

# **Topologia das Variedades**

**Cohomology, lecture 10: Hopf theorem**

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**June 12, 2018**

**IMPA, Tuesdays and Thursdays, 10:30, Sala 224**

## Bialgebras

**DEFINITION:** Let  $A^\bullet, B^\bullet$  be graded commutative algebras. The **tensor product algebra** is  $A^\bullet \otimes B^\bullet$  with the product  $a \otimes b \cdot a' \otimes b' = (-1)^{\tilde{b}\tilde{a}'} aa' \otimes bb'$ .

**REMARK:** By Künneth formula,  $H^\bullet(X \times Y)$  is isomorphic to  $H^\bullet(X) \otimes H^\bullet(Y)$  as an algebra.

**DEFINITION:** Let  $A^\bullet$  be a graded commutative algebra over a field  $k$ . We say that  $A^\bullet$  is a **bialgebra** if it is equipped with a homomorphism of algebras  $A \xrightarrow{\Delta} A \otimes A$ , called **comultiplication** which is **coassociative**, that is, satisfies

$$\Delta \circ \Delta \otimes \text{Id}_A = \Delta \circ \text{Id}_A \otimes \Delta : A \longrightarrow A \otimes_k A \otimes_k A.$$

**Counit** of a bialgebra is an algebra homomorphism  $A \xrightarrow{\varepsilon} k$  which satisfies  $\Delta \circ (\varepsilon \otimes \text{Id}_A) = \Delta \circ (\text{Id}_A \otimes \varepsilon) = \text{Id}_A$ . In the sequel, we shall tacitly assume that all bialgebras have counit.

**REMARK:** Coassociative comultiplication means that the dual space  $(A^\bullet)^*$  is equipped with an algebra structure. Compatibility of comultiplication with the multiplication in  $A^\bullet$  means that **this algebra structure on  $(A^\bullet)^*$  is given by a morphism of  $A$ -modules.**

## Examples of bialgebras

**EXAMPLE:** Let  $N$  be a set equipped with an associative operation  $N \times N \xrightarrow{m} N$  with unit  $e$  (such a structure is called **the structure of a monoid**, or **semigroup with unit**). Then **the ring of  $k$ -valued functions  $C(N)$  is a bialgebra**, with comultiplication morphism given by  $m^* : C(N) \rightarrow C(N \times N) = C(N) \otimes_k C(N)$ , and counit  $\varepsilon(v) = v(e)$ .

**REMARK:** The notion of a bialgebra is an abstraction of this observation: heuristically speaking, **bialgebras are algebras of functions on monoids**.

**EXAMPLE:** Let  $N$  be a topological space equipped with a continuous map  $N \times N \xrightarrow{m} N$  inducing the structure of a monoid. Consider the comultiplication on the cohomology algebra  $H^\bullet(N)$ , given by  $m^* : H^\bullet(N) \rightarrow H^\bullet(N \times N) = H^\bullet(N) \otimes_k H^\bullet(N)$ . **Then  $H^\bullet(N)$  is a bialgebra**. Indeed, coassociativity of  $m^*$  follows from associativity of  $N$ , and counit is given by the pullback to  $H^\bullet(e) = H^0(e) = k$ .

## *H*-spaces

**DEFINITION:** An *H*-space is a topological space  $M$  equipped with a continuous map  $M \times M \xrightarrow{\mu} M$  (“the multiplication map”) and an element  $e \in M$  (“the unit”) which satisfy “semigroup conditions up to homotopy”, namely the following.

\* **Homotopy associativity:** the maps  $\mu \times \text{Id} \circ \mu : M \times M \times M \rightarrow M$  and  $\text{Id} \times \mu \circ \mu : M \times M \times M \rightarrow M$  are homotopic.

\* **Homotopy unit:** the map  $\mu : M \times \{e\} \rightarrow M$  is homotopic to identity.

**EXAMPLE:** Clearly, any topological group is an *H*-space.

**CLAIM:** Let  $M$  be an *H*-space. Then the cohomology algebra  $H^*(M)$  is a bialgebra. ■

## Loop spaces as $H$ -spaces

**EXAMPLE:** Let  $\Omega(M, x)$  be the space of loops, that is, paths  $\gamma : [0, 1] \rightarrow M$  starting and ending in  $x$ . We can multiply loops by mapping a pair  $\gamma_1, \gamma_2 : [0, 1] \rightarrow M$  to a loop  $\gamma_1\gamma_2 : [0, 1] \rightarrow M$  equal to  $\gamma_1(2t)$  on  $[0, 1/2]$  and to  $\gamma_2(2t - 1)$  on  $[1/2, 1]$ . The homotopy unit is the constant loop. This defines **the structure of an  $H$ -group on the loop space.**

**REMARK:** The topology on the loop space  $\Omega(M, x)$  can be defined, for example, **by assuming that  $M$  is a metric space, and consider the uniform topology on the maps  $\gamma : [0, 1] \rightarrow M$ .** In more generality, we take **the compact-open topology**, with the base sets consisting of all loops which map a given compact  $K \subset [0, 1]$  to an open set  $U \subset M$ .

## Bialgebras of finite type

Let  $V^\bullet$  be a graded vector space. Denote by  $\text{Sym}_{gr}(V^\bullet)$  the tensor product  $\text{Sym}^*(V^{\text{even}}) \otimes \Lambda^*(V^{\text{odd}})$  with a natural grading. On  $\text{Sym}^*(V^{\text{even}}) \otimes \Lambda^*(V^{\text{odd}})$  one has a natural structure of an algebra.

**DEFINITION: Free commutative algebra** is a polynomial algebra. **Free graded commutative algebra** is  $\text{Sym}_{gr}(V^\bullet)$ , where  $V^\bullet$  is a graded vector space.

**DEFINITION: A graded algebra of finite type** is an algebra graded by  $i \geq 0$ , with all graded components finitely-dimensional.

**THEOREM: (Hopf theorem)** Let  $A^\bullet$  be a graded bialgebra of finite type over a field  $k$  of characteristic 0. **Then  $A^\bullet$  is a free graded commutative  $k$ -algebra.**

**REMARK:** This allows one to compute the multiplicative structure **on all Lie groups and on all loop spaces of finite CW-spaces.**

**REMARK:** For any Lie group  $H^*(G)$  is finite-dimensional, hence  **$H^*(G)$  is isomorphic to a Grassmann algebra.** In particular,  $\dim H^*(G) = 2^n$ .

**Heinz Hopf (1894-1971)**



Heinz Hopf (1894-1971)

## Primitive elements in a bialgebra

**DEFINITION:** An element  $x$  of a bialgebra is called **primitive** if  $\Delta(x) = x \otimes 1 + 1 \otimes x$ .

**REMARK:** First, the Hopf theorem is proven for all bialgebras, generated (multiplicatively) by the primitive elements, and then we prove that finite type bialgebras are generated by primitive elements.

**DEFINITION:** Let  $A$  be a Hopf algebra, and  $P \subset A$  the space of primitive elements. Consider the natural homomorphism  $\text{Sym}_{gr}(P) \xrightarrow{\psi} A$ . We say that  $A$  is **free up to degree  $k$**  if  $\bigoplus^{i \leq k} \text{Sym}_{gr}^i(P) \xrightarrow{\psi} A$  is an embedding.

**REMARK:** The following lemma **immediately implies Hopf theorem for all bialgebras generated by primitive elements.**

**LEMMA:** Let  $A^\bullet$  be a bialgebra which is free up to degree  $k$ . **Then  $A^\bullet$  is free up to degree  $k + 1$ .**



## Hopf theorem for bialgebras generated by primitive elements

**LEMMA:** Let  $A^*$  be a bialgebra which is free up to degree  $k$ . **Then  $A^*$  is free up to degree  $k + 1$ .**

**Proof. Step 1:** Let  $\{x_i\}$  be a basis in the space  $P$  of primitive elements. Consider a polynomial relation of degree  $k + 1$ , say,  $Q(x_1, \dots, x_n) = 0$ , and represent it as a polynomial of  $x_1$  with coefficients which are polynomials of  $x_2, \dots, x_n$ :  $Q = Q_m x_1^m + Q_{m-1} x_1^{m-1} + \dots + Q_0$ . Clearly,  $\Delta(Q) = Q \otimes 1 + 1 \otimes Q + R$ , where  $R \in \mathfrak{A} := \left( \bigoplus^{i \leq k} \text{Sym}_{gr}^i(P) \right) \otimes \left( \bigoplus^{i \leq k} \text{Sym}_{gr}^i(P) \right)$ .

**Step 2:** Since  $\psi : \bigoplus^{i \leq k} \text{Sym}_{gr}^i(P) \xrightarrow{\psi} A$  is an embedding, and elements of  $\mathfrak{A}$  belong to  $\text{im } \psi \otimes \text{im } \psi$ , each element of  $\mathfrak{A}$  can be uniquely represented as a sum of monomials  $\lambda \otimes \mu$ , where  $\lambda, \mu$  are degree  $\leq k$  monomials on  $x_i$ . Denote by  $\Pi : \mathfrak{A} \rightarrow x_1 \otimes \left( \bigoplus^{i \leq k} \text{Sym}_{gr}^i(P) \right)$  the projection to the sum of all monomials of form  $x_1 \otimes \mu$ . Since  $\Delta(x_i) = x_i \otimes 1 + 1 \otimes x_i$ , one has  $\Delta(x_1^m) = (x_1 \otimes 1 + 1 \otimes x_1)^m$ , **giving  $\Pi(\Delta(x_1^m)) = m x_1 \otimes x_1^{m-1}$ .**

**Step 3:** Let  $\Pi(R) := x_1 \otimes R_0$ . Since  $Q = 0$  in  $A$ , its component  $R_0$  is also equal to 0. Then Step 2 gives  $0 = x_1 \otimes R_0 = x_1 \otimes \sum_{i=1}^m m x_1^{m-1} Q_m$  where  $Q_i$  are polynomials defined in Step 1. Then all  $Q_i = 0$ . ■

## Algebras with filtration

**REMARK:** Step 3 of the proof of previous lemma uses  $\text{char } k = 0$ . **Hopf theorem is false for  $\text{char } k > 0$ .**

**DEFINITION: Filtration** on an algebra  $A$  is a sequence of subspaces  $A_0 \supset A_1 \supset A_2 \supset \dots$  such that  $A_i \cdot A_j \subset A_{i+j}$

**EXAMPLE:** Let  $I \subset A$  be an ideal. **the  $I$ -adic filtration** is the filtration by the degrees of the ideal  $I$ :  $A \supset I \supset I^2 \supset I^3 \supset \dots$

**DEFINITION:** Let  $A_0 \supset A_1 \supset A_2 \supset \dots$  be a filtered algebra. **The associated graded algebra** is  $A_{gr} := \bigoplus_i A_i/A_{i+1}$ .

**LEMMA:** Let  $A \supset I \supset I^2 \supset I^3 \supset \dots$  be an adic filtration, and  $A_{gr} := \bigoplus_i I^i/I^{i+1}$  the associated graded algebra. **Then  $A_{gr}$  is generated by its first and second graded components  $A/I \oplus I/I^2$ .**

**Proof:** Indeed,  $I^k/I^{k+1}$  is generated by products of  $k$  elements in  $(I/I^2)$ . ■

## Proof of Hopf theorem

**DEFINITION: Augmentation ideal** in a bialgebra is the kernel of the counit homomorphism  $\varepsilon : A \longrightarrow k$ .

**REMARK:** The counit condition gives  $x = [\varepsilon \otimes \text{Id}_A](\Delta(x))$  and  $x = [\text{Id}_A \otimes \varepsilon](\Delta(x))$ , hence

$$\Delta(x) = 1 \otimes x + x \otimes 1 \pmod{(Z \otimes Z)}$$

**THEOREM: (Hopf theorem)** Let  $A$  be a graded bialgebra of finite type over a field  $k$  of characteristic 0. **Then  $A$  is a free graded commutative  $k$ -algebra.**

**Proof. Step 1:** Consider the filtration of  $A$  by the degrees of the augmentation ideal  $A$ , and let  $A_{gr} := \bigoplus_i Z^i/Z^{i+1}$  be the associated graded algebra. **Since  $\Delta(x) = 1 \otimes x + x \otimes 1 \pmod{(Z \otimes Z)}$ , one has  $\Delta(Z^p) \subset \bigoplus_{i+j=p} Z^i \otimes Z^j$ .**

**Step 2:** This implies that all bialgebra operations on  $A$  are compatible with the  $Z$ -adic filtration. **Therefore,  $A_{gr}$  is also a Hopf algebra.**

**Step 3: The algebra  $A_{gr}$  is multiplicatively generated by  $Z^1/Z^2$ .** Since  $\Delta(x) = 1 \otimes x + x \otimes 1 \pmod{(Z \otimes Z)}$ , all elements of  $Z^1/Z^2$  are primitive in  $A_{gr}$ . Therefore, the algebra  $A_{gr}$  is generated by primitive elements. This implies that  **$A_{gr}$  is a free algebra generated by its space of primitive elements.**

## Proof of Hopf theorem (2)

**Step 4:** Let  $x_i$  be a basis in the space of primitive elements of  $A_{gr}$ , and let  $\tilde{x}_i$  be a representative of each of  $x_i \in Z^k/Z^{k+1}$  in  $Z_k$ , of the same parity as  $x_i$ . **Since there is no non-trivial relations between  $x_i$ , there are no non-trivial relations between  $\tilde{x}_i$ .** It remains to show that  $\tilde{x}_i$  generate  $A$ .

**Step 5:** Return to the grading originally given on  $A$ . Since  $\varepsilon$  is compatible with grading, the ideal  $Z$  is a direct sum of its graded components, and the algebra  $A_{gr}$  is equipped with a grading induced from  $A$ . Dimensions of the graded components  $A^p$  and  $A_{gr}^p$  of  $A$  and  $A_{gr}$  are equal, because any filtered space is isomorphic as a vector space to its associated graded space. Let  $\{y_i\}$  be a set of monomials of  $x_i \in A_{br}$  giving a basis in the graded component  $A_{gr}^p$ , and  $\{\tilde{y}_i\}$  the corresponding monomials in  $A^p$ . **Since  $\{y_i\}$  are linearly independent, the monomials  $\{\tilde{y}_i\}$  are linearly independent, and since  $\dim A^p = \dim A_{gr}^p$ , these monomials generate  $A^p$ .** We have shown that  $A$  is freely generated by the vectors  $\{\tilde{y}_i\}$ . ■