-form on M .**

REMARK: (Bianchi identity)

Clearly, $[\nabla, \nabla^2] = 0$. This gives **the Bianchi identity:** $d_\nabla(\Theta_B) = 0$, where Θ is considered as a section of $\Lambda^2(M) \otimes \text{End}(B)$, and $d_\nabla : \Lambda^2(M) \otimes \text{End}(B) \rightarrow \Lambda^3(M) \otimes \text{End}(B)$ the operator defined above.

REMARK: This implies that $d(\text{Tr}_B(\Theta_B^n)) = 0$, hence $\text{Tr}_B(\Theta_B^n)$ **is a closed differential form on M** . This form is used to define the Chern classes and Pontryagin classes (“Chern-Weil formula”).

Riemann-Hilbert correspondence

DEFINITION: A connection is **flat** if its curvature vanishes.

THEOREM: Let M be a simply connected manifold, and (B, ∇) a bundle with connection. Then **∇ is flat if and only if the holonomy of ∇ is trivial.**

THEOREM: Let M be a connected manifold, \mathcal{C}_1 the category of representations of $\pi_1(M)$, and \mathcal{C}_2 the category of locally constant sheaves. **Then the categories \mathcal{C}_1 and \mathcal{C}_2 are naturally equivalent.**

THEOREM: The categories \mathcal{C}_1 and \mathcal{C}_2 **are naturally equivalent to the category of vector bundles on M equipped with flat connection.**

Holomorphic bundles

DEFINITION: Holomorphic vector bundle on a complex manifold M is a locally trivial sheaf of \mathcal{O}_M -modules.

DEFINITION: The total space $\text{Tot}(B)$ of a holomorphic bundle B over M is the space of all pairs $\{x \in M, b \in B_x/\mathfrak{m}_x B\}$, where B_x is the stalk of B in $x \in M$ and \mathfrak{m}_x the maximal ideal of x . We equip $\text{Tot}(B)$ with the natural topology and holomorphic structure, in such a way that $\text{Tot}(B)$ becomes a locally trivial holomorphic fibration with fiber \mathbb{C}^r , $r = \text{rk } B$.

REMARK: The set of holomorphic sections of a map $\text{Tot}(B) \rightarrow M$ is naturally identified with the set of sections of the sheaf B .

CLAIM: Let B be a holomorphic bundle. Consider the sheaf $B_{C^\infty} := B \otimes_{\mathcal{O}_M} C^\infty M$. **Then B_{C^∞} is a locally trivial sheaf of $C^\infty M$ -modules.**

DEFINITION: B_{C^∞} is called **smooth vector bundle underlying the holomorphic vector bundle B** .

REMARK: The natural map $\text{Tot}(B) \rightarrow \text{Tot}(B_{C^\infty})$ is a diffeomorphism.

$\bar{\partial}$ -operator on vector bundles

REMARK: Let M be a complex manifold. Then **the operator $\bar{\partial} : C^\infty M \rightarrow \Lambda^{0,1}(M)$ is \mathcal{O}_M -linear.**

DEFINITION: Let B be a holomorphic vector bundle on M . Consider an operator $\bar{\partial} : B_{C^\infty} \rightarrow B_{C^\infty} \otimes \Lambda^{0,1}(M)$ mapping $b \otimes f$ to $b \otimes \bar{\partial}f$, where b is a holomorphic section of B , and f smooth. This operator is called **a holomorphic structure operator** on B . **It is well-defined because $\bar{\partial}$ is \mathcal{O}_M -linear,** and $B_{C^\infty} = B \otimes_{\mathcal{O}_M} C^\infty M$.

REMARK: The kernel of $\bar{\partial} : B_{C^\infty} \rightarrow B_{C^\infty} \otimes \Lambda^{0,1}(M)$ **coincides with the image of B** under the natural sheaf embedding $B \hookrightarrow B_{C^\infty}$, with $b \rightarrow b \otimes 1$.

DEFINITION: A **$\bar{\partial}$ -operator** on a smooth complex vector bundle V over a complex manifold is a differential operator $V \xrightarrow{\bar{\partial}} \Lambda^{0,1}(M) \otimes V$ satisfying $\bar{\partial}(fb) = \bar{\partial}(f) \otimes b + f\bar{\partial}(b)$ for any $f \in C^\infty M, b \in V$.

REMARK: A $\bar{\partial}$ -operator **can be extended to**

$$\bar{\partial} : \Lambda^{0,i}(M) \otimes V \rightarrow \Lambda^{0,i+1}(M) \otimes V,$$

using the Leibnitz identity $\bar{\partial}(\eta \otimes b) = \bar{\partial}(\eta) \otimes b + (-1)^{\tilde{\eta}} \eta \wedge \bar{\partial}(b)$, for all $b \in V$ and $\eta \in \Lambda^{0,i}(M)$.

Holomorphic structure operator

REMARK: For any holomorphic bundle, one has $\bar{\partial}^2 = 0$. Indeed, a holomorphic bundle admits a local trivialization.

THEOREM: (Koszul-Malgrange) Let $\bar{\partial} : V \rightarrow \Lambda^{0,1}(M) \otimes V$ be a $\bar{\partial}$ -operator on a complex vector bundle, satisfying $\bar{\partial}^2 = 0$, where $\bar{\partial}$ is extended to

$$V \xrightarrow{\bar{\partial}} \Lambda^{0,1}(M) \otimes V \xrightarrow{\bar{\partial}} \Lambda^{0,2}(M) \otimes V \xrightarrow{\bar{\partial}} \Lambda^{0,3}(M) \otimes V \xrightarrow{\bar{\partial}} \dots$$

as above. **Then $B := \ker \bar{\partial} \subset V$ is a holomorphic bundle of the same rank, and $V = B_{\mathbb{C}^\infty}$.**

Proof: The proof uses the same argument as used to prove the Newlander-Nirenberg theorem. ■

DEFINITION: A holomorphic structure operator on a bundle V is a $\bar{\partial}$ -operator $\bar{\partial} : V \rightarrow \Lambda^{0,1}(M) \otimes V$ which satisfies $\bar{\partial}^2 = 0$.

COROLLARY: The category of holomorphic vector bundles **is equivalent to the category of complex vector bundles equipped with a holomorphic structure operator.**

Connections and holomorphic structures

DEFINITION: Let V be a smooth complex vector bundle with connection $\nabla : V \rightarrow \Lambda^1(M) \otimes V$ and holomorphic structure $\bar{\partial} : V \rightarrow \Lambda^{0,1}(M) \otimes V$. Consider the Hodge type decomposition of ∇ , $\nabla = \nabla^{0,1} + \nabla^{1,0}$, where

$$\nabla^{0,1} : V \rightarrow \Lambda^{0,1}(M) \otimes V, \quad \nabla^{1,0} : V \rightarrow \Lambda^{1,0}(M) \otimes V.$$

We say that **the connection ∇ is compatible with the holomorphic structure** if $\nabla^{0,1} = \bar{\partial}$.

DEFINITION: A **holomorphic Hermitian vector bundle** is a smooth complex vector bundle equipped with a Hermitian metric and a holomorphic structure.

DEFINITION: Let (B, ∇) be a bundle with connection, and h a Hermitian form on B . Extending ∇ to the tensor powers of B , we can apply it to h ; the result can be obtained explicitly from the formula

$$(\nabla h)(X, Y) = d(h(X, Y)) - h(\nabla X, Y) - h(X, \nabla Y).$$

The connection ∇ is called **unitary** if $\nabla(h) = 0$.

DEFINITION: **Chern connection** on a holomorphic Hermitian vector bundle is a unitary connection compatible with the holomorphic structure.

Chern connection

THEOREM: Every holomorphic Hermitian vector bundle **admits a Chern connection, which is unique.**

Proof. Step 1: Given a complex vector bundle B , define **complex conjugate bundle** \bar{B} as the same \mathbb{R} -bundle with complex conjugate \mathbb{C} -action. Then **a connection ∇ on B defines a connection $\bar{\nabla}$ on \bar{B} , with $\bar{\nabla}^{1,0} = \overline{\nabla^{0,1}}$ and $\bar{\nabla}^{0,1} = \overline{\nabla^{1,0}}$.**

Step 2: Define **$\nabla^{1,0}$ -operator** on a complex vector bundle B as a map $B \xrightarrow{\nabla^{1,0}} \Lambda^{1,0}(M) \otimes B$, satisfying $\nabla^{1,0}(fb) = \partial(f) \otimes b + f \nabla^{1,0}(b)$ for any $f \in C^\infty M, b \in B$. **A $\bar{\partial}$ -operator on B defines an $\nabla^{1,0}$ -operator on \bar{B} , and vice versa.**

Step 3: Hermitian form defines an isomorphism of complex vector bundles $B \xrightarrow{g} \bar{B}^*$. Holomorphic structure on B defines a $\bar{\partial}$ -operator on $\bar{B} = B^*$, which is the same as $\nabla^{1,0}$ -operator $\nabla_g^{1,0}$ on B . **This gives a connection operator $\nabla := \bar{\partial} + \nabla_g^{1,0}$ on B , which is Hermitian by construction. ■**

REMARK: When people say about “curvature of a holomorphic Hermitian line bundle”, **they speak about curvature of the Chern connection.**

Curvature of a holomorphic line bundle

REMARK: If L is a line bundle, $\text{End } L$ is trivial, and **the curvature Θ_L of L is a closed 2-form.**

REMARK: When speaking of a “**curvature of a holomorphic bundle**”, one usually means the curvature of a Chern connection.

REMARK: Let B be a holomorphic Hermitian line bundle, and b its non-degenerate holomorphic section. Denote by η a $(1,0)$ -form which satisfies $\nabla^{1,0}b = \eta \otimes b$. Then $d|b|^2 = \text{Re } g(\nabla^{1,0}b, b) = \text{Re } \eta |b|^2$. **This gives $\nabla^{1,0}b = \frac{\partial |b|^2}{|b|^2} b = 2\partial \log |b| b$.**

REMARK: Then $\Theta_B(b) = 2\bar{\partial}\partial \log |b| b$, **that is, $\Theta_B = -2\partial\bar{\partial} \log |b|$.**

COROLLARY: If $g' = e^{2f}g$ – two metrics on a holomorphic line bundle, Θ, Θ' their curvatures, **one has $\Theta' - \Theta = -2\partial\bar{\partial}f$**

Curvature of the Chern connection

PROPOSITION: Curvature Θ_B of a Chern connection on B is a (1,1)-form: $\Theta_B \in \Lambda^{1,1}(M) \otimes \text{End}(B)$.

Proof. Step 1: Let B be a Hermitian bundle. Consider the operator $\varphi \xrightarrow{\iota} -\varphi^*$ acting on $\text{End}(B)$, where $\varphi \rightarrow \varphi^*$ denotes the Hermitian conjugation. Since $\iota^2 = \text{Id}$, and this is an anticomplex operator, it defines the real structure, and its fixed point set is \mathfrak{u}_B , the Lie algebra of anti-Hermitian matrices. **Step 2:** Since the Chern connection preserves the Hermitian structure g , one has $\nabla(g) = 0$, which gives $\nabla^2(g) = 0$. This means that $\Theta_B \in \Lambda^2 M \otimes \mathfrak{u}_B$, **and this for is real with respect to the real structure defined by ι .**

Step 3: The (0,2)-part of the curvature vanishes, because $\bar{\partial}^2 = 0$. The (2,0)-part of the curvature vanishes, because $\iota(\Theta_B) = \Theta_B$, and **any real structure on $\text{End}(B)$ exchanges $\Lambda^{2,0}(M) \otimes \text{End}(B)$ and $\Lambda^{0,2}(M) \otimes \text{End}(B)$.**

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COROLLARY: For the Chern connection $\nabla = \bar{\partial} + \nabla^{1,0}$ on B , one has $\Theta_B = \{\nabla^{1,0}, \bar{\partial}\}$.

COROLLARY: The curvature of a holomorphic Hermitian line bundle is a closed (1,1)-form.

Curvature of the Chern connection on a line bundle

REMARK: Let B be a Hermitian holomorphic line bundle, and $b \in \Gamma(B)$ a nowhere vanishing holomorphic section. Then

$$d|b|^2 = (\nabla^{1,0}b, b) + (b, \nabla^{1,0}b) = 2 \operatorname{Re}(\nabla^{1,0}b, b),$$

which gives $\nabla^{1,0}b = \frac{\partial|b|^2}{|b|^2}b = 2\partial \log |b|b$. **We obtain that $\Theta_B(b) = 2\bar{\partial}\partial \log |b|b$, hence $\Theta_B = -2\partial\bar{\partial} \log |b|$.**

REMARK: The same formula holds for B of any rank. Consider a holomorphic section b of B , and let $(\Theta(b), b)$ be the corresponding 2-form on M . **Then $(\Theta(b), b)(x, y) = \bar{\partial}\partial|b|^2(x, y)$.**

REMARK: Suppose that $b = fb_1$, where f is a holomorphic function. Then

$$\begin{aligned} \partial\bar{\partial} \log |b| &= \partial\bar{\partial} \log |b_1| + \partial\bar{\partial} \log |f| = \partial\bar{\partial} \log |b_1| + \frac{1}{2}\partial\bar{\partial} \log(ff) = \\ &= \partial\bar{\partial} \log |b_1| + \frac{1}{2}\partial\bar{\partial} \log(f) + \frac{1}{2}\partial\bar{\partial} \log(\bar{f}). \end{aligned}$$

The last two terms vanish because $\bar{\partial} \log f = 0$ and $\partial \log \bar{f} = 0$, hence $\partial\bar{\partial} \log |b| = \partial\bar{\partial} \log |b_1|$. This implies that the curvature 2-form $\partial\bar{\partial} \log |b|$ **is independent from the choice of a holomorphic section b .**