# **Complex geometry**

lecture 16: Riemann-Hilbert correspondence

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#### **Sheaves**

**DEFINITION:** An open cover of a topological space X is a family of open sets  $\{U_i\}$  such that  $\bigcup_i U_i = X$ .

**REMARK:** The definition of a sheaf below **is a more abstract version of the notion of "sheaf of functions"** defined previously.

**DEFINITION:** A presheaf on a topological space M is a collection of vector spaces (or abelian groups)  $\mathcal{F}(U)$ , for each open subset  $U \subset M$ , together with restriction maps  $R_{UW}\mathcal{F}(U) \longrightarrow \mathcal{F}(W)$  defined for each  $W \subset U$ , such that for any three open sets  $W \subset V \subset U$ ,  $R_{UW} = R_{UV} \circ R_{VW}$ . Elements of  $\mathcal{F}(U)$  are called sections of  $\mathcal{F}$  over U, and the restriction map often denoted  $f|_W$ 

**DEFINITION:** A presheaf  $\mathcal{F}$  is called a sheaf if for any open set U and any cover  $U = \bigcup U_I$  the following two conditions are satisfied.

1. Let  $f \in \mathcal{F}(U)$  be a section of  $\mathcal{F}$  on U such that its restriction to each  $U_i$  vanishes. Then f = 0.

2. Let  $f_i \in \mathcal{F}(U_i)$  be a family of sections compatible on the pairwise intersections:  $f_i|_{U_i \cap U_j} = f_j|_{U_i \cap U_j}$  for every pair of members of the cover. Then there exists  $f \in \mathcal{F}(U)$  such that  $f_i$  is the restriction of f to  $U_i$  for all i.

#### **Morphisms of sheaves**

**DEFINITION:** Let  $\mathcal{B}, \mathcal{B}'$  be sheaves on M. A sheaf morphism from  $\mathcal{B}$  to  $\mathcal{B}'$  is a collection of homomorphisms  $\mathcal{B}(U) \longrightarrow \mathcal{B}'(U)$ , defined for each open subset  $U \subset M$ , and compatible with the restriction maps:

**DEFINITION:** A sheaf isomorphism is a homomorphism  $\Psi$  :  $\mathcal{F}_1 \longrightarrow \mathcal{F}_2$ , for which there exists an homomorphism  $\Phi$  :  $\mathcal{F}_2 \longrightarrow \mathcal{F}_1$ , such that  $\Phi \circ \Psi = \text{Id}$  and  $\Psi \circ \Phi = \text{Id}$ .

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# **Sheaves of modules**

**REMARK:** Let  $A : \varphi \longrightarrow B$  be a ring homomorphism, and V a B-module. Then V is equipped with a natural A-module structure:  $av := \varphi(a)v$ .

**DEFINITION:** Let  $\mathcal{F}$  be a sheaf of rings on a topological space M, and  $\mathcal{B}$  another sheaf. It is called a sheaf of  $\mathcal{F}$ -modules if for all  $U \subset M$  the space of sections  $\mathcal{B}(U)$  is equipped with a structure of  $\mathcal{F}(U)$ -module, and for all  $U' \subset U$ , the restriction map  $\mathcal{B}(U) \xrightarrow{\varphi_{U,U'}} \mathcal{B}(U')$  is a homomorphism of  $\mathcal{F}(U)$ -modules (use the remark above to obtain a structure of  $\mathcal{F}(U)$ -module on  $\mathcal{B}(U')$ ).

**DEFINITION:** A free sheaf of modules  $\mathcal{F}^n$  over a ring sheaf  $\mathcal{F}$  maps an open set U to the space  $\mathcal{F}(U)^n$ .

**DEFINITION:** Locally free sheaf of modules over a sheaf of rings  $\mathcal{F}$  is a sheaf of modules  $\mathcal{B}$  satisfying the following condition. For each  $x \in M$  there exists a neighbourhood  $U \ni x$  such that the restriction  $\mathcal{B}|_U$  is free.

**DEFINITION: A vector bundle** on a smooth manifold M is a locally free sheaf of  $C^{\infty}M$ -modules.

**EXAMPLE:** Clearly, tangent bundle is a vector bundle.

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#### Locally constant sheaves

**DEFINITION:** Let  $\mathcal{F}$  be a sheaf on M which takes a connected non-empty open subset  $U \subset M$  to a vector space or abelian group  $\mathbb{V}$ . Extend  $\mathcal{F}$  to all open sets using the gluing axiom. Then  $\mathcal{F}$  is called the constant sheaf, denoted  $\mathbb{V}_M$ .

**EXERCISE:** Prove that the constant sheaf  $\mathbb{V}_M$  exists, and is unique up to isomorphism.

**EXERCISE:** Let W be an open set in M, and  $S_W$  its set of connected components. Prove that  $\mathbb{V}_M(W) = \mathbb{V}^{|S_W|}$ .

**DEFINITION:** A **locally constant sheaf** is a sheaf which is locally isomorphic to a constant sheaf.

**EXAMPLE:** Let  $\pi : M' \to M$  be a covering. Given  $U \subset M$ , let  $S_U$  be the set of connected components of  $\pi^{-1}(U)$ , and set  $\mathcal{F}(U) = \mathbb{V}^{|S_W|}$ . We are going to define the restriction map r as follows. For an open subset  $W \subset U$ , consider the map  $S_W \to S_U$  induced by the natural embedding  $\pi^{-1}(W) \stackrel{j}{\to} \pi^{-1}(U)$ . For each direct sum component  $\mathbb{V}_u \subset \mathbb{V}^{|S_U|}$  corresponding to  $u \in \operatorname{im} j$ , let  $r_u : \mathbb{V}_u \longrightarrow \mathbb{V}_{j(u)}$  be identity. For a component  $\mathbb{V}_u \subset \mathbb{V}^{|S_U|}$  corresponding to  $u \notin \operatorname{im} j$ , we set  $r_u = 0$ . Then  $r := \bigoplus_{u \in S_U} r_u : \bigoplus_{u \in S_U} \mathbb{V} \longrightarrow \bigoplus_{w \in S_W} \mathbb{V}$ . This defines a locally constant sheaf on M (prove it).

# Étalé space of a sheaf

**DEFINITION:** Let  $\mathcal{F}$  be a sheaf on M, and  $U, V \supset x$  be two open set containing  $x \in M$ . Two sections  $f \in \mathcal{F}(U)$ ,  $g \in \mathcal{F}(V)$  are called **equivalent in** x if there exists an open set  $W \ni x$  such that  $W \subset U \cap V$  and  $f|_W = g|_W$ . A germ of a sheaf  $\mathcal{F}$  in x is a class of equivalence of sections of  $\mathcal{F}$  in all open sets  $U \ni x$  under this equivalence relation. The stalk of a sheaf  $\mathcal{F}$  in x is the space  $\mathcal{F}_x$  of all germs in x.

**DEFINITION:** Let  $E(\mathcal{F})$  be the set of all stalks of a sheaf  $\mathcal{F}$  in all points  $x \in M$ . A germ  $f \in \mathcal{F}_m$  is called a limit of a sequence of germs  $f_i \in \mathcal{F}_{m_i}$  if  $\lim_i m_i = m$  and there exists a section  $\tilde{f}$  of  $\mathcal{F}$  over  $U \ni x$  such that almost all  $f_i$  are germs of  $\tilde{f}$ . The étalé topology on  $E(\mathcal{F})$  is defined as follows: a subset  $K \subset E(\mathcal{F})$  is closed in étalé topology if it contains all its limit points.

**REMARK:** Usually  $E(\mathcal{F})$  is non-Hausdorff.

#### Étalé space of a constant sheaf

**CLAIM:** Let  $\mathcal{F} = \mathbb{V}_M$  be a constant sheaf on a manifold, and  $x \in M$  a connected subset. Then the space of germs of  $\mathcal{F}$  in x is equal to  $\mathbb{V}$ .

**Proof:** Since  $\mathcal{F}$  is constant, the set of its sections on any connected open set is equal to  $\mathbb{V}$ . This gives a natural map  $r_x := \mathcal{F}(U) \longrightarrow \mathbb{V}$ : we restrict  $f \in \mathcal{F}(U)$  to a connected component  $U_1$  of U containing x, and obtain an element of  $\mathbb{V}$ . **Clearly, two sections** f, g **are equivalent in** K **if and only if**  $r_x(f) = r_x(g)$ . This identifies  $\mathbb{V}$  with the set of equivalence classes of sections in x.

**Corollary 1:** Let  $\mathcal{F} = \mathbb{V}_M$  be a constant sheaf on a manifold. Then the étalé space  $E(\mathcal{F})$  of  $\mathcal{F}$  is identified with  $\mathbb{V}$  disconnected copies of M.

**Proof:** Indeed, a sequence  $f_i \in \mathcal{F}_{m_i}$  converges to f if  $\lim_i m_i = m$  and  $r_{m_i}(f_i) = r_m(f)$  for almost all i.

#### Local systems

**DEFINITION: Category of coverings** of M is category C with Ob(C) all coverings and morphisms continuous maps of coverings compatible with projections to M.

**DEFINITION:** Let  $\pi_1 : M_1 \longrightarrow M$ ,  $\pi_2 : M_2 \longrightarrow M$  be continuous maps. **Fibered product**  $M_1 \times_M M_2$  is the subset of  $M_1 \times M_2$  defined as  $M_1 \times_M M_2 := \{(x, y) \in M_1 \times M_2 \mid \pi_1(x) = \pi_2(y)\}$ , with induced topology.

**EXERCISE:** Prove that a fibered product of coverings is a covering.

**DEFINITION:** An abelian group structure on a covering  $\pi_1 : M_1 \longrightarrow M$ is a morphism of coverings  $\mu : M_1 \times_M M_1 \longrightarrow M_1$  together with a morphism  $e : M \longrightarrow M_1$  from a trivial covering to  $M_1$  and  $\in \text{Hom}_M(M_1)$  such that  $\mu$ defines an additive structure of an abelian group on the set  $\pi_1^{-1}(x)$  for each  $x \in M$ , with e(x) a unit in this group and a the inverse.

**REMARK:** If, in addition, we have a group homomorphism  $\mathbb{R}^* \longrightarrow \operatorname{Aut}_M(M_1, M_1)$  which equips each  $\pi_1^{-1}(x)$  with a structure of a vector space, we obtain a structure of a vector space on a covering.

**DEFINITION: A local system** is a covering with a structure of an abelian group or a vector space.

# Étalé space of a locally constant sheaf

**THEOREM:** Let  $\mathcal{F} = \mathbb{V}_M$  be a locally constant sheaf on a manifold. Then its étalé space  $E(\mathcal{F})$  is a covering of M.

**Proof:** Immediately follows from Corollary 1. ■

# **THEOREM:** Category of locally constant sheaves is equivalent to the category of local systems.

**Proof:** Let  $\mathcal{F}$  be a locally constant sheaf, and  $E(\mathcal{F})$  its etale space. Then  $E(\mathcal{F})$  is a covering of M. The structure of vector space on germs defines the structure of vector space on  $E(\mathcal{F})$ . This gives a functor from locally constant sheaves to local systems.

Conversely, let  $\pi : M_1 \longrightarrow M$  be a local system, and  $\mathcal{F}(U)$  be the space of the sections of  $\pi^{-1}(U) \xrightarrow{\pi} U$ . Then  $\mathcal{F}(U)$  is a vector space. The correspondence  $U \longrightarrow \mathcal{F}(U)$  gives a sheaf, which is clearly locally constant.

#### **Connections (reminder)**

**Notation:** Let M be a smooth manifold, TM its tangent bundle,  $\Lambda^i M$  the bundle of differential *i*-forms,  $C^{\infty}M$  the smooth functions. The space of sections of a bundle B is denoted by B.

**DEFINITION:** A connection on a vector bundle *B* is an operator  $\nabla$  :  $B \longrightarrow B \otimes \Lambda^1 M$  satisfying  $\nabla(fb) = b \otimes df + f\nabla(b)$ , where  $f \longrightarrow df$  is de Rham differential. When *X* is a vector field, we denote by  $\nabla_X(b) \in B$  the term  $\langle \nabla(b), X \rangle$ .

**REMARK:** When M = [0, a] is an interval, any bundle B on M is trivial. Let  $b_1, ..., b_n$  be a basis in B. Then  $\nabla$  can be written as

$$\nabla_{d/dt} \left( \sum f_i b_i \right) = \sum_i \frac{df_i}{dt} b_i + \sum f_i \nabla_{d/dt} b_i$$

with the last term linear on f. Therefore, the equation  $\nabla_{d/dt}(b) = 0$  is a first order ODE, and **it has a unique solution for any initial value**  $b_0 = b|_{\{0\}}$ .

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# Curvature

Let  $\nabla$  :  $B \longrightarrow B \otimes \Lambda^1 M$  be a connection on a vector bundle B. We extend  $\nabla$  to an operator

$$B \xrightarrow{\nabla} \Lambda^{1}(M) \otimes B \xrightarrow{\nabla} \Lambda^{2}(M) \otimes B \xrightarrow{\nabla} \Lambda^{3}(M) \otimes B \xrightarrow{\nabla} \dots$$

using the Leibnitz identity  $\nabla(\eta \otimes b) = d\eta \otimes b + (-1)^{\tilde{\eta}} \eta \wedge \nabla b$ .

**REMARK:** This operation is well defined, because

$$\nabla(\eta \otimes fb) = d\eta \otimes fb + (-1)^{\tilde{\eta}} \eta \wedge \nabla(fb) = d\eta \otimes fb + (-1)^{\tilde{\eta}} \eta \wedge df \otimes b + f\eta \wedge \nabla b = d(f\eta) \otimes b + f\eta \wedge \nabla b = \nabla(f\eta \otimes b)$$

**REMARK:** Sometimes  $\Lambda^2(M) \otimes B \xrightarrow{\nabla} \Lambda^3(M) \otimes B$  is denoted  $d_{\nabla}$ .

**DEFINITION:** The operator  $\nabla^2$ :  $B \longrightarrow B \otimes \Lambda^2(M)$  is called **the curvature** of  $\nabla$ .

**REMARK:** The algebra of differential forms with coefficients in End *B* acts on  $\Lambda^*M \otimes B$  via  $\eta \otimes a(\eta' \otimes b) = \eta \wedge \eta' \otimes a(b)$ , where  $a \in \text{End}(B)$ ,  $\eta, \eta' \in \Lambda^*M$ , and  $b \in B$ . This is the formula expressing the action of  $\nabla^2$  on  $\Lambda^*M \otimes B$ .

# **Riemann-Hilbert correspondence**

**DEFINITION:** A connection is **flat** if its curvature vanishes.

**THEOREM:** Let M be a connected manifold,  $C_1$  the category of representations of  $\pi_1(M)$ , and  $C_2$  the category of local systems. Then the categories  $C_1$  and  $C_2$  are naturally equivalent.

**Proof:** Follows from the equivalence between locally constant sheaves and local systems. ■

**THEOREM:** The categories  $C_1$  and  $C_2$  are naturally equivalent to the category of vector bundles on M equipped with flat connection.

We prove it later in this lecture.

## **Curvature and commutators**

**CLAIM:** Let  $X, Y \in TM$  be vector fields,  $(B, \nabla)$  a bundle with connection, and  $b \in B$  its section. Consider the operator

$$\Theta_B^*(X, Y, b) := \nabla_X \nabla_Y b - \nabla_Y \nabla_X b - \nabla_{[X, Y]} b$$

Then  $\Theta_B^*(X, Y, b)$  is linear in all three arguments.

**Proof. Step 1:** The term  $\Theta_B^*(X, Y, fb)$  has 3 components: one which is  $C^{\infty}$ -linear in f, one which takes first derivative and one which takes the second derivative. The first derivative part is

 $\operatorname{Lie}_Y f \nabla_X b + \operatorname{Lie}_X f \nabla_Y b - \operatorname{Lie}_Y f \nabla_X b - \operatorname{Lie}_X f \nabla_Y b - \operatorname{Lie}_{[X,Y]} f b = -\operatorname{Lie}_{[X,Y]} f b$ , the second derivative part is  $\operatorname{Lie}_X \operatorname{Lie}_Y(f)b - \operatorname{Lie}_Y \operatorname{Lie}_X(f)b = \operatorname{Lie}_{[X,Y]} f$ , they cancel. Therefore,  $\Theta_B^*(X,Y,b)$  is  $C^{\infty}$ -linear in b.

Step 2: Since  $[X, fY] = \operatorname{Lie}_X fY + f[X, Y]$ , we have  $\nabla_{[X, fY]}b = f\nabla_{[X, Y]}b + \operatorname{Lie}_X f\nabla_Y b$ .

**Step 4:** The term  $\Theta_B^*(X, fY, b)$  has two components, *f*-linear and the component with first derivatives in *f*. Step 2 implies that the component with derivative of first order is  $\operatorname{Lie}_X f \nabla_Y b - \operatorname{Lie}_X f \nabla_Y b = 0$ .

# **Curvature and commutators (2)**

# **REMARK:**

$$\Theta_B^*(X, Y, b) := \nabla_X \nabla_Y b - \nabla_Y \nabla_X b - \nabla_{[X, Y]} b$$

is another definition of the curvature. The following theorem shows that it is equivalent to the usual definition.

**THEOREM:** Consider  $\Theta_B^*$ :  $TM \otimes TM \otimes B \longrightarrow B$  as a 2-form with coefficients in End(B). Then  $\Theta_B^* = \Theta_B$ , where  $\Theta_B = \nabla^2$  is the usual curvature.

**Proof. Step 1:** Since  $\Theta_B^*(X, Y)$ ,  $\Theta_B(X, Y)$  are linear in X, Y, it would suffice to prove this equality for coordinate vector fields X, Y.

**Step 2:** Consider the operator  $i_X : \Lambda^i M \otimes B \longrightarrow \Lambda^{i-1} M \otimes B$  of convolution with a vector field X. Writing  $\nabla = d + A$ , where  $A \in \Lambda^1 M \otimes \text{End } B$ , we obtain  $\nabla_X = \text{Lie}_X + A(X)$ , which gives  $[\nabla_X, i_Y] = [\text{Lie}_X, i_Y] = 0$  when X, Y are coordinate vector fields.

#### Step 3:

$$\nabla^2(b)(X,Y) = (i_X i_Y - i_X i_Y) \nabla^2(b) = i_Y \nabla_X \nabla b - i_X \nabla_Y \nabla b = \nabla_X \nabla_Y b - \nabla_Y \nabla_X b.$$

# Parallel transport along the connection (reminder)

**REMARK:** When M = [0, a] is an interval, any bundle *B* on *M* is trivial. Let  $b_1, ..., b_n$  be a basis in *B*. Then  $\nabla$  can be written as

$$\nabla_{d/dt} \left( \sum f_i b_i \right) = \sum_i \frac{df_i}{dt} b_i + \sum f_i \nabla_{d/dt} b_i$$

with the last term linear on f.

**THEOREM:** Let *B* be a vector bundle with connection over  $\mathbb{R}$ . Then for each  $x \in \mathbb{R}$  and each vector  $b_x \in B|_x$  there exists a unique section  $b \in B$  such that  $\nabla b = 0$ ,  $b|_x = b_x$ .

**Proof:** This is existence and uniqueness of solutions of an ODE  $\frac{db}{dt} + A(b) = 0$ .

**DEFINITION:** Let  $\gamma : [0,1] \longrightarrow M$  be a smooth path in M connecting x and y, and  $(B, \nabla)$  a vector bundle with connection. Restricting  $(B, \nabla)$  to  $\gamma([0,1])$ , we obtain a bundle with connection on an interval. Solve an equation  $\nabla(b) = 0$  for  $b \in B|_{\gamma([0,1])}$  and initial condition  $b|_x = b_x$ . This process is called **parallel** transport along the path via the connection. The vector  $b_y := b|_y$  is called vector obtained by parallel transport of  $b_x$  along  $\gamma$ .

#### Holonomy group

**DEFINITION:** (Cartan, 1923) Let  $(B, \nabla)$  be a vector bundle with connection over M. For each loop  $\gamma$  based in  $x \in M$ , let  $V_{\gamma,\nabla}$ :  $B|_x \longrightarrow B|_x$  be the corresponding parallel transport along the connection. The holonomy group of  $(B, \nabla)$  is a group generated by  $V_{\gamma,\nabla}$ , for all loops  $\gamma$ . If one takes all contractible loops instead,  $V_{\gamma,\nabla}$  generates the local holonomy, or the restricted holonomy group.

**REMARK:** Let  $B_1 = B^{\otimes n} \otimes (B^*)^{\otimes m}$  be a tensor power of B. The connection on B gives the connection on  $B_1$ . Since parallel transport is compatible with the tensor product, **the holonomy representation**, associated with  $B_1$ , is **the corresponding tensor power of**  $B|_x$ .

**DEFINITION:** Let *B* be a vector bundle, and  $\Psi$  a section of its tensor power. We say that **connection**  $\nabla$  **preserves**  $\Psi$  if  $\nabla(\Psi) = 0$ . In this case we also say that the tensor  $\Psi$  is **parallel** with respect to the connection.

# Flat bundles

**REMARK:**  $\nabla(\Psi) = 0$  is equivalent to  $\Psi$  being a solution of  $\nabla(\Psi) = 0$  on each path  $\gamma$ . This means that **parallel transport preserves**  $\Psi$ .

We obtained

**COROLLARY:** A section of the tensor power of B is parallel if and only if it is holonomy invariant.

**DEFINITION:** A bundle is **flat** if its curvature vanishes.

The following theorem will be proven later today.

**THEOREM:** Let  $(B, \nabla)$  be a vector bundle with connection over a simply connected manifold. Then *B* is flat if and only if its holonomy group is trivial.

#### Fiber of a locally free sheaf

**DEFINITION:** Recall that a vector bundle is a locally free sheaf of modules over  $C^{\infty}M$ . A vector bundle is called **trivial** if it is isomorphic to  $(C^{\infty}M)^n$ .

**DEFINITION:** Let  $\mathcal{B}$  be an *n*-dimensional locally free sheaf of  $C^{\infty}$ -modules on M,  $x \in M$  a point,  $\mathfrak{m}_x \subset C^{\infty}M$  an ideal of  $x \in M$  in  $C^{\infty}M$ . Define **the fiber** of  $\mathcal{B}$  in x as a quotient  $\mathcal{B}(M)/\mathfrak{m}\mathcal{B}$ . A fiber of  $\mathcal{B}$  is denoted  $\mathcal{B}|_x$ .

**REMARK:** A fiber of a vector bundle of rank *n* is an *n*-dimensional vector space.

**REMARK:** Let  $\mathcal{B} = C^{\infty}M^n$ , and  $b \in \mathcal{B}|_x$  a point of a fiber, represented by a germ  $\varphi \in \mathcal{B}_x = C_m^{\infty}M^n$ ,  $\varphi = (f_1, ..., f_n)$ . Consider a map  $\Psi$  from the set of all fibers  $\mathcal{B}$  to  $M \times \mathbb{R}^n$ , mapping  $(x, \varphi = (f_1, ..., f_n))$  to  $(f_1(x), ..., f_n(x))$ . Then  $\Psi$  is bijective. Indeed,  $\mathcal{B}|_x = \mathbb{R}^n$ .

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# Total space of a vector bundle

**DEFINITION:** Let  $\mathcal{B}$  be an *n*-dimensional locally free sheaf of  $C^{\infty}$ -modules. Denote the set of all vectors in all fibers of  $\mathcal{B}$  over all points of M by Tot $\mathcal{B}$ . Let  $U \subset M$  be an open subset of M, with  $\mathcal{B}|_U$  a trivial bundle. Using the local bijection  $\operatorname{Tot} \mathcal{B}(U) = U \times \mathbb{R}^n$  we consider topology on  $\operatorname{Tot} \mathcal{B}$  induced by open subsets in  $\operatorname{Tot} \mathcal{B}(U) = U \times \mathbb{R}^n$  for all open subsets  $U \subset M$  and all trivializations of  $\mathcal{B}|_U$ . Then  $\operatorname{Tot} \mathcal{B}$  is called a total space of a vector bundle  $\mathcal{B}$ .

**CLAIM:** The space Tot  $\mathcal{B}$  with this topology is a locally trivial fibration over M, with fiber  $\mathbb{R}^n$ .

**REMARK:** Let *B* be a vector bundle on *M*, and  $\psi \in B^*$  a section of its dual. Then  $\psi$  defines a function  $x \longrightarrow \langle \psi, x \rangle$  on its total space  $\text{Tot}(B) \xrightarrow{\pi} M$ , linear on fibers of  $\pi$ . This gives a **bijective correspondence between sections of**  $B^*$  and functions on Tot(B) linear on fibers.

This gives the following claim

**CLAIM:** Let *B* be a vector bundle and  $\text{Sym}^* B^*$  the direct sum of all symmetric tensor powers of  $B^*$ . Then the ring of sections of  $\text{Sym}^* B^*$  is identified with the ring of all smooth functions on  $\text{Tot } B \xrightarrow{\pi} M$  which are polynomial on fibers of  $\pi$ .

# Polynomial functions on Tot(B)

**CLAIM:** Let *D* be the space of derivations  $\delta : \mathbb{R}[x_1, ..., x_n] \longrightarrow \mathbb{C}^{\infty} \mathbb{R}^n$ . Then *D* is the space of derivations of the ring  $\mathbb{C}^{\infty} \mathbb{R}^n$ .

#### **EXERCISE:** Prove it.

The same argument brings the following

**CLAIM 1:** Let *D* be the space of derivations  $\delta$  : Sym<sup>\*</sup>  $B^* \rightarrow \mathbb{C}^{\infty}(\text{Tot } B)$ . **Then** *D* is the space of derivations of the ring  $\mathbb{C}^{\infty}(\text{Tot } B)$ .

**Proof:** Indeed, any derivation which vanishes on fiberwise polynomial functions vanishes everywhere on  $\mathbb{C}^{\infty}(\text{Tot }B)$ .

# **Vector fields on** Tot(*B*)

**THEOREM:** Let  $(B, \nabla)$  be a bundle on M with connection, and  $X \in TM$  a vector field. Then there exists a vector field  $\tau_{\nabla}(X)$  on  $\operatorname{Tot}(B)$  mapping a section  $u \in \operatorname{Sym}^* B^*$  to  $\nabla_X u$ .

**Proof:** Let  $u, v \in \text{Sym}^* B^*$ , and  $uv \in \text{Sym}^* B^*$  their product. Then  $\nabla_x(uv) = u\nabla_x v + v\nabla_x u$  because  $\nabla(b_1 \otimes b_2) = \nabla(b_1) \otimes b_2 + b_1 \otimes \nabla(b_2)$ . Therefore,  $\tau_{\nabla}(X)(u) := \nabla_x(u)$  is a derivation of the ring of functions on Tot(B) which are polynomial on fibers. By Claim 1, any such derivation can be uniquely extended to a vector field on Tot(B).

**DEFINITION:** Let  $(B, \nabla)$  be a bundle with connection on M. The corresponding **Ehresmann connection** on Tot(B) is the distribution  $E_{\nabla} \subset T Tot(B)$  obtained as  $\tau_{\nabla}(TM)$ .

# Vector fields on Tot(B) and parallel sections

**CLAIM 2:** Let  $(B, \nabla)$  be a bundle with connection, and  $\pi$ : Tot $(B) \longrightarrow M$  the standard projection, and  $T_{\pi}$  Tot $(B) = \ker D\pi$  is the vertical tangent space (Lecture 14).

(i) Then  $T \operatorname{Tot} B = E_{\nabla} \oplus T_{\pi} \operatorname{Tot}(B)$ , where  $E_{\nabla}$  is the Ehresmann connection.

(ii) Moreover, a section f of B is parallel if an only if its image  $f(M) \subset Tot(B)$  is tangent to  $E_{\nabla}$ .

**Proof:** The second assertion is clear from the definition: **a section** b is tangent to  $E_{\nabla}$  if it is preserved by all vector fields  $a = \tau_{\nabla}(X)$  generating  $E_{\nabla}$ . In this case  $\text{Lie}_a(\tilde{b}) = 0$ , where  $\tilde{b}$  is a function on  $\text{Tot}(B^*)$  defined by b. However,  $\text{Lie}_a(\tilde{b}) = \nabla_X(b)$  where  $\nabla_X(b)$  is a function on  $\text{Tot}(B^*)$  associated with  $\nabla_X(b)$ . Therefore,  $\text{Lie}_a(\tilde{b}) = 0 \Leftrightarrow \nabla_X(b) = 0$ .

To prove (i), we notice that  $D\pi|_{E_{\nabla}}: E_{\nabla} \longrightarrow TM$  is an isomorphism at every point of Tot *B*. Indeed, these bundles have the same rank, and for each  $\tau_{\nabla}(X) \in E_{\nabla}$ , this vector field acts on functions pulled back from *M* as  $\operatorname{Lie}_X$ , hence  $D\pi|_{E_{\nabla}}$  is injective.

#### **Bundles with trivial holonomy**

**THEOREM:** Let  $(B, \nabla)$  be a vector bundle with connection over a simply connected manifold. Then *B* is flat if and only if its holonomy group is trivial.

**Proof:** Let *B* be a flat bundle on *M*, and  $X, Y \in TM$  commuting vector fields. Then  $\nabla_X : B \longrightarrow B$  commutes with  $\nabla_Y$ . Then the Ehresmann connection bundle  $E_{\nabla} \subset T$  Tot *B* is generated by commuting vector fields  $\tau_{\nabla}(X)$ ,  $\tau_{\nabla}(Y)$ , ..., hence it is involutive. By Frobenius theorem, every point  $b \in \text{Tot}(B)$  is contained in a leaf  $\mathscr{E}$  of the corresponding foliation, tangent to  $E_{\nabla}$ . By Claim 2, such a leaf is a parallel section of *B*. The projection from  $\mathscr{E}$  to *M* is a covering. Since *M* is simply connected,  $\mathscr{E} = \mathcal{M}$ , and *B* is trivialized by parallel sections.

Conversely, assume that B has trivial holonomy. Then  $Tot(B) = M \times B|_x$ because each point is contained in a unique parallel section, hence the bundle  $E_{\nabla}$  is involutive. Then  $[\nabla_X, \nabla_Y] = 0$  for any commuting  $X, Y \in TM$ , and the curvature vanishes.

**Corollary 1:** Let *B* be a flat vector bundle on a simply connected, connected manifold *M*. Then for each  $x \in M$  and each  $b \in B|_x$ , there exists a unique parallel section of *B* passing through *b*.

#### **Riemann-Hilbert correspondence**

**THEOREM:** The category of locally constant sheaves of vector spaces is naturally equivalent to the category of vector bundles on *M* equipped with flat connection.

**Proof.** Step 1: Consider a constant sheaf  $\mathbb{R}_M$  on M. This is a sheaf of rings, and any locally constant sheaf is a sheaf of  $\mathbb{R}_M$ -modules.

Let  $\mathbb{V}$  be a locally constant sheaf, and  $B := \mathbb{V} \otimes_{\mathbb{R}_M} \mathbb{C}^{\infty} M$ . Since  $\mathbb{V}$  is locally constant, the sheaf B is a locally free sheaf of  $C^{\infty}$ -modules, that is, a vector bundle. Let  $U \subset M$  be an open set such that  $\mathbb{V}|_U$  is constant. If  $v_1, ..., v_n$  is a basis in  $\mathbb{V}(U)$ , all sections of B(U) have a form  $\sum_{i=1}^n f_i v_i$ , where  $f_i \in C^{\infty} U$ . Define the connection  $\nabla$  by  $\nabla \left( \sum_{i=1}^n f_i v_i \right) = \sum df_i \otimes v_i$ . This connection is flat because  $d^2 = 0$ . It is independent from the choice of  $v_i$  because  $v_i$  is defined canonically up to a matrix with constant coefficients. We have constructed a functor from locally constant sheaves to flat vector bundles.

**Step 2:** Let now  $(B, \nabla)$  be a flat bundle over M. The functor to locally constant sheaves takes  $U \subset M$  and maps it to the space of parallel sections of B over U. This defines a sheaf  $\mathbb{B}(U)$ . For any simply connected U, and any  $x \in M$ , the space  $\mathbb{B}(U)$  is identified with a vector space  $B|_x$  (Corollary 1), hence  $\mathbb{B}(U)$  is locally constant. Clearly,  $B = \mathbb{B} \otimes_{\mathbb{R}_M} \mathbb{C}^{\infty} M$ , hence **this construction gives an inverse functor to**  $\mathbb{V} \mapsto \mathbb{V} \otimes_{\mathbb{R}_M} \mathbb{C}^{\infty} M$ .