

# **Variations of Hodge structures**

## **lecture 2: Period maps and Torelli theorems**

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## Hodge structures (reminder)

**DEFINITION:** Let  $V_{\mathbb{R}}$  be a real vector space. **A (real) Hodge structure of weight  $w$**  on a vector space  $V_{\mathbb{C}} = V_{\mathbb{R}} \otimes_{\mathbb{R}} \mathbb{C}$  is a decomposition  $V_{\mathbb{C}} = \bigoplus_{p+q=w} V^{p,q}$ , satisfying  $\overline{V^{p,q}} = V^{q,p}$ . It is called **rational Hodge structure** if one fixes a rational lattice  $V_{\mathbb{Q}}$  such that  $V_{\mathbb{R}} = V_{\mathbb{Q}} \otimes_{\mathbb{Q}} \mathbb{R}$ , and **an integer Hodge structure** if one fixes an integer lattice  $V_{\mathbb{Z}} \subset V_{\mathbb{Q}}$ . A Hodge structure is equipped with  $U(1)$ -action, with  $u \in U(1)$  acting as  $u^{p-q}$  on  $V^{p,q}$ . **Morphism** of Hodge structures is a rational map which is  $U(1)$ -invariant.

**REMARK: Rational structure** on a real vector space  $V$  is a  $\mathbb{Q}$ -subspace  $V_{\mathbb{Q}} \subset V$  such that  $V = V_{\mathbb{Q}} \otimes_{\mathbb{Q}} \mathbb{R}$ . **Integer structure** on a real vector space  $V$  is a  $\mathbb{Z}$ -sublattice  $V_{\mathbb{Z}} \subset V$  such that  $V = V_{\mathbb{Z}} \otimes_{\mathbb{Z}} \mathbb{R}$ .

**REMARK:** A real Hodge structure  $V_{\mathbb{C}} = \bigoplus_{p+q=w} V^{p,q}$  on  $V_{\mathbb{R}}$  is rational (integer) **if  $V_{\mathbb{R}}$  is equipped with a rational (integer) structure.**

## Period space

**DEFINITION:** Let  $V$  be a real vector space, and  $V_{\mathbb{C}} = \bigoplus_{p+q=w} V^{p,q}$  a Hodge structure. Assume that  $p, q \geq r$ . **The Hodge filtration** is the following filtration on the vector space  $V_{\mathbb{C}}$ :

$$0 \subset V^{r,w-r} \subset V^{r,w-r} \oplus V^{r+1,w-r-1} \subset V^{r,w-r} \oplus V^{r+1,w-r-1} \oplus V^{r+2,w-r-2} \oplus \dots$$

Denote by  $F_n$  the  $n$ -th term of this filtration,  $F_n := \bigoplus_{i=0}^{n-1} V^{r+i,w-r-i}$ . Clearly,  $V^{p,w-p} = F_{p-r+1} \cap \overline{F}_{w-p-r+1}$  (**prove this**), hence **the Hodge filtration determines the Hodge structure uniquely**.

**REMARK:** Two subspaces  $W_1, W_2 \subset V$  intersect transversally when  $W_1 + W_2 = V$ . **Therefore,  $F_{p-r+1}$  and  $\overline{F}_{w-p-r+1}$  intersect transversally.**

**DEFINITION:** Let  $V_{\mathbb{C}} = \bigoplus_{p+q=w} V^{p,q}$  a Hodge structure on  $V$ . Fix dimensions of all  $V^{p,q}$ ; this determines the dimensions of  $F_i$ . **The period space** is the space of all flags  $0 \subset F_1 \subset \dots \subset F_{w-2r} = V$  such that  $F_{p-r+1}$  and  $\overline{F}_{w-p-r+1}$  intersects transversally for all  $p$ .

**CLAIM:** The points in the period space **are in bijective correspondence with the set of all Hodge structures on  $V$**  having the same numbers  $\dim V^{p,w-p}$ . ■

**REMARK:** The period space **is an open subset in the corresponding partial flag space**, which is considered as a complex projective manifold.

## Polarization (reminder)

**DEFINITION: Polarization** on a rational Hodge structure of weight  $w$  is a  $U(1)$ -invariant non-degenerate 2-form  $h \in V_{\mathbb{Q}}^* \otimes V_{\mathbb{Q}}^*$  (symmetric or antisymmetric depending on parity of  $w$ ) which satisfies

$$-\sqrt{-1} p^{-q} h(x, \bar{x}) > 0 \quad (*)$$

(“Hodge-Riemann relations”) for each non-zero  $x \in V^{p,q}$ .

**REMARK:**  $U(1)$ -invariance of a pairing  $h$  means that the pairing of  $V^{p,q}$  with  $V^{p_1,q_1}$  vanishes unless  $p - q = q_1 - p_1$ , or, equivalently,  $p = q_1, q = p_1$ .

**DEFINITION:** The objects of the **category of real (rational, integer) Hodge structures** are Hodge structures, morphisms are  $\mathbb{R}$ -linear ( $\mathbb{Q}$ -linear,  $\mathbb{Z}$ -linear) maps of vector spaces which preserve the Hodge decomposition.

**DEFINITION:** The objects of the **category of rational or integer polarized Hodge structures are rational or integer Hodge structures admitting a polarization**, and morphisms are morphisms of Hodge structures. **The polarization is not fixed, the morphisms are not necessarily compatible with the polarization.**

## Period space for polarized Hodge structures

**DEFINITION:** Let  $V$  be a real vector space, and  $V_{\mathbb{C}} = \bigoplus_{p+q=w} V^{p,q}$  a Hodge structure. Fix a polarization  $h \in V^* \otimes V^*$  (symmetric or antisymmetric depending on parity of  $w$ ). **The period space** of Hodge structures with polarization  $h$  is the space of all flags  $0 \subset F_1 \subset \dots \subset F_{w-2r} = V$  such that  $F_{p-r+1}$  and  $\overline{F}_{w-p-r+1}$  intersects transversally for all  $p$ , and  $h$  restricted to this intersection satisfies the Hodge-Riemann condition  $-\sqrt{-1}^{2p-w} h(x, \overline{x}) > 0$ , for all non-zero  $x \in F_{p-r+1} \cap \overline{F}_{w-p-r+1}$ , and the pairing of all other Hodge components vanishes.

The Hodge-Riemann condition is open, but the condition “pairing of all other Hodge components vanishes” is closed. However, it can be formulated in terms of the Hodge filtration.

**CLAIM:** Let  $h \in V^* \otimes V^*$  be a pairing. **Then  $h$  vanishes on all Hodge components except  $V^{p,q} \times V^{q,p}$  if and only if  $F_p^{\perp} = \overline{F}_{w-p-r}$ .**

**Proof:** Left as an exercise. ■

## Complex manifolds (reminder)

**DEFINITION:** Let  $M$  be a smooth manifold. An **almost complex structure** is an operator  $I : TM \rightarrow TM$  which satisfies  $I^2 = -\text{Id}_{TM}$ .

**DEFINITION:** An almost complex structure is **integrable** if  $\forall X, Y \in T^{1,0}M$ , one has  $[X, Y] \in T^{1,0}M$ . In this case  $I$  is called **a complex structure operator**. A manifold with an integrable almost complex structure is called **a complex manifold**.

**“The usual definition”:** A complex manifold is a smooth manifold with an atlas of open balls  $\{U_i\}$ , each  $U_i$  diffeomorphic to  $B \subset \mathbb{C}^n$  and the transition functions complex analytic.

**THEOREM:** (Newlander-Nirenberg)

**These two definitions are equivalent.**

## Kähler manifolds (reminder)

**DEFINITION: A Hermitian metric** on an almost complex manifold is a Riemannian metric  $g$  such that  $g(Ix, Iy) = g(x, y)$ . The corresponding **Hermitian form** is  $\omega(x, y) := g(Ix, y)$ , which is non-degenerate and antisymmetric.

**THEOREM:** Let  $(M, I, g)$  be an almost complex Hermitian manifold. **Then the following conditions are equivalent.**

- (i) The complex structure  $I$  is integrable, and the Hermitian form  $\omega$  is closed.
- (ii) One has  $\nabla(I) = 0$ , where  $\nabla$  is the Levi-Civita connection

$$\nabla : \text{End}(TM) \longrightarrow \text{End}(TM) \otimes \Lambda^1(M).$$

**Proof:** <http://verbit.ru/MATH/KAHLER-2020/slides-Kahler-2020-10.pdf> ■

**DEFINITION:** A complex Hermitian manifold  $M$  is called **Kähler** if either of these conditions hold. The cohomology class  $[\omega] \in H^2(M)$  of a form  $\omega$  is called **the Kähler class** of  $M$ . The set of all Kähler classes is called **the Kähler cone**.

## Teichmüller space

**DEFINITION:** The space of almost complex structures is an infinite-dimensional Fréchet manifold  $X_M$  of all tensors  $I^2 = -\text{Id}_{TM}$ , equipped with the natural Fréchet topology.

**Definition:** Let  $M$  be a compact complex manifold, and  $\text{Diff}_0(M)$  a connected component of its diffeomorphism group (**the group of isotopies**). Denote by  $\text{Comp}$  the space of complex structures on  $M$ , and let  $\text{Teich} := \text{Comp} / \text{Diff}_0(M)$ . We call it **the Teichmüller space**.

**REMARK:** The space of  $\text{Diff}_0(M)$ -orbits in a small neighbourhood of a point in  $\text{Comp}$  is always **a finite-dimensional complex space** (Kodaira-Spencer-Kuranishi-Douady). However, the quotient  $\text{Comp} / \text{Diff}_0(M)$  **is often non-Hausdorff**.

**DEFINITION:** We call  $\Gamma := \text{Diff}(M) / \text{Diff}_0(M)$  **the mapping class group**.

**REMARK:** The topology of the space  $\text{Teich} / \Gamma$  is often bizarre. However, **its points are in bijective correspondence with equivalence classes of complex structures**.



## Kodaira-Spencer stability theorem

**REMARK:** A complex structure  $I$  on  $M$  is called **of Kähler type** if  $(M, I)$  admits a Kähler metric.

**THEOREM:** (**Kodaira-Spencer stability theorem**)

Let  $B$  be a manifold and  $I_t, t \in B$  be a smooth family of complex structures on a compact manifold  $M$  parametrized by  $t \in B$ . Denote by  $B_{\subset} B$  the set of all  $t \in B$  such that  $I_t$  is of Kähler type. **Then  $B_0$  is open in  $B$ .**

**Proof:** <http://verbit.ru/MATH/LCK-2014/lck-07.pdf>, page 18. ■

**REMARK:** From now on, speaking of Teichmüller spaces, we will always tacitly consider the Teichmüller space of complex structures **of Kähler type**. By Kodaira-Spencer stability theorem, **this space is open in the Teichmüller space of all complex structures.**

## Period map

Let  $M_t$  be a smooth family of compact complex manifolds of Kähler type,  $t \in \mathbb{R}$ . Using degeneration of the Hodge to de Rham spectral sequence and semicontinuity of cohomology, we obtain the following

**PROPOSITION:** Let  $M_t$  be a smooth family of compact complex manifolds of Kähler type,  $t \in \mathbb{R}$ . **Then the numbers  $\dim H^{p,q}(M_t)$  are constant in  $t$ .**

**Proof:** *S. R. Bell, R. Narasimhan, Proper holomorphic mappings of complex spaces, Encyclopaedia Math. Sci. 69, 1990. ■*

This implies that the Hodge structure on  $H^*(M_t)$  has the same combinatorial type and the same period space.

**DEFINITION:** Let  $(M, I)$  be a compact complex manifold of Kähler type, and  $\text{Teich} \ni I$  the corresponding connected component of the space of complex structures of Kähler type on  $M$ . With each  $I_1 \in \text{Teich}$  we can associate the Hodge structure  $\bigoplus_{p+q=w} H^{p,q}(M, I_1)$  on cohomology. This defines **the period map**  $\text{Per} : \text{Teich} \rightarrow \mathbb{P}\text{er}$ , where  $\mathbb{P}\text{er}$  is the period space of Hodge structures of this particular combinatorial type on  $H^w(M, \mathbb{R})$ .

## “Torelli theorems”

**REMARK: A theorem of Torelli type** is a result claiming that  $\text{Per} : \text{Teich} \rightarrow \mathbb{P}\text{er}$  is locally an embedding, or locally a diffeomorphism, or an embedding globally, or a diffeomorphism globally.

The name “Torelli theorem” is due to André Weil, *André Weil (1957), "Zum Beweis des Torellischen Satzes", Nachr. Akad. Wiss. Göttingen, Math.-Phys. Kl. IIa: 32-53.*

## Chez les Weil. André et Simone

André Weil: 6 May 1906 - 6 August 1998.



*“Simone et André à Penthievre, 1918-1919”*

## Torelli-type theorems: torus, Riemann surface

**THEOREM:** Let  $M$  be a compact torus, and  $\text{Per} : \text{Teich} \rightarrow \mathbb{P}$  the period map associated with  $H^1(M)$ . **Then  $\text{Per}$  is a diffeomorphism.**

**THEOREM:** Let  $M$  be a compact 1-dimensional complex manifold (that is, a Riemann surface), and  $\text{Per} : \text{Teich} \rightarrow \mathbb{P}$  the period map associated with  $H^1(M)$ . **Then  $\text{Per}$  is a closed embedding.**

## Pseudopolarizations

**DEFINITION:** Let  $V$  be a vector space, and  $V_{\mathbb{C}} = \bigoplus_{p+q=w} V^{p,q}$  a Hodge structure. A bilinear form  $h \in V^* \otimes_{\mathbb{R}} V^*$  is called **a pseudo-polarization** if it is non-degenerate,  $U(1)$ -invariant, symmetric for  $w$  even and anti-symmetric for  $w$  odd.

**REMARK:**  $U(1)$ -invariance means that  $q(V^{p,q}, V^{p_1,q_1}) = 0$  unless  $p = q_1$  and  $q = p_1$ . Therefore,  $U(1)$ -invariance of  $h$  is equivalent to  $F_p^{\perp} = \overline{F}_{w-p-r}$ , where  $F_i$  is the Hodge filtration defined above.

**DEFINITION:** Let  $V$  be a real space equipped with a Hodge structure  $V_{\mathbb{C}} = \bigoplus_{p+q=w} V^{p,q}$ , and  $h$  a pseudopolarization. **The period space**  $\mathbb{P}er_h$  of pseudopolarized Hodge structures is the space of all filtrations  $0 \subset F_1 \subset \dots \subset F_{2w-2r}$  such that  $V_{\mathbb{C}} = \bigoplus_p F_p \cap \overline{F}_{w-p-r+1}$  and  $F_p^{\perp} = \overline{F}_{w-p-r}$ .

**REMARK:** Note that  $F_p^{\perp} = \overline{F}_{w-p-r}$  implies that the filtration  $F_i$  is determined by the first  $w - r$  terms. We identify  $\mathbb{P}er_h$  with an open subset of the partial flag space  $0 \subset F_1 \subset \dots \subset \overline{F}_{w-r} \subset V$  with  $F_i$  the same dimension, and such that for all  $p$  the spaces  $F_p, \overline{F}_{w-p-r+1}$  intersect transversally, giving  $V_{\mathbb{C}} = \bigoplus_p F_p \cap \overline{F}_{w-p-r+1}$ ; here  $F_p$  for  $p > w - r$  are recovered from  $F_p^{\perp} = \overline{F}_{w-p-r}$ .

**REMARK:**  $\mathbb{P}er_h$  is equipped with a structure of a complex manifold, because it is an open subset in the space of partial flags, which is projective.

## The Hausdorff reduction

**DEFINITION:** Two points  $x, y$  in a topological space  $M$  are called **non-separable** if any open neighbourhoods of  $x$  and  $y$  intersect. Denote by  $\sim$  an equivalence relation generated by non-separability. **Hausdorff reduction** of  $M$  is  $M/\sim$  with the quotient topology.

**A caution:** The Hausdorff reduction **does not need to be Hausdorff**.

**REMARK:** Clearly, the period map  $\text{Per} : \text{Teich} \rightarrow \mathbb{P}\text{er}$  is factorized through the Hausdorff reduction map  $\text{Teich} \rightarrow \text{Teich}/\sim$ . Indeed, **any continuous map to a Hausdorff space is factorized through the Hausdorff reduction map**.

## A global Torelli theorem for K3 surfaces

**DEFINITION:** A **K3 surface** is a Kähler complex compact 2-dimensional manifold  $M$  with  $b_1 = 0$  and  $c_1(M, \mathbb{Z}) = 0$ .

Let  $\mathbb{P}er_q$  be the pseudopolarized period space for the Hodge structure on  $H^2(M)$ , where  $q$  denotes the Poincaré pairing.

**THEOREM:** Let  $M$  be a K3 surface, and Teich a connected component of its Teichmüller space. Then **non-separability is an equivalence relation, and for any non-separable  $I_1, I_2 \in \text{Teich}$ , the corresponding K3 surfaces are isomorphic.** Moreover, **the period map  $\text{Per} : \text{Teich} / \sim \rightarrow \mathbb{P}er_q$  associated with  $H^2(M)$  is a homeomorphism.**

**Proof:** <https://arxiv.org/abs/0908.4121> ■



## Hyperkähler manifolds

**DEFINITION:** A complex manifold is called **holomorphically symplectic** if it is equipped with a non-degenerate, closed  $(2,0)$ -form.

**DEFINITION:** For the present purposes, **hyperkähler manifold of maximal holonomy** is a compact, holomorphically symplectic manifold  $M$  of Kähler type which satisfies  $\pi_1(M) = 0$  and  $H^{2,0}(M) = \mathbb{C}$ .

**REMARK:** It is not very hard to see that any smooth Kähler-type deformation of a hyperkähler manifold of maximal holonomy is again a hyperkähler manifold of maximal holonomy. Let Teich be a connected component of the Teichmüller space of Kähler-type deformations of the complex structure on a hyperkähler manifold of maximal holonomy. Then **all points of Teich correspond to holomorphically symplectic deformations of  $M$  which also have maximal holonomy.**

## A global Torelli theorem for hyperkähler manifolds

**CLAIM:** Let  $M$  be a hyperkähler manifold of maximal holonomy. Then  $H^2(M)$  is equipped with a  $\text{Diff}(M)$ -invariant form  $q$ , called **Bogomolov-Beauville-Fujiki form** (BBF form), defining a pseudo-polarization on  $H^2(M)$  for any complex structure of hyperkähler type.

Denote by  $\mathbb{P}er_q$  the pseudopolarized period space for the Hodge structure on  $H^2(M)$  and the BBF form  $q$ .

**THEOREM:** Let  $M$  be a hyperkähler manifold of maximal holonomy, and  $\text{Teich}$  its Teichmüller space. Then **non-separability is an equivalence relation, and for any non-separable  $I_1, I_2 \in \text{Teich}$ , the corresponding complex manifolds are bimeromorphic.** Moreover, **the period map  $\text{Per} : \text{Teich} / \sim \rightarrow \mathbb{P}er_q$  associated with  $H^2(M)$  is a homeomorphism.**

**Proof:** <https://arxiv.org/abs/0908.4121> ■

## 4-dimensional cubic hypersurface

For all results about 4-dimensional cubics, the universal reference is “The geometry of cubic hypersurfaces” by D. Huybrechts, <https://www.math.uni-bonn.de/people/huybrech/Notes.pdf>

**PROPOSITION:** Let  $M$  be a smooth cubic hypersurface in  $\mathbb{P}^5$ . **Then  $H^4(M)$  has Hodge structure with  $\dim H^{1,3}(M) = \dim H^{3,1}(M) = 1$  and  $\dim H^{2,2}(M) = 20$ .**

**THEOREM: A Kähler deformation of a 4-dimensional cubic hypersurface is a 4-dimensional cubic hypersurface.**

**Proof:** Huybrechts, Corollary 3.14. ■

This defines a period map  $\text{Per} : \text{Teich} \rightarrow \mathbb{P}\text{er}$ , associated with the Hodge structure on  $H^4$ . Here  $\mathbb{P}\text{er}$  denotes the polarized period space; polarization comes from the restriction of the Fubini-Study form on  $\mathbb{C}P^5$ .

**THEOREM:** Let  $\text{Teich}$  be the Teichmüller space of a 4-dimensional cubic hypersurface, and  $\text{Per} : \text{Teich} \rightarrow \mathbb{P}\text{er}$  its period map. **Then  $\text{Per}$  is injective, and its image is open.**

## Calabi-Yau manifolds

**DEFINITION:** A compact complex  $n$ -manifold  $M$  of Kähler type with trivial canonical bundle  $K_M := \Lambda^{n,0}(M)$  and  $H^{n,0}(M) = \mathbb{C}$  is called **a Calabi-Yau manifold**.

**REMARK:** A Kähler deformation of a Calabi-Yau manifold is Calabi-Yau (do this as an exercise).

### **THEOREM: (Bogomolov-Tian-Todorov)**

Let  $\text{Per} : \text{Teich} \rightarrow \mathbb{P}er$  be the period map associated with the middle cohomology of a Calabi-Yau manifold. **Then Teich admits a structure of a smooth manifold, and Per is smooth with non-degenerate differential** (and hence, Per is a local diffeomorphism to its image).

**Proof:** *F. Catanese, A Superficial Working Guide to Deformations and Moduli, arXiv:1106.1368. ■*

## Symmetric spaces

For literature on symmetric spaces, see *A. Besse, Einstein manifolds*, or *P. Petersen, Riemannian Geometry*.

**DEFINITION:** A **symmetric space** is a complete Riemannian manifold  $X$  such that for all  $x \in X$  there exists an isometry  $\iota_x$  of  $X$  fixing  $x$  and acting as  $-1$  in  $T_x X$ .

**EXERCISE:** Prove that **isometry group acts transitively on any symmetric manifold**. This implies that **any symmetric space has form  $G/K$ , where  $G$  is a Lie group and  $K$  its compact subgroup**.

**DEFINITION:** A symmetric space is **irreducible** if it is not locally isometric to a product.

**PROPOSITION:** Let  $M$  be a non-compact irreducible symmetric space. **Then  $M = G/K$ , where  $G$  is a simple Lie group and  $K$  its maximal compact subgroup**.

## Cartan duality

**THEOREM:** (Cartan duality) For any non-compact irreducible symmetric space  $G/K$ , denote by  $G_1$  the compact form of the Lie group  $G$ . **Then  $G_1/K$  is a compact symmetric space, and any compact symmetric space is obtained this way.**

**REMARK:** Cartan duality defines a bijective correspondence between compact and non-compact irreducible symmetric spaces.

**Exercise 1:** Let  $(M, I, g)$  be an almost complex symmetric space. Assume that the isometry  $\iota_x$  preserves  $I$ . **Prove that  $(M, I, g)$  is Kähler.**

**REMARK:** É. Cartan classified Kähler irreducible symmetric spaces. There are 4 series, associated with classical Lie groups, and 2 special examples, associated with special Lie groups  $E_6$  and  $E_7$ .

Two of these examples are associated with variations of Hodge structures.

## $\text{Gr}_{++}(V)$ is a Kähler symmetric space

Recall that  $O(p, q)$ ,  $p, q > 0$  has 4 connected components. **They are distinguished by preserving the orientation on positive and on negative definite subspaces of maximal dimension.** Denote by  $O^+(2, n)$  **a subgroup consisting of isometries which preserve orientation on positive 2-planes;** it has 2 connected components.

**PROPOSITION:** Let  $V$  be a vector space of signature  $(2, n)$ , and  $\text{Gr}_{++}(V)$  the Grassmannian of positive oriented planes. **Then  $\text{Gr}_{++}(V)$  is a Kähler symmetric space, and  $O^+(V)$  acts on  $\text{Gr}_{++}(V)$  preserving the complex structure and the Kähler metric.**

**Proof. Step 1:** It is not hard to see that  $T_W \text{Gr}(V) = \text{Hom}(W, V/W)$  where  $W \subset V$  is a point of the Grassman space  $\text{Gr}(V)$  considered as a subspace in  $V$ . In our case, the scalar product is positive definite on  $W$  and negative definite on  $V/W$ , defining an  $O(V)$ -invariant positive definite scalar product on  $T \text{Gr}_{++}(V)$ . Consider an isometry  $\tau \in O^+(V)$  acting trivially on  $W$  and as  $-\text{Id}$  on  $W^\perp$ . Then  $\tau$  acts on  $\text{Gr}_{++}(V)$  preserving  $W$  and acting as  $-\text{Id}$  on  $T_W \text{Gr}(V) = \text{Hom}(W, V/W)$ . **Therefore,  $\text{Gr}_{++}(V)$  is a symmetric space.**

## $\text{Gr}_{++}(V)$ is a Kähler symmetric space (2)

**PROPOSITION:** Let  $V$  be a vector space of signature  $(2, n)$ , and  $\text{Gr}_{++}(V)$  the Grassmannian of positive oriented planes. **Then  $\text{Gr}_{++}(V)$  is a Kähler symmetric space, and  $O^+(V)$  acts on  $\text{Gr}_{++}(V)$  preserving the complex structure and the Kähler metric.**

**Proof. Step 1:** ...Therefore,  $\text{Gr}_{++}(V)$  is a symmetric space.

**Step 2:** Since  $W$  is oriented and positive definite, it is equipped with a counterclockwise rotation by  $\pi/2$ , denoted by  $I_W$ . Extend this map to  $\text{Hom}(W, V/W)$  acting as identity on  $V/W$ . **This defines an  $O^+(V)$ -invariant almost complex structure on  $O^+(V)$ .**

**Step 3:** As follows from Exercise 1, **these operators define a Kähler structure on  $\text{Gr}_{++}(V)$ . ■**

**EXERCISE:** Prove that **an  $O^+(V)$ -invariant complex structure on  $\text{Gr}_{++}(V)$  is unique, and  $O^+(V)$ -invariant metric is unique up to a scalar multiplier.**



## Polarized Hodge structures of K3 type

**DEFINITION:** A polarized Hodge structure of K3 type is a polarized Hodge structure  $V, V_{\mathbb{C}} = V^{2,0} \oplus V^{1,1} \oplus V^{0,2}$  such that  $\dim V^{2,0} = 1$ .

**REMARK:** Clearly, the line  $V^{2,0} \subset V_{\mathbb{C}}$  uniquely determines a polarized Hodge structure:  $V^{0,2} = \overline{V^{2,0}}$ , and  $V^{1,1} = (V^{2,0} \oplus V^{0,2})^{\perp}$ . The Hodge-Riemann condition is equivalent to  $h|_{V^{2,0}}$  being Hermitian and  $h(V^{2,0}, V^{2,0}) = 0$ . This gives the following claim

**CLAIM:** The period space of polarized Hodge structures of K3 type is

$$\text{Per} := \{l \in \mathbb{P}V \mid h(l, l) = 0, h(l, \bar{l}) > 0.\}$$

■

## Period space for Hodge structures of K3 type

**PROPOSITION:** Let  $V$  be a real vector space, and  $h$  a symmetric form of signature  $2, n$ . The period space

$$\text{Per}\{l \in \mathbb{P}V \mid h(l, l) = 0, h(l, \bar{l}) > 0.\}$$

**is identified with  $SO(2, n)/SO(2) \times SO(n)$ ,** which is a Grassmannian of positive oriented 2-planes in  $V$ .

**Proof. Step 1:** Given  $l \in \mathbb{P}H^2(M, \mathbb{C})$ , **the space generated by  $\text{Im } l, \text{Re } l$  is 2-dimensional,** because  $h(l, l) = 0, h(l, \bar{l}) > 0$  implies that  $l \cap V = 0$ .

**Step 2: This 2-dimensional plane is positive,** because  $h(\text{Re } l, \text{Re } l) = h(l + \bar{l}, l + \bar{l}) = 2h(l, \bar{l}) > 0$ .

**Step 3:** Conversely, for any positive 2-dimensional plane  $W \subset V$ , **the quadric  $\{l \in W \otimes_{\mathbb{R}} \mathbb{C} \mid h(l, l) = 0\}$  consists of two lines;** a choice of a line is determined by orientation. ■

**REMARK:** We have shown that **the period space of polarized Hodge structures of K3 type is a Kähler symmetric space.**