

Hodge theory

Lecture 23: Calabi-Yau theorem

NRU HSE, Moscow

Misha Verbitsky, May 16, 2018

REMINDER: Holomorphic vector bundles

DEFINITION: A $\bar{\partial}$ -operator on a smooth bundle is a map $V \xrightarrow{\bar{\partial}} \Lambda^{0,1}(M) \otimes V$, satisfying $\bar{\partial}(fb) = \bar{\partial}(f) \otimes b + f\bar{\partial}(b)$ for all $f \in C^\infty M, b \in V$.

REMARK: A $\bar{\partial}$ -operator on B can be extended to

$$\bar{\partial} : \Lambda^{0,i}(M) \otimes V \longrightarrow \Lambda^{0,i+1}(M) \otimes V,$$

using $\bar{\partial}(\eta \otimes b) = \bar{\partial}(\eta) \otimes b + (-1)^{\tilde{\eta}} \eta \wedge \bar{\partial}(b)$, where $b \in V$ and $\eta \in \Lambda^{0,i}(M)$.

DEFINITION: A holomorphic vector bundle on a complex manifold (M, I) is a vector bundle equipped with a $\bar{\partial}$ -operator which satisfies $\bar{\partial}^2 = 0$. In this case, $\bar{\partial}$ is called **a holomorphic structure operator**.

EXERCISE: Consider the Dolbeault differential $\bar{\partial} : \Lambda^{p,0}(M) \longrightarrow \Lambda^{p,1}(M) = \Lambda^{p,0}(M) \otimes \Lambda^{0,1}(M)$. **Prove that it is a holomorphic structure operator on $\Lambda^{p,0}(M)$.**

DEFINITION: The corresponding holomorphic vector bundle $(\Lambda^{p,0}(M), \bar{\partial})$ is called **the bundle of holomorphic p -forms**, denoted by $\Omega^p(M)$.

REMINDER: Chern connection

DEFINITION: Let (B, ∇) be a smooth bundle with connection and a holomorphic structure $\bar{\partial} : B \rightarrow \Lambda^{0,1}(M) \otimes B$. Consider a Hodge decomposition of ∇ , $\nabla = \nabla^{0,1} + \nabla^{1,0}$,

$$\nabla^{0,1} : V \rightarrow \Lambda^{0,1}(M) \otimes V, \quad \nabla^{1,0} : V \rightarrow \Lambda^{1,0}(M) \otimes V.$$

We say that ∇ is **compatible with the holomorphic structure** if $\nabla^{0,1} = \bar{\partial}$.

DEFINITION: An Hermitian holomorphic vector bundle is a smooth complex vector bundle equipped with a Hermitian metric and a holomorphic structure operator $\bar{\partial}$.

DEFINITION: A Chern connection on a holomorphic Hermitian vector bundle is a connection compatible with the holomorphic structure and preserving the metric.

THEOREM: On any holomorphic Hermitian vector bundle, **the Chern connection exists, and is unique.**

REMINDER: Curvature of a connection

DEFINITION: Let $\nabla : B \rightarrow B \otimes \Lambda^1 M$ be a connection on a smooth bundle. Extend it to an operator on B -valued forms

$$B \xrightarrow{\nabla} \Lambda^1(M) \otimes B \xrightarrow{\nabla} \Lambda^2(M) \otimes B \xrightarrow{\nabla} \Lambda^3(M) \otimes B \xrightarrow{\nabla} \dots$$

using $\nabla(\eta \otimes b) = d\eta + (-1)^{\tilde{n}} \eta \wedge \nabla b$. The operator $\nabla^2 : B \rightarrow B \otimes \Lambda^2(M)$ is called **the curvature** of ∇ .

REMARK: The algebra of $\text{End}(B)$ -valued forms naturally acts on $\Lambda^* M \otimes B$. The curvature satisfies $\nabla^2(fb) = d^2fb + df \wedge \nabla b - df \wedge \nabla b + f\nabla^2 b = f\nabla^2 b$, hence it is $C^\infty M$ -linear. **We consider it as an $\text{End}(B)$ -valued 2-form on M .**

PROPOSITION: (Bianchi identity) Clearly, $[\nabla, \nabla^2] = [\nabla^2, \nabla] + [\nabla, \nabla^2] = 0$, hence $[\nabla, \nabla^2] = 0$. This gives **Bianchi identity:** $\nabla(\Theta_B) = 0$, where Θ is considered as a section of $\Lambda^2(M) \otimes \text{End}(B)$, and $\nabla : \Lambda^2(M) \otimes \text{End}(B) \rightarrow \Lambda^3(M) \otimes \text{End}(B)$. the operator defined above

REMINDER: Curvature of a holomorphic line bundle

REMARK: If B is a line bundle, $\text{End } B$ is trivial, and **the curvature Θ_B of B is a closed 2-form.**

DEFINITION: Let ∇ be a unitary connection in a line bundle. The cohomology class $c_1(B) := \frac{\sqrt{-1}}{2\pi} [\Theta_B] \in H^2(M)$ is called **the real first Chern class of a line bundle B .**

An exercise: Check that $c_1(B)$ is independent from a choice of ∇ .

REMARK: When speaking of a “**curvature of a holomorphic bundle**”, one usually means the curvature of a Chern connection.

REMARK: Let B be a holomorphic Hermitian line bundle, and b its non-degenerate holomorphic section. Denote by η a $(1,0)$ -form which satisfies $\nabla^{1,0}b = \eta \otimes b$. Then $d|b|^2 = \text{Re } g(\nabla^{1,0}b, b) = \text{Re } \eta |b|^2$. **This gives $\nabla^{1,0}b = \frac{\partial |b|^2}{|b|^2} b = 2\partial \log |b| b$.**

REMARK: Then $\Theta_B(b) = 2\bar{\partial}\partial \log |b| b$, **that is, $\Theta_B = -2\partial\bar{\partial} \log |b|$.**

COROLLARY: If $g' = e^{2f}g$ – two metrics on a holomorphic line bundle, Θ, Θ' their curvatures, **one has $\Theta' - \Theta = -2\partial\bar{\partial}f$**

$\partial\bar{\partial}$ -lemma**THEOREM: (“ $\partial\bar{\partial}$ -lemma”)**

Let M be a compact Kaehler manifold, and $\eta \in \Lambda^{p,q}(M)$ an exact form. Then $\eta = \partial\bar{\partial}\alpha$, for some $\alpha \in \Lambda^{p-1,q-1}(M)$.

Its proof uses Hodge theory.

COROLLARY: Let (L, h) be a holomorphic line bundle on a compact complex manifold, Θ its curvature, and η a $(1,1)$ -form in the same cohomology class as $[\Theta]$. **Then there exists a Hermitian metric h' on L such that its curvature is equal to η .**

Proof: Let Θ' be the curvature of the Chern connection associated with h' . Then $\Theta' - \Theta = -2\partial\bar{\partial}f$, where $f = \log(h'h^{-1})$. Then $\Theta' - \Theta = \eta - \Theta = -2\partial\bar{\partial}f$ has a solution f by $\partial\bar{\partial}$ -lemma, because $\eta - \Theta$ is exact. ■

Calabi-Yau manifolds

REMARK: Let B be a line bundle on a manifold. Using the long exact sequence of cohomology associated with the exponential sequence

$$0 \longrightarrow \mathbb{Z}_M \longrightarrow C^\infty M \longrightarrow (C^\infty M)^* \longrightarrow 0,$$

we obtain $0 \longrightarrow H^1(M, (C^\infty M)^*) \longrightarrow H^2(M, \mathbb{Z}) \longrightarrow 0$.

DEFINITION: Let B be a complex line bundle, and ξ_B its defining element in $H^1(M, (C^\infty M)^*)$. Its image in $H^2(M, \mathbb{Z})$ is called **the integer first Chern class** of B , denoted by $c_1(B, \mathbb{Z})$ or $c_1(B)$.

REMARK: A complex line bundle B is (topologically) trivial if and only if $c_1(B, \mathbb{Z}) = 0$.

THEOREM: (Gauss-Bonnet) A real Chern class of a vector bundle is an image of the integer Chern class $c_1(B, \mathbb{Z})$ under the natural homomorphism $H^2(M, \mathbb{Z}) \longrightarrow H^2(M, \mathbb{R})$.

DEFINITION: A first Chern class of a complex n -manifold is $c_1(\Lambda^{n,0}(M))$.

DEFINITION:

A Calabi-Yau manifold is a compact Kaehler manifold with $c_1(M, \mathbb{Z}) = 0$.

Ricci form of a Kähler manifold

THEOREM: (Bogomolov) Let M be a compact Kähler n -manifold with $c_1(M, \mathbb{Z}) = 0$. **Then the canonical bundle $K_M := \Omega^n(M)$ is trivial.**

Proof: Follows from the Calabi-Yau theorem (later today). ■

In other words, a manifold is Calabi-Yau if and only if its canonical bundle is trivial.

DEFINITION: Let (M, ω) be a Kähler manifold. The metric on K_M can be written as $|\Omega|^2 = \frac{\Omega \wedge \bar{\Omega}}{\omega^n}$. The **Ricci form** on M is the curvature of the Chern connection on K_M . The manifold M is **Ricci-flat** if its Ricci form vanishes.

REMARK: Since a canonical bundle K_M of a Calabi-Yau manifold is trivial, it admits a metric with trivial connection. Calabi conjectured that **this metric on K_M is induced by a Kähler metric ω on M** and proved that such a metric is unique for any cohomology class $[\omega] \in H^{1,1}(M, \mathbb{R})$. Yau proved that it always exists.

DEFINITION: A Ricci-flat Kähler metric is called **Calabi-Yau metric**.

Calabi-Yau theorem and Monge-Ampère equation

REMARK: Let (M, ω) be a Kähler n -fold, and Ω a non-degenerate section of $K(M)$, Then $|\Omega|^2 = \frac{\Omega \wedge \bar{\Omega}}{\omega^n}$. If ω_1 is a new Kähler metric on (M, I) , h, h_1 the associated metrics on $K(M)$, then $\frac{h}{h_1} = \frac{\omega_1^n}{\omega^n}$.

REMARK: For two metrics ω_1, ω in the same Kähler class, one has $\omega_1 - \omega = dd^c \varphi$, for some function φ (dd^c -lemma).

COROLLARY: A metric $\omega_1 = \omega + \partial\bar{\partial}\varphi$ is Ricci-flat if and only if $(\omega + dd^c\varphi)^n = \omega^n e^f$, where $-2\partial\bar{\partial}f = \Theta_{K, \omega}$ (such f exists by $\partial\bar{\partial}$ -lemma).

Proof. Step 1: For such f, φ , one has $\log \frac{h}{h_1} = -\log e^f = -f$. As shown above, the corresponding curvatures are related as $\Theta_{K, \omega_1} - \Theta_{K, \omega} = -2\partial\bar{\partial} \log(h/h_1)$. This gives

$$\Theta_{K, \omega_1} = \Theta_{K, \omega} - 2\partial\bar{\partial} \log(h/h_1) = \Theta_{K, \omega} - 2\partial\bar{\partial}f.$$

Proof. Step 2: Therefore, ω_1 is Ricci-flat if and only if $\Theta_{K, \omega} - 2\partial\bar{\partial}f = 0$. ■

To find a Ricci-flat metric it remains to solve an equation $(\omega + dd^c\varphi)^n = \omega^n e^f$ for a given f .

The complex Monge-Ampère equation

To find a Ricci-flat metric **it remains to solve an equation** $(\omega + dd^c\varphi)^n = \omega^n e^f$ **for a given** f .

THEOREM: (Calabi-Yau) Let (M, ω) be a compact Kaehler n -manifold, and f any smooth function. **Then there exists a unique up to a constant function** φ such that $(\omega + \sqrt{-1}\partial\bar{\partial}\varphi)^n = Ae^f\omega^n$, where A is a positive constant obtained from the formula $\int_M Ae^f\omega^n = \int_M \omega^n$.

DEFINITION:

$$(\omega + \sqrt{-1}\partial\bar{\partial}\varphi)^n = Ae^f\omega^n,$$

is called **the Monge-Ampere equation**.

Uniqueness of solutions of complex Monge-Ampere equation

PROPOSITION: (Calabi) **A complex Monge-Ampere equation has at most one solution,** up to a constant.

Proof. Step 1: Let ω_1, ω_2 be solutions of Monge-Ampere equation. Then $\omega_1^n = \omega_2^n$. By construction, one has $\omega_2 = \omega_1 + \sqrt{-1} \partial\bar{\partial}\psi$. **We need to show $\psi = \text{const.}$**

Step 2: $\omega_2 = \omega_1 + \sqrt{-1} \partial\bar{\partial}\psi$ gives

$$0 = (\omega_1 + \sqrt{-1} \partial\bar{\partial}\psi)^n - \omega_1^n = \sqrt{-1} \partial\bar{\partial}\psi \wedge \sum_{i=0}^{n-1} \omega_1^i \wedge \omega_2^{n-1-i}.$$

Step 3: Let $P := \sum_{i=0}^{n-1} \omega_1^i \wedge \omega_2^{n-1-i}$. This is a positive $(n-1, n-1)$ -form. **There exists a Hermitian form ω_3 on M such that $\omega_3^{n-1} = P$.**

Step 4: Since $\sqrt{-1} \partial\bar{\partial}\psi \wedge P = 0$, this gives $\psi \partial\bar{\partial}\psi \wedge P = 0$. Stokes' formula implies

$$0 = \int_M \psi \wedge \partial\bar{\partial}\psi \wedge P = - \int_M \partial\psi \wedge \bar{\partial}\psi \wedge P = - \int_M |\partial\psi|_3^2 \omega_3^n.$$

where $|\cdot|_3$ is the metric associated to ω_3 . **Therefore $\bar{\partial}\psi = 0$. ■**

Levi-Civita connection and Kähler geometry

DEFINITION: Let (M, g) be a Riemannian manifold. A connection ∇ is called **orthogonal** if $\nabla(g) = 0$. It is called **Levi-Civita** if it is torsion-free.

THEOREM: (“the main theorem of differential geometry”)

For any Riemannian manifold, the Levi-Civita connection exists, and it is unique.

THEOREM: Let (M, I, g) be an almost complex Hermitian manifold. **Then the following conditions are equivalent.**

(i) (M, I, g) is **Kähler**

(ii) One has $\nabla(I) = 0$, where ∇ is the Levi-Civita connection.

Holonomy group

DEFINITION: (Cartan, 1923) Let (B, ∇) be a vector bundle with connection over M . For each loop γ based in $x \in M$, let $V_{\gamma, \nabla} : B|_x \rightarrow B|_x$ be the corresponding parallel transport along the connection. The **holonomy group** of (B, ∇) is a group generated by $V_{\gamma, \nabla}$, for all loops γ . If one takes all contractible loops instead, $V_{\gamma, \nabla}$ generates **the local holonomy**, or **the restricted holonomy** group.

REMARK: A bundle is **flat** (has vanishing curvature) **if and only if its restricted holonomy vanishes**.

REMARK: If $\nabla(\varphi) = 0$ for some tensor $\varphi \in B^{\otimes i} \otimes (B^*)^{\otimes j}$, **the holonomy group preserves φ** .

DEFINITION: **Holonomy of a Riemannian manifold** is holonomy of its Levi-Civita connection.

EXAMPLE: Holonomy of a Riemannian manifold lies in $O(T_x M, g|_x) = O(n)$.

EXAMPLE: Holonomy of a Kähler manifold lies in $U(T_x M, g|_x, I|_x) = U(n)$.

REMARK: The holonomy group **does not depend on the choice of a point $x \in M$** .

The Berger's list

THEOREM: (de Rham) A complete, simply connected Riemannian manifold with non-irreducible holonomy **splits as a Riemannian product.**

THEOREM: (Berger's theorem, 1955) Let G be an irreducible holonomy group of a Riemannian manifold which is not locally symmetric. **Then G belongs to the Berger's list:**

Berger's list	
<i>Holonomy</i>	<i>Geometry</i>
$SO(n)$ acting on \mathbb{R}^n	Riemannian manifolds
$U(n)$ acting on \mathbb{R}^{2n}	Kähler manifolds
$SU(n)$ acting on \mathbb{R}^{2n} , $n > 2$	Calabi-Yau manifolds
$Sp(n)$ acting on \mathbb{R}^{4n}	hyperkähler manifolds
$Sp(n) \times Sp(1)/\{\pm 1\}$ acting on \mathbb{R}^{4n} , $n > 1$	quaternionic-Kähler manifolds
G_2 acting on \mathbb{R}^7	G_2 -manifolds
$Spin(7)$ acting on \mathbb{R}^8	$Spin(7)$ -manifolds

Chern connection

DEFINITION: Let B be a holomorphic vector bundle on a complex manifold, and $\bar{\partial} : B_{C^\infty} \rightarrow B_{C^\infty} \otimes \Lambda^{0,1}(M)$ an operator mapping $b \otimes f$ to $b \otimes \bar{\partial}f$, where $b \in B$ is a holomorphic section, and f a smooth function. This operator is called **a holomorphic structure operator** on B . **It is correctly defined, because $\bar{\partial}$ is \mathcal{O}_M -linear.**

REMARK: A section $b \in B$ is holomorphic iff $\bar{\partial}(b) = 0$

DEFINITION: Let (B, ∇) be a smooth bundle with connection and a holomorphic structure $\bar{\partial} : B \rightarrow \Lambda^{0,1}(M) \otimes B$. Consider the Hodge decomposition of ∇ , $\nabla = \nabla^{0,1} + \nabla^{1,0}$. We say that ∇ is **compatible with the holomorphic structure** if $\nabla^{0,1} = \bar{\partial}$.

DEFINITION: **An Hermitian holomorphic vector bundle** is a complex vector bundle equipped with a Hermitian metric and a holomorphic structure.

DEFINITION: **A Chern connection** on a holomorphic Hermitian vector bundle is a connection compatible with the holomorphic structure and preserving the metric.

THEOREM: On any holomorphic Hermitian vector bundle, **the Chern connection exists, and is unique.**

Calabi-Yau manifolds

DEFINITION:

A Calabi-Yau manifold is a compact Kaehler manifold with $c_1(M, \mathbb{Z}) = 0$.

DEFINITION: Let (M, I, ω) be a Kaehler n -manifold, and $K(M) := \Lambda^{n,0}(M)$ its **canonical bundle**. We consider $K(M)$ as a holomorphic line bundle, $K(M) = \Omega^n M$. The natural Hermitian metric on $K(M)$ is written as

$$(\alpha, \alpha') \longrightarrow \frac{\alpha \wedge \bar{\alpha}'}{\omega^n}.$$

Denote by Θ_K the curvature of the Chern connection on $K(M)$. The **Ricci curvature** Ric of M is a symmetric 2-form $\text{Ric}(x, y) = \Theta_K(x, Iy)$.

DEFINITION: A Kähler manifold is called **Ricci-flat** if its Ricci curvature vanishes.

THEOREM: (Calabi-Yau)

Let (M, I, g) be Calabi-Yau manifold. **Then there exists a unique Ricci-flat Kaehler metric in any given Kaehler class.**

REMARK: Converse is also true: **any Ricci-flat Kähler manifold has a finite covering which is Calabi-Yau.** This is due to Bogomolov.

Bochner's vanishing

THEOREM: (Bochner vanishing theorem) On a compact Ricci-flat Calabi-Yau manifold, **any holomorphic p -form η is parallel** with respect to the Levi-Civita connection: $\nabla(\eta) = 0$.

REMARK: Its proof is based on spinors: η gives a harmonic spinor, and **on a Ricci-flat Riemannian spin manifold, any harmonic spinor is parallel.**

DEFINITION: A **holomorphic symplectic manifold** is a manifold admitting a non-degenerate, holomorphic symplectic form.

REMARK: A holomorphic symplectic manifold is Calabi-Yau. The top exterior power of a holomorphic symplectic form **is a non-degenerate section of canonical bundle.**

Hyperkähler manifold

REMARK: Due to Bochner's vanishing, **holonomy of Ricci-flat Calabi-Yau manifold lies in $SU(n)$** , and **holonomy of Ricci-flat holomorphically symplectic manifold lies in $Sp(n)$** (a group of complex unitary matrices preserving a complex-linear symplectic form).

DEFINITION: A holomorphically symplectic Kähler manifold with holonomy in $Sp(n)$ is called **hyperkähler**.

REMARK: Since $Sp(n) = SU(\mathbb{H}, n)$, a **hyperkähler manifold admits quaternionic action in its tangent bundle**.

EXAMPLES.

EXAMPLE: An even-dimensional complex vector space.

EXAMPLE: An even-dimensional complex torus.

EXAMPLE: A non-compact example: $T^*\mathbb{C}P^n$ (Calabi).

REMARK: $T^*\mathbb{C}P^1$ is a resolution of a singularity $\mathbb{C}^2/\pm 1$.

REMARK: Let M be a 2-dimensional complex manifold with holomorphic symplectic form outside of singularities, which are all of form $\mathbb{C}^2/\pm 1$. Then its resolution is also holomorphically symplectic.

EXAMPLE: Take a 2-dimensional complex torus T , then all the singularities of $T/\pm 1$ are of this form. Its resolution $\widetilde{T/\pm 1}$ is called a **Kummer surface**. It is holomorphically symplectic.

REMARK: Take a symmetric square $\text{Sym}^2 T$, with a natural action of T , and let $T^{[2]}$ be a blow-up of a singular divisor. Then $T^{[2]}$ is naturally isomorphic to the Kummer surface $\widetilde{T/\pm 1}$.

K3 surfaces

DEFINITION: A **K3-surface** is a deformation of a Kummer surface.

“K3: Kummer, Kähler, Kodaira” (a name is due to A. Weil).



“Faichan Kangri (K3) is the 12th highest mountain on Earth.”

THEOREM: Any complex compact surface with $c_1(M) = 1$ and $H^1(M) = 0$ is isomorphic to **K3**. Moreover, **it is hyperkähler**.

Hilbert schemes

REMARK: A **complex surface** is a 2-dimensional complex manifold.

DEFINITION: A **Hilbert scheme** $M^{[n]}$ of a complex surface M is a classifying space of all ideal sheaves $I \subset \mathcal{O}_M$ for which the quotient \mathcal{O}_M/I has dimension n over \mathbb{C} .

REMARK: A Hilbert scheme **is obtained as a resolution of singularities** of the symmetric power $\text{Sym}^n M$.

THEOREM: (Fujiki, Beauville) **A Hilbert scheme of a hyperkähler surface is hyperkähler.**

EXAMPLE: A Hilbert scheme of K3.

EXAMPLE: Let T is a torus. Then it acts on its Hilbert scheme freely and properly by translations. For $n = 2$, the quotient $T^{[n]}/T$ is a Kummer K3-surface. For $n > 2$, it is called **a generalized Kummer variety**.

REMARK: There are 2 more “sporadic” examples of compact hyperkähler manifolds, constructed by K. O’Grady. **All known compact hyperkaehler manifolds are these 2 and the three series:** tori, Hilbert schemes of K3, and generalized Kummer.

Bogomolov's decomposition theorem

THEOREM: (Cheeger-Gromoll) Let M be a compact Ricci-flat Riemannian manifold with $\pi_1(M)$ infinite. **Then a universal covering of M is a product of \mathbb{R} and a Ricci-flat manifold.**

COROLLARY: A fundamental group of a compact Ricci-flat Riemannian manifold is **“virtually polycyclic”**: it is projected to a free abelian subgroup with finite kernel.

REMARK: This is equivalent to any compact Ricci-flat manifold having a finite covering which has free abelian fundamental group.

REMARK: This statement contains the Bieberbach's solution of Hilbert's 18-th problem on classification of crystallographic groups.

THEOREM: (Bogomolov's decomposition) Let M be a compact, Ricci-flat Kähler manifold. **Then there exists a finite covering \tilde{M} of M which is a product of Kähler manifolds of the following form:**

$$\tilde{M} = T \times M_1 \times \dots \times M_i \times K_1 \times \dots \times K_j,$$

with all M_i, K_i simply connected, T a torus, and $\mathcal{H}ol(M_l) = Sp(n_l)$, $\mathcal{H}ol(K_l) = SU(m_l)$

Harmonic forms

Let V be a vector space. **A metric g on V induces a natural metric on each of its tensor spaces:** $g(x_1 \otimes x_2 \otimes \dots \otimes x_k, x'_1 \otimes x'_2 \otimes \dots \otimes x'_k) = g(x_1, x'_1)g(x_2, x'_2)\dots g(x_k, x'_k)$.

This gives a natural positive definite scalar product on differential forms over a Riemannian manifold (M, g) : $g(\alpha, \beta) := \int_M g(\alpha, \beta) \text{Vol}_M$. The topology induced by this metric is called **L^2 -topology**.

DEFINITION: Let d be the de Rham differential and d^* denote the adjoint operator. The **Laplace operator** is defined as $\Delta := dd^* + d^*d$. A form is called **harmonic** if it lies in $\ker \Delta$.

THEOREM: The image of Δ is closed in L^2 -topology on differential forms.

REMARK: This is a very difficult theorem!

REMARK: On a compact manifold, the form η is **harmonic iff $d\eta = d^*\eta = 0$** . Indeed, $(\Delta x, x) = (dx, dx) + (d^*x, d^*x)$.

COROLLARY: This defines a map $\mathcal{H}^i(M) \xrightarrow{\tau} H^i(M)$ from harmonic forms to cohomology.

Hodge theory

THEOREM: (Hodge theory for Riemannian manifolds)

On a compact Riemannian manifold, the map $\mathcal{H}^i(M) \xrightarrow{\tau} H^i(M)$ to cohomology **is an isomorphism.**

Proof. Step 1: $\ker d \perp \operatorname{im} d^*$ and $\operatorname{im} d \perp \ker d^*$. Therefore, **a harmonic form is orthogonal to $\operatorname{im} d$.** This implies that **τ is injective.**

Step 2: $\eta \perp \operatorname{im} \Delta$ if and only if η is harmonic. Indeed, $(\eta, \Delta x) = (\Delta x, x)$.

Step 3: Since $\operatorname{im} \Delta$ is closed, **every closed form η is decomposed as $\eta = \eta_h + \eta'$,** where η_h is harmonic, and $\eta' = \Delta \alpha$.

Step 4: When η is closed, η' is also closed. Then $0 = (d\eta, d\alpha) = (\eta, d^*d\alpha) = (\Delta \alpha, d^*d\alpha) = (dd^*\alpha, d^*d\alpha) + (d^*d\alpha, d^*d\alpha)$. The term $(dd^*\alpha, d^*d\alpha)$ vanishes, because $d^2 = 0$, hence $(d^*d\alpha, d^*d\alpha) = 0$. This gives $d^*d\alpha = 0$, and $(d^*d\alpha, \alpha) = (d\alpha, d\alpha) = 0$. We have shown that **for any closed η decomposing as $\eta = \eta_h + \eta'$, with $\eta' = \Delta \alpha$, α is closed**

Step 5: This gives $\eta' = dd^*\alpha$, hence **η is a sum of an exact form and a harmonic form. ■**

REMARK: This gives a way of obtaining the Poincaré duality via PDE.

Hodge decomposition on cohomology

THEOREM: *(this theorem will be proven in the next lecture)*

On a compact Kaehler manifold M , **the Hodge decomposition is compatible with the Laplace operator.** This gives a decomposition of cohomology, $H^i(M) = \bigoplus_{p+q=i} H^{p,q}(M)$, with $\overline{H^{p,q}(M)} = H^{q,p}(M)$.

COROLLARY: $H^p(M)$ is even-dimensional for odd p .

The Hodge diamond:

$$\begin{array}{ccccccc}
 & & & & H^{n,n} & & \\
 & & & & & & \\
 & & & & H^{n,n-1} & & H^{n-1,n} \\
 & & & & & & \\
 & & & & H^{n,n-2} & & H^{n-1,n-1} & & H^{n-2,n} \\
 & & & & \vdots & & \vdots & & \vdots \\
 & & & & H^{2,0} & & H^{1,1} & & H^{0,2} \\
 & & & & & & & & \\
 & & & & & & H^{1,0} & & H^{0,1} \\
 & & & & & & & & \\
 & & & & & & & & H^{0,0}
 \end{array}$$

REMARK: $H^{p,0}(M)$ is the space of holomorphic p -forms. Indeed, $dd^* + d^*d = 2(\bar{\partial}\bar{\partial}^* + \bar{\partial}^*\bar{\partial})$, hence **a holomorphic form on a compact Kähler manifold is closed.**

Holomorphic Euler characteristic

DEFINITION: A holomorphic Euler characteristic $\chi(M)$ of a Kähler manifold is a sum $\sum (-1)^p \dim H^{p,0}(M)$.

THEOREM: (Riemann-Roch-Hirzebruch) For an n -fold, $\chi(M)$ can be expressed as a polynomial expressions of the Chern classes, $\chi(M) = td_n$ where td_n is an n -th component of the Todd polynomial,

$$td(M) = 1 + \frac{1}{2}c_1 + \frac{1}{12}(c_1^2 + c_2) + \frac{1}{24}c_1c_2 + \frac{1}{720}(-c_1^4 + 4c_1^2c_2 + c_1c_3 + 3c_2^2 - c_4) + \dots$$

REMARK: The Chern classes are obtained as polynomial expression of the curvature (Gauss-Bonnet). Therefore $\chi(\tilde{M}) = p\chi(M)$ for any unramified p -fold covering $\tilde{M} \rightarrow M$.

REMARK: Bochner's vanishing and the classical invariants theory imply:

1. When $\mathcal{H}ol(M) = SU(n)$, we have $\dim H^{p,0}(M) = 1$ for $p = 1, n$, and 0 otherwise. In this case, $\chi(M) = 2$ for even n and 0 for odd.
2. When $\mathcal{H}ol(M) = Sp(n)$, we have $\dim H^{p,0}(M) = 1$ for even p $0 \leq p \leq 2n$, and 0 otherwise. In this case, $\chi(M) = n + 1$.

COROLLARY: $\pi_1(M) = 0$ if $\mathcal{H}ol(M) = Sp(n)$, or $\mathcal{H}ol(M) = SU(2n)$. If $\mathcal{H}ol(M) = SU(2n + 1)$, $\pi_1(M)$ is finite.