

# **Hyperkahler manifolds,**

**lecture 3: Spinors**

NRU HSE, Moscow

Misha Verbitsky, September 21, 2019

<http://bogomolov-lab.ru/KURSY/HK-2019/>

## Clifford algebras

**DEFINITION:** The Clifford algebra of a vector space  $V$  with a scalar product  $q$  is an algebra generated by  $V$  with a relation  $xy + yx = q(x, y)1$ , that is, a quotient of  $T^{\otimes} V := k \oplus V \oplus V \otimes V \oplus \dots \oplus T^{\otimes i} V$  by an ideal generated by  $xy + yx = -2g(x, y)$  for all  $x, y \in V$ .

**EXAMPLE:** If  $g = 0$ , Clifford algebra is Grassmann algebra.

**CLAIM:**  $\dim \text{Cl}(V, g) = 2^{\dim V}$ .

**Proof:** Consider  $\text{Cl}(V, g)$  as a filtered algebra with  $r$ -th term of filtration given by  $r$ -th power of  $V \subset \text{Cl}(V)$ . Its associated graded algebra is Grassmann algebra. ■

**REMARK:** The Clifford algebra is  $\mathbb{Z}/2\mathbb{Z}$  graded:  $\text{Cl}(V, g) = \text{Cl}_{\text{even}}(V, g) \oplus \text{Cl}_{\text{odd}}(V, g)$ .

**DEFINITION:** Let  $A = A_{\text{even}} \oplus A_{\text{odd}}$  be a graded associative algebra. Let  $A^{\perp}$  be the same vector space with new multiplication  $a \bullet a' := (-1)^{\tilde{a}\tilde{a}'} aa'$ .

**EXERCISE:** Prove that  $\text{Cl}(V, g)^{\perp} = \text{Cl}(V, -g)$ .

## Graded tensor product

**DEFINITION:** Let  $A := A_{\text{even}} \oplus A_{\text{odd}}$ ,  $B := B_{\text{even}} \oplus B_{\text{odd}}$  be graded associative algebras. Define **the graded tensor product**  $A \tilde{\otimes} B$  as  $A \otimes B$  with multiplication given by  $a \otimes b \cdot a' \otimes b' = (-1)^{\tilde{b}\tilde{a}'} aa' \otimes bb'$ , where  $\tilde{x}$  denotes the parity of  $x$ .

**EXAMPLE:** Graded tensor product of Grassmann algebras **gives the Grassmann algebra of a direct sum:**

$$\Lambda^* V \tilde{\otimes} \Lambda^* W \cong \Lambda^*(V \oplus W)$$

**EXAMPLE:** **The same is true for Clifford algebras:**

$$\text{Cl}(V, g) \tilde{\otimes} \text{Cl}(V', g') = \text{Cl}(V \oplus V', g + g').$$

## Pseudoscalar

**LEMMA (\*):** Let  $A := A_{\text{even}} \oplus A_{\text{odd}}$ ,  $B := B_{\text{even}} \oplus B_{\text{odd}}$  be graded associative algebras. Suppose that  $B$  contains an even element (**pseudoscalar**)  $\varepsilon$  with the following properties:

$$\varepsilon^2 = 1, \varepsilon b = (-1)^{\tilde{b}} b \varepsilon.$$

**Then**  $A \tilde{\otimes} B \cong A \otimes B$  (the graded tensor product is isomorphic to the usual one).

**Proof:** Consider a subalgebra  $A' \subset A \tilde{\otimes} B$  generated by elements  $a \tilde{\otimes} \varepsilon^{\tilde{a}}$  and  $B' = 1 \otimes B \subset A \tilde{\otimes} B$ . Then

1.  $A' \cong A$  commutes with  $B' \cong B$ .
2.  $A' \otimes B' = A \tilde{\otimes} B$  as a vector space. ■

**REMARK (\*):** If in the definition of pseudoscalar we replace  $\varepsilon^2 = 1$  by  $\varepsilon^2 = -1$ , **Lemma (\*) will give**  $A \tilde{\otimes} B \cong A^\perp \otimes B$ .

## Unit pseudoscalar

Let  $(V, g)$  be an oriented real vector space with orthogonal basis  $e_1, \dots, e_n$  such that  $g(e_i, e_i) = \pm 1$ . **Unit pseudoscalar** in  $\text{Cl}(V, g)$  is  $\varepsilon := e_1 e_2 e_3 \dots e_n$ .

**EXERCISE: Prove**  $\varepsilon e_i = (-1)^{n-1} e_i \varepsilon$ .

**EXERCISE: Prove** that  $\varepsilon^2 = (-1)^{\frac{(n-1)(n-2)}{2}} (-1)^q$  **if  $g$  has signature  $(p, q)$ .**

**REMARK:** This gives

$$\varepsilon^2 = (-1)^{n(n-1)/2} (-1)^q = (-1)^{(p-q)(p-q-1)/2} = \begin{cases} +1 & p - q \equiv 0, 1 \pmod{4} \\ -1 & p - q \equiv 2, 3 \pmod{4}. \end{cases}$$

**DEFINITION:** Denote the Clifford algebra of a real vector space of signature  $(p, q)$  by  $\text{Cl}(p, q)$ .

**COROLLARY:**  $\text{Cl}(p+m, q+m') \cong \text{Cl}(p, q) \otimes \text{Cl}(m, m')$  **when  $m+m'$  is even, and  $m-m' \equiv 0 \pmod{4}$ .**

**Proof:** The pseudoscalar  $\varepsilon$  in  $\text{Cl}(m, m')$  satisfies  $\varepsilon^2 = 1$ . Applying Lemma (\*), we obtain  $\text{Cl}(p, q) \otimes \text{Cl}(m, m') \cong \text{Cl}(p, q) \tilde{\otimes} \text{Cl}(m, m')$ . Then we apply the isomorphism  $\text{Cl}(V, g) \tilde{\otimes} \text{Cl}(V', g') = \text{Cl}(V \oplus V', g + g')$ . ■

**Bott periodicity over  $\mathbb{C}$** 

**COROLLARY:** Let  $A[i]$  denote the tensor product  $A \otimes \text{Mat}(i, \mathbb{R}) \cong \text{Mat}(i, A)$ .  
**Then**  $\text{Cl}(p+1, q+1) \cong \text{Cl}(p, q)[2]$ .

**Proof:** Use the previous corollary and an isomorphism  $\text{Cl}(1, 1) = \text{Mat}(2, \mathbb{R})$  (prove it). ■

**THEOREM: (Bott periodicity over  $\mathbb{C}$ )**

Clifford algebra  $\text{Cl}(V, q)$  of a complex vector space  $V = \mathbb{C}^n$  with  $q$  non-degenerate **is isomorphic to**  $\text{Mat}(\mathbb{C}^{n/2})$  ( $n$  even) **and**  $\text{Mat}(\mathbb{C}^{(n-1)/2}) \oplus \text{Mat}(\mathbb{C}^{(n-1)/2})$  ( $n$  odd).

**Proof:** Use the previous corollary and isomorphisms  $\text{Cl}(\mathbb{C}) = \mathbb{C} \oplus \mathbb{C}$ ,  $\text{Cl}(0) = \mathbb{C}$ .

■

**Bott periodicity over  $\mathbb{R}$ .**

**COROLLARY:**  $\text{Cl}(p + m, q + m') \cong \text{Cl}(q, p) \otimes \text{Cl}(m, m')$  **if  $m + m'$  is even, and  $m - m' \equiv 2 \pmod{4}$ .**

**Proof:** In  $\text{Cl}(m, m')$  the pseudoscalar  $\varepsilon$  satisfies  $\varepsilon^2 = -1$ . Applying Remark (\*), we obtain  $\text{Cl}(p, q)^\perp \otimes \text{Cl}(m, m') \cong \text{Cl}(p, q) \tilde{\otimes} \text{Cl}(m, m') \cong \text{Cl}(p + m, q + m')$ . Then we use an isomorphism  $\text{Cl}(p, q)^\perp = \text{Cl}(p, q)$ . ■

**COROLLARY:**  $\text{Cl}(p + 2, q) \cong \text{Cl}(q, p)[2]$  **and  $\text{Cl}(p, q + 2) \cong \text{Cl}(q, p) \otimes \mathbb{H}$ .**

**Proof:** We use the previous corollary and the isomorphisms  $\text{Cl}(2, 0) = \text{Mat}(2, \mathbb{R})$ ,  $\text{Cl}(0, 2) = \mathbb{H}$ . ■

**COROLLARY: (Bott Periodicity modulo 4):**

The previous corollary immediately gives  $\text{Cl}(p + 4, q) \cong \text{Cl}(q, p + 2)[2] = \text{Cl}(p, q) \otimes \text{Mat}(2, \mathbb{H})$  and  $\text{Cl}(p, q + 4) \cong \text{Cl}(q + 2, p) \otimes \mathbb{H} = \text{Cl}(p, q) \otimes \text{Mat}(2, \mathbb{H})$ .

**Bott periodicity over  $\mathbb{R}$  (2).**

**EXERCISE:** Prove the isomorphism  $\mathbb{H} \otimes_{\mathbb{R}} \mathbb{H} = \text{Mat}(4, \mathbb{R})$ .

**COROLLARY: (Bott Periodicity modulo 8):**

This isomorphism and the previous corollary give  $\text{Cl}(p + 8, q) = \text{Cl}(p, q)[16]$ ,  
 $\text{Cl}(p, q + 8) = \text{Cl}(p, q)[16]$ .

	1	2	3	4	5	6	7	8
$\text{Cl}(i, 0)$	$\mathbb{R}^2$	$\mathbb{R}[2]$	$\mathbb{C}[2]$	$\mathbb{H}[2]$	$\mathbb{H}[2] \oplus \mathbb{H}[2]$	$\mathbb{H}[4]$	$\mathbb{C}[8]$	$\mathbb{R}[16]$
$\text{Cl}(0, i)$	$\mathbb{C}$	$\mathbb{H}$	$\mathbb{H} \oplus \mathbb{H}$	$\mathbb{H}[2]$	$\mathbb{C}[4]$	$\mathbb{R}[8]$	$\mathbb{R}[8] \oplus \mathbb{R}[8]$	$\mathbb{R}[16]$



## Pseudoscalar on an odd-dimensional space

**DEFINITION:** For any odd-dimensional space  $V$ , the pseudoscalar  $\varepsilon = e_1 e_2 \dots e_{2n+1}$  commutes with a multiplication by generators of  $\text{Cl}(V)$ , hence defines an automorphism of  $\text{Cl}(V)$ . If  $V$  were a complex vector space, we can always choose the basis  $e_1, e_2, \dots, e_{2n+1}$  in such a way that  $\varepsilon^2 = 1$ . **This gives the eigenvalue decomposition  $\text{Cl}(V) = \text{Cl}^+(V) \oplus \text{Cl}^-(V)$ .**

**CLAIM: Each of the algebras  $\text{Cl}^+(V)$ ,  $\text{Cl}^-(V)$  is isomorphic to  $\text{Mat}(\mathbb{C}^r)$ .**

**Proof:** Eigenvalues of  $\varepsilon$  acting on  $\text{Cl}(V)$  are equal to  $\pm 1$  because  $\varepsilon^2 = 1$ . On the other hand, an automorphism of  $V$  which exchanges  $e_1$  and  $e_2$  maps  $\varepsilon$  to  $-\varepsilon$ , hence permutes the eigenspaces. Therefore, **the subalgebras  $\text{Cl}^+(V)$ ,  $\text{Cl}^-(V)$  are isomorphic.** We obtain that **the decomposition  $\text{Cl}(V) = \text{Mat}(2^n, \mathbb{C}) \oplus \text{Mat}(2^n, \mathbb{C})$  coincides with the eigenspace decomposition defined by  $\varepsilon$ .**

**REMARK:** The center of  $\text{Cl}(V)$  is isomorphic to  $\mathbb{C} \oplus \mathbb{C}$ . **The orthogonal group  $O(V)$  acts on  $\text{Cl}(V)$  and on  $\mathbb{Z}$  by automorphisms, and maps  $\varepsilon$  to  $\pm \varepsilon$ . In particular,  $SO(V)$  acts on  $\text{Cl}^\pm(V)$  by automorphisms.**

## Automorphisms of matrix algebra

**EXERCISE:** Let  $V$  be a vector space over a field of characteristic 0. Prove that **the automorphism group  $\text{Aut}(\text{Mat}(V))$  is isomorphic to  $PGL(V)$**  (the quotient of  $GL(V)$  by its center).

## Spinorial group $\text{Spin}(2n)$

**DEFINITION:** Let  $V = \mathbb{C}^{2n}$  be a vector space over  $\mathbb{C}$  with non-degenerate scalar product. The group  $SO(V)$  acts on  $\text{Cl}(V)$  by automorphisms, giving an action

$$SO(V) \hookrightarrow \text{Aut}(\text{Mat}(2^n, \mathbb{C})) = PGL(2^n, \mathbb{C})$$

as shown above.

**DEFINITION: (Elie Cartan, 1913)**

**Spinor representation** of the Lie algebra  $\mathfrak{so}(V)$  is its representation on  $\mathbb{C}^{2^n}$  induced by the isomorphism  $\mathfrak{pgl}(2^n) = \mathfrak{sl}(2^n)$ .

**DEFINITION: Spinor group**  $\text{Spin}(2n + 1)$  is a double cover of  $SO(2n + 1)$  obtained as a Lie group of  $\mathfrak{so}(V)$  acting on its spinorial representation.

## Spinorial group $\text{Spin}(2n)$

**DEFINITION:** Let  $V = \mathbb{C}^{2n}$  be a vector space over  $\mathbb{C}$  with non-degenerate scalar product. The group  $SO(V)$  acts on  $\text{Cl}(V)$  by automorphisms, and defines a homomorphism

$$SO(V) \hookrightarrow \text{Aut}(\text{Mat}(2^n, \mathbb{C})) = \text{PGL}(2^n, \mathbb{C}).$$

**DEFINITION: (Elie Cartan, 1913)**

**Spinor representation** of the Lie algebra  $\mathfrak{so}(V)$  is its representation on  $\mathbb{C}^{2^n}$  induced by the isomorphism  $\mathfrak{pgl}(2^n) = \mathfrak{sl}(2^n)$ .

**DEFINITION: Spinor group**  $\text{Spin}(2n)$  is a double cover of  $SO(2n)$  obtained as a Lie group of  $\mathfrak{so}(V)$  acting on its spinorial representation.

**EXERCISE:** In even- and odd-dimensional case, prove that  **$\text{Spin}(r)$  is, indeed, a double cover of  $SO(r)$ .**

## Principal bundles

**DEFINITION:** Let  $G$  be a Lie group. **Principal  $G$ -bundle** over a manifold  $M$  is a smooth fibration  $P \mapsto M$  with a smooth  $G$ -action which acts freely and transitively on fibers.

**EXAMPLE: Frame bundle** on a smooth  $n$ -manifold  $M$  is the bundle of all frames (bases) in  $T_x M$ , for all  $x \in M$ .

**DEFINITION:** Let  $H \rightarrow G$  be a group homomorphism, and  $P$  a principal  $H$ -bundle. Then the quotient  $P_G := P \times G / H$  (with  $H$  acting on both components in a natural way) is called **an associated principal bundle**, and  $P$  is called **reduction of the principal  $G$ -bundle  $P_G$  to the group  $H$** .

**DEFINITION:** Let  $G$  be a Lie group, and  $G \rightarrow GL(n, \mathbb{R})$  a group homomorphism. **A  $G$ -structure on a manifold  $M$**  is a reduction of the principal frame bundle to  $G$ .

**DEFINITION:** Let  $G$  be a Lie group,  $V$  its representation, and  $P$  a principal  $G$ -bundle on  $M$ . The quotient  $P \times V / G$  is a vector bundle over  $M$ , called **the associated vector bundle**.

## Spin-structures and spinor bundles

**DEFINITION:** A **spin-structure** on an oriented  $n$ -manifold  $M$  is a reduction of its structure group to  $\text{Spin}(n)$ . A manifold is called **spin** if it admits a spin-structure.

**REMARK:** This happens precisely when the second Stiefel-Whitney class  $w_2(M)$  vanishes.

**DEFINITION:** A **bundle of spinors** on a spin-manifold  $M$  is a vector bundle associated to the principal  $\text{Spin}(n)$ -bundle and a spin representation.

**REMARK:** The Levi-Civita connection is naturally extended from a connection on the bundle of orthogonal frames to its double cover. This defines the Levi-Civita connection on the spinor bundle.

## Spinor bundles and Dirac operator

**DEFINITION:** Consider the map  $TM \otimes \text{Spin} \rightarrow \text{Spin}$  induced by the Clifford multiplication. One defines **the Dirac operator**  $D : \text{Spin} \rightarrow \text{Spin}$  as a composition of  $\nabla : \text{Spin} \rightarrow \Lambda^1 M \otimes \text{Spin} = TM \otimes \text{Spin}$  and the multiplication.

**DEFINITION:** A **harmonic spinor** is a spinor  $\psi$  such that  $D(\psi) = 0$ .

**THEOREM:** (Bochner's vanishing) A harmonic spinor  $\psi$  on a compact manifold with vanishing scalar curvature  $Sc = \text{Tr}(\text{Ric})$  **satisfies**  $\nabla\psi = 0$ .

**Proof:** The **coarse Laplacian**  $\nabla^*\nabla$  is expressed through the Dirac operator using the **Lichnerowicz formula**  $\nabla^*\nabla - D^2 = -\frac{1}{4}Sc$ . When these two operators are equal, **any harmonic spinor  $\psi$  lies in  $\ker \nabla^*\nabla$ , giving**  $(\psi, \nabla^*\nabla\psi) = (\nabla\psi, \nabla\psi) = 0$ . ■

## Bochner's vanishing on Kaehler manifolds

**REMARK:** A Kaehler manifold is spin if and only if  $c_1(M)$  is even, or, equivalently, if there exists a square root of a canonical bundle  $K^{1/2}$ .

**REMARK:** On a Kaehler manifold of complex dimension  $n$ , one has a natural isomorphism between the spinor bundle and  $\Lambda^{*,0}(M) \otimes K^{1/2}$  (for  $n$  even) and  $\Lambda^{2*,0}(M) \otimes K^{1/2}$  (for  $n$  odd).

**REMARK:** On a Kähler manifold, the Dirac operator corresponds to  $\partial + \partial^*$ .

**COROLLARY:** On a Ricci-flat Kähler manifold, all  $\alpha \in \ker(\partial + \partial^*)|_{\Lambda^{*,0}(M)}$  are parallel.

**REMARK:**  $\ker \partial + \partial^* = \ker \{\partial, \partial^*\}$ , where  $\{\cdot, \cdot\}$  denotes the anticommutator. However,  $\{\partial, \partial^*\} = \{\bar{\partial}, \bar{\partial}^*\}$  as Kähler identities imply. Therefore, on a Kähler manifold, harmonic spinors are holomorphic forms.

**THEOREM: (Bochner's vanishing)** Let  $M$  be a Ricci-flat Kaehler manifold, and  $\Omega \in \Lambda^{p,0}(M)$  a holomorphic differential form. Then  $\nabla \Omega = 0$ .