

# **Locally conformally Kähler manifolds**

**lecture 10: pseudoconvex shells**

Misha Verbitsky

**HSE and IUM, Moscow**

**April 21, 2014**

## LCK manifolds (reminder)

**DEFINITION:** Let  $(M, I, \omega)$  be a Hermitian manifold,  $\dim_{\mathbb{C}} M > 1$ . Then  $M$  is called **locally conformally Kähler** (LCK) if  $d\omega = \omega \wedge \theta$ , where  $\theta$  is a closed 1-form, called **the Lee form**.

**DEFINITION:** A manifold is **locally conformally Kähler** iff it admits a Kähler form taking values in a positive, flat vector bundle  $L$ , called **the weight bundle**.

**DEFINITION:** **Deck transform**, or **monodromy maps** of a covering  $\tilde{M} \rightarrow M$  are elements of the group  $\text{Aut}_M(\tilde{M})$ . **When  $\tilde{M}$  is a universal cover, one has  $\text{Aut}_M(\tilde{M}) = \pi_1(M)$ .**

**DEFINITION:** **An LCK manifold** is a complex manifold such that its universal cover  $\tilde{M}$  is equipped with a Kähler form  $\tilde{\omega}$ , and the deck transform acts on  $\tilde{M}$  by Kähler homotheties.

**THEOREM:** **These three definitions are equivalent.**

## Conical Kähler manifolds (reminder)

**DEFINITION:** Let  $(X, g)$  be a Riemannian manifold, and  $C(X) := X \times \mathbb{R}^{>0}$ , with the metric  $t^2g + dt^2$ , where  $t$  is a coordinate on  $\mathbb{R}^{>0}$ . Then  $C(X)$  is called **Riemannian cone** of  $X$ . **Multiplicative group  $\mathbb{R}^{>0}$  acts on  $C(X)$  by homotheties,  $(m, t) \longrightarrow (m, \lambda t)$ .**

**DEFINITION:** Let  $(X, g)$  be a Riemannian manifold,  $C(X) := X \times \mathbb{R}^{>0}$  its Riemannian cone, and  $h_\lambda$  the homothety action. Assume that  $(C(X), gt^2 + dt^2)$  is equipped with a complex structure, in such a way that the conical metric  $gt^2 + dt^2$  is Kähler, and  $h_\lambda$  acts holomorphically. Then  $C(X)$  is called **a conical Kähler manifold**. In this situation,  $X$  is called **Sasakian manifold**.

**REMARK:** A **contact manifold** is defined as a manifold  $X$  with symplectic structure on  $C(X)$ , and  $h_\lambda$  acting by homotheties. In particular, **Sasakian manifolds are contact**. **Sasakian geometry is an odd-dimensional counterpart to Kähler geometry**

**EXAMPLE:** Let  $L$  be a positive holomorphic line bundle on a projective manifold. **Then the total space of its unit  $S^1$ -fibration is Sasakian.**

## Kähler potentials and plurisubharmonic functions (reminder)

**DEFINITION:** A real-valued smooth function on a complex manifold is called **plurisubharmonic (psh)** if the  $(1,1)$ -form  $dd^c f$  is positive, and **strictly plurisubharmonic** if  $dd^c f$  is an Hermitian form.

**REMARK:** Since  $dd^c f$  is always closed, **it is also Kähler when it is strictly positive.**

**DEFINITION:** Let  $(M, I, \omega)$  be a Kähler manifold. **Kähler potential** is a function  $f$  such that  $dd^c f = \omega$ .

**THEOREM:** Let  $S$  be a Sasakian manifold,  $C(S) = S \times \mathbb{R}^{>0}$  its cone,  $t$  the coordinate along the second variable, and  $r = t \frac{d}{dt}$ . **Then  $t^2$  is a Kähler potential on  $C(S)$ .**

## LCK manifolds with potential (reminder)

**DEFINITION:** Let  $M$  be an LCK manifold, and  $(\tilde{M}, \tilde{\omega})$  its Kähler covering. It is called **LCK manifold with potential** if  $\tilde{M}$  admits an automorphic Kähler potential  $\varphi : \tilde{M} \rightarrow \mathbb{R}^{>0}$ ,  $dd^c\varphi = \tilde{\omega}$ , which is **proper** (preimage of a compact is again compact).

**THEOREM:** The property of being LCK with potential is stable under small deformations.

**THEOREM:** Let  $M$  be an LCK manifold,  $\Gamma \subset \mathbb{R}^{>0}$  the monodromy group, and  $(\tilde{M}, \tilde{\omega})$  its Kähler covering, with  $\tilde{M}/\Gamma = M$ . Assume that  $\tilde{\omega}$  admits a  $\Gamma$ -automorphic Kähler potential  $\varphi$ . **The map  $\varphi$  is proper if and only if  $\Gamma = \mathbb{Z}$ .**

**THEOREM:** Let  $M$  be an LCK manifold with potential, and  $\tilde{M}$  its Kähler  $\mathbb{Z}$ -covering. Then a metric completion  $\tilde{M}_c$  **admits a structure of a complex manifold**, compatible with the complex structure on  $\tilde{M} \subset \tilde{M}_c$ . Moreover, the monodromy action on  $\tilde{M}$  is extended to a holomorphic automorphism of  $\tilde{M}_c$ .

**THEOREM:** Let  $M$  be an LCK manifold with potential,  $\dim_{\mathbb{C}} M > 2$ . **Then  $M$  admits a holomorphic embedding to a linear Hopf manifold.**

## CR-manifolds

**Definition:** Let  $M$  be a smooth manifold,  $B \subset TM$  a sub-bundle in a tangent bundle, and  $I : B \rightarrow B$  an endomorphism satisfying  $I^2 = -1$ . Consider its  $\sqrt{-1}$ -eigenspace  $B^{1,0}(M) \subset B \otimes \mathbb{C} \subset T_{\mathbb{C}}M = TM \otimes \mathbb{C}$ . Suppose that  $[B^{1,0}, B^{1,0}] \subset B^{1,0}$ . Then  $(B, I)$  is called **a CR-structure on  $M$** .

**Example:** A complex manifold is CR, with  $B = TM$ . Indeed,  $[T^{1,0}M, T^{1,0}M] \subset T^{1,0}M$  is equivalent to integrability of the complex structure (Newlander-Nirenberg).

**Example:** Let  $X$  be a complex manifold, and  $M \subset X$  a hypersurface. Then  $B := \dim_{\mathbb{C}} TM \cap I(TM) = \dim_{\mathbb{C}} X - 1$ , hence  $\text{rk } B = n - 1$ . Since  $[T^{1,0}X, T^{1,0}X] \subset T^{1,0}X$ ,  **$M$  is a CR-manifold.**

**Definition:** **A Frobenius form of a CR-manifold** is the tensor  $B \otimes B \rightarrow TM/B$  mapping  $X, Y$  to the  $\Pi_{TM/B}([X, Y])$ . It is an obstruction to integrability of the foliation given by  $B$ .

## Contact CR-manifolds.

Complex algebraic geometry is a rich source of contact structures.

**Definition:** Let  $(M, B, I)$  be a CR-manifold, with  $\text{codim } B = 1$ . Then  $M$  is called **a contact CR-manifold** if its Frobenius form is non-degenerate.

**Remark:** Since  $[B^{1,0}, B^{1,0}] \subset B^{1,0}$  and  $[B^{0,1}, B^{0,1}] \subset B^{0,1}$ , the Frobenius form is a pairing between  $B^{0,1}$  and  $B^{1,0}$ . This means that it is Hermitian.

**DEFINITION:** This Hermitian form is called **Levi form** of a CR-manifold.

**Definition:** Let  $(M, B, I)$  be a CR-manifold, with  $\text{codim } B = 1$ . Then  $M$  is called **a strictly pseudoconvex CR-manifold** if its Levi form is positive definite.

**Example:** Let  $h$  be a function on a complex manifold such that  $\partial\bar{\partial}h = \omega$  is a positive definite Hermitian form, and  $X = h^{-1}(c)$  its level set. Then the Frobenius form of  $X$  is equal to  $\omega|_X$  (see the next slide). In particular,  **$X$  is a strictly pseudoconvex CR-manifold.**

## CR-manifolds and plurisubharmonic functions.

**PROPOSITION:** Let  $M$  be a complex manifold,  $\varphi \in C^\infty M$  a smooth function, and  $s$  a regular value of  $\varphi$ . Consider  $S := \varphi^{-1}(s)$  as a CR-manifold, with  $B = TS \cap I(TS)$  and let  $\Phi$  be its Levi form, taking values in

$$TS/B = \ker d\varphi / \ker d\varphi \cap I(\ker d\varphi)$$

Then  $d^c\varphi : TS/B \rightarrow C^\infty S$  trivializes  $TS/B$ . Consider tangent vectors  $u, v \in B_x S$ . **Then**  $-d^c\varphi(\Phi(u, v)) = dd^c\varphi(x, y)$ .

**Proof:** Extend  $u, v$  to vector fields  $u, v \in B = \ker d\varphi \cap I(\ker d\varphi)$ . Then  $-d^c\varphi(\Phi(u, v)) = -d^c\varphi([u, v]) = dd^c\varphi(u, v)$ . ■

**COROLLARY:** Let  $M$  be a complex manifold,  $\varphi \in C^\infty M$  a strictly plurisubharmonic function, and  $s$  a regular value of  $\varphi$ . **Then**  $S := \varphi^{-1}(s)$  **is strictly pseudoconvex.**

**Proof:** By the above proposition, the Levi form of  $S$  is expressed as  $dd^c\varphi(u, v)$ , hence it is positive definite. ■



## Algebraic cones.

**DEFINITION:** An algebraic cone is an affine variety  $\mathcal{C}$  admitting a  $\mathbb{C}^*$ -action  $\rho$  with a unique fixed point  $x_0$ , called **the origin**, and satisfying the following:

- (i)  $\mathcal{C}$  is smooth outside of  $x_0$ ,
- (ii)  $\rho$  acts on the Zariski tangent space  $T_{x_0}\mathcal{C}$  with all eigenvalues  $|\alpha_i| < 1$ .

**An open algebraic cone** is a closed algebraic cone without the origin.

**THEOREM:** Let  $M = \tilde{M}/A$  be LCK manifold with potential, and  $\tilde{M}$  its Kähler  $\mathbb{Z}$ -covering. **Then  $\tilde{M}$  is an open algebraic cone.**

**Proof. Step 1:** Let  $\tilde{M}_c$  be a Stein completion of  $\tilde{M}$  equipped with an  $A$ -equivariant embedding to  $\mathbb{C}^n$ , where  $A$  acts as a linear operator with all eigenvalues  $|\alpha_i| < 1$ . Denote the ideal of  $\tilde{M}_c$  in the local ring  $\mathcal{O}_{\mathbb{C}^n,0}$  as  $I$ .

## Algebraic cones and LCK manifolds with potential

**THEOREM:** Let  $M = \tilde{M}/A$  be LCK manifold with potential, and  $\tilde{M}$  its Kähler  $\mathbb{Z}$ -covering. **Then  $\tilde{M}$  is an open algebraic cone.**

**Proof. Step 1:** [...] Denote the ideal of  $\tilde{M}_c$  in the local ring  $\mathcal{O}_{\mathbb{C}^n,0}$  as  $I$ .

**Step 2:** Call an element  $f \in \mathcal{O}_{\mathbb{C}^n,0}$   **$A$ -finite** if  $\langle f, A^*f, A^{2*}f, \dots \rangle$  is finitely-dimensional. A polynomial function is clearly  $A$ -finite. The converse is also true, because a Taylor decomposition of an  $A$ -finite function  $f$  can have only finitely many components, otherwise the eigenspace decomposition of  $f$  is infinite. Therefore, **the ideal  $I^A := I \cap \mathcal{O}_{\mathbb{C}^n,0}^A$  is finitely generated**, where  $\mathcal{O}_{\mathbb{C}^n,0}^A$  is a ring of  $A$ -finite functions (any ideal in the ring of polynomials is finitely generated, by Hilbert basis theorem).

**Step 3:** As we have shown in Lecture 9, the ring  $\mathcal{O}_{\tilde{M}_c,0}^A$  is dense in  $\mathcal{O}_{\tilde{M}_c,0}$  in  $\mathfrak{m}$ -adic topology. In other words, it has the same associated graded ring with respect to the  $\mathfrak{m}^n$ -filtration as  $\mathcal{O}_{\tilde{M}_c,0}$ . Then the Nakayama's lemma implies that  $I = I^A \otimes_{\mathcal{O}_{\mathbb{C}^n,0}^A} \mathcal{O}_{\mathbb{C}^n,0}$ .

**Step 4:** Let  $f_1, \dots, f_n \in \mathcal{O}_{\mathbb{C}^n}$  be the polynomial generators of  $I \subset \mathcal{O}_{\mathbb{C}^n,0}$ . Then  $\tilde{M}_c$  is an affine variety defined by the ideal  $\langle f_1, \dots, f_n \rangle$ . ■

## Pseudoconvex shells

**DEFINITION:** Let  $\tilde{M}$  be an open algebraic cone,  $\tilde{M}_c$  the corresponding closed cone, and  $\vec{r} \in TC$  a holomorphic vector field such that for all  $t > 0$  the diffeomorphism  $e^{t\vec{r}}$  is a holomorphic contraction of  $\tilde{M}_c$  to origin. A strictly pseudoconvex hypersurface  $S \subset \tilde{M}$  is called **a pseudoconvex shell** if  $S$  intersects each orbit of  $e^{t\vec{r}}$ ,  $t \in \mathbb{R}$  exactly once.

**Theorem 1:** Let  $\tilde{M}$  be an algebraic cone,  $e^{t\vec{r}}$  a contraction, and  $S \subset \tilde{M}$  a pseudoconvex shell. Then for each  $\lambda \in \mathbb{R}$  there exists a unique function  $\varphi_\lambda$  such that  $\text{Lie}_{\vec{r}}\varphi = \lambda\varphi$  and  $\varphi_\lambda|_S = 1$ . Moreover, **such  $\varphi_\lambda$  is strictly plurisubharmonic when  $\lambda \gg 0$ .**

**Theorem 2:** Any LCK manifold with potential admits a metric of this type.

Theorem 1 (proven later in this lecture) implies the following corollary.

**COROLLARY: (Gauduchon-Ornea)**

**All linear Hopf manifolds are LCK with potential.**

**Proof:** Let  $M = (\mathbb{C}^n \setminus 0) / \langle A \rangle$ ,  $\vec{r} = \log A$ , and  $S \subset \mathbb{C}^n$  be a unit sphere. Then  $S$  is a pseudoconvex shell, and for  $\lambda$  sufficiently big a plurisubharmonic function  $\varphi_\lambda$  gives an LCK-potential. ■

## Pseudoconvex shells and plurisubharmonic functions

**Theorem 1:** Let  $\tilde{M}$  be an algebraic cone,  $e^{t\vec{r}}$  a contraction, and  $S \subset \tilde{M}$  a pseudoconvex shell. Then for each  $\lambda \in \mathbb{R}$  there exists a unique function  $\varphi_\lambda$  such that  $\text{Lie}_{\vec{r}}\varphi_\lambda = \lambda\varphi_\lambda$  and  $\varphi_\lambda|_S = 1$ . Moreover, **such  $\varphi_\lambda$  is strictly plurisubharmonic when  $\lambda \gg 0$ .**

**Proof. Step 1:** For each  $\lambda$ ,  $\varphi_\lambda$  is uniquely determined on each orbit of  $e^{t\vec{r}}$ ,  $t \in \mathbb{R}$ , because  $\varphi_\lambda$  restricted to this orbit is  $e^{\lambda t}$ .

**Step 2:** Let  $B := e^{\mathbb{R}\vec{r}} \cdot (TS \cap I(TS)) \subset T\tilde{M}$  be a sub-bundle obtained from  $TS \cap I(TS)$  by translations along  $e^{t\vec{r}}$ . **Then  $dd^c\varphi|_B$  is the Levi form of  $S$ ,** hence it is positive definite.

**Step 3:** Replacing  $\varphi$  by  $\varphi^{2a}$  amounts to replacing  $\lambda$  by  $2a\lambda$ . Then

$$dd^c\varphi^{2a} = \varphi^{2a-2}(2a \cdot \varphi dd^c\varphi + 2a(2a-1)d\varphi \wedge d^c\varphi).$$

To prove Theorem 1 it would suffice to show that  $dd^c\varphi^{2a}|_S > 0$  for  $a$  sufficiently big. However,  $S$  is compact, hence it is implied by the following lemma applied to  $V = TM$ ,  $W = B$ ,  $h_1 = \varphi dd^c\varphi$ ,  $h_2 = d\varphi \wedge d^c\varphi$ .

## Positivity of Hermitian forms

**LEMMA:** Let  $h_1, h_2$  be pseudo-Hermitian forms on a complex vector space  $V$ , and  $W \subset V$  a subspace of codimension 1. Assume that  $h_1|_W$  is strictly positive,  $h_2|_W = 0$ , and  $h_2|_{V/W}$  is also strictly positive. **Then there exists a number  $T_0 \in \mathbb{R}$  which depends continuously on  $h_1, h_2$  such that  $h_T := h_1 + Th_2$  is positive definite for all  $T > T_0$ .**

**Proof:** We think of  $h_1, h_2$  as of real valued bilinear symmetric forms. Let  $y \in V$  be a vector which satisfies  $h_2(y, y) = 1$ . Then any  $x \in V$  can be written as  $x = ay + z$ ,  $z \in W$ . This gives

$$h_T(x, x) = Ta^2 + a^2h_1(y, y) + h_1(z, z) + 2ah_1(z, y) \quad (*)$$

Consider (\*) as a polynomial on  $a$ . Then (\*) is positive definite for all  $a$  if and only if

$$(h_1(z, y))^2 - (T + h_1(y, y)) \cdot h_1(z, z) < 0. \quad (**)$$

Let  $y' \in W$  be a vector which satisfies  $h_1(z, y') = h_1(z, y)$  for all  $z \in W$ , and  $T > h_1(y', y') - h_1(y, y)$ . Then (\*\*) becomes

$$(h_1(z, y'))^2 - h_1(y', y')h_1(z, z) < 0$$

(Cauchy-Schwarz inequality). ■

## Logarithm

**DEFINITION:** A **Banach ring** is a Banach space equipped with a commutative, continuous product. A Banach ring is **finitely generated** if it is a closure of a finitely-generated ring.

**EXAMPLE:** A ring of bounded holomorphic function on a complex variety is a Banach ring.

**Proposition 1:** Let  $R$  be a finitely generated, finitely presented Banach ring, and  $R_1 \subset R$  a finite-dimensional subspace containing unit, which generates  $R$  multiplicatively. We write  $R = \mathbb{C}[V]/I$ , where  $I$  is an ideal and  $V = R_1$ . Let  $N$  a number such that  $I \cap V^N$  generates  $I$ . Consider an automorphism  $A$  of  $R$  such that on  $R_N := R_1^N$  one has  $\|A - \text{Id}\| < 1$ , where  $\|\cdot\|$  is the operator norm. For each  $x \in R_N$ , define **the logarithm**:  $\log(A)(x) := \sum_{i=1}^{\infty} \frac{(1-A)^i}{i}(x)$  (the series converges, because  $\|A - \text{Id}\| < 1$  on  $R_N$ ). **Then  $\log A$  can be extended to a derivation on  $R$  which satisfies  $e^{\log A} = \text{Id}$ .**

**Proof:** For each  $x, y, xy \in R_N$ , one has  $\log(A)(xy) = \log(A)(x)y + x \log(A)(y)$  by formal identities with logarithms. Since all relations are generated by elements of  $V^N \cap I$ , and  $\log(A) = 0$  on these by construction, the operator  $\log(A)$  can be extended to  $R$  using the Leibnitz identity. ■

## Logarithm on LCK manifolds with potential

**Lemma 1:** Let  $\{a_1, \dots, a_n\}$  be a finite set of complex numbers which satisfy  $0 < |a_i| < 1$ . **Then there exists an integer  $C > 0$  such that  $|a_i^C - 1| < 1$ .**

**Proof:** Write  $a_i = b_i u_i$ , where  $|u_i| = 1$ ,  $b_i \in \mathbb{R}$ . For any given  $\varepsilon$  one can find  $C$  such that  $\arg(u_i^C) < \varepsilon$  for all  $i$ . The statement of the lemma is obtained when  $\varepsilon = \frac{\pi}{3}$ . ■

**THEOREM:** Let  $M$  be an LCK manifold with potential,  $\tilde{M}$  its Kähler its  $\mathbb{Z}$ -covering, and  $M = \tilde{M}/\langle \gamma \rangle$ . **Then there exists  $C \in \mathbb{Z}^{>0}$  and a holomorphic vector field  $\vec{r}$  on  $\tilde{M}$  such that  $\gamma^C = \vec{r}$ .**

**Proof:** Let  $\mathcal{O}_{\tilde{M}_c}^\gamma$  be the ring of  $\gamma$ -finite functions (finitely generated and dense in  $H^0(\mathcal{O}_{\tilde{M}_c})$ , as shown above),  $R_1 = V$  be a set of multiplicative generators of  $\mathcal{O}_{\tilde{M}_c}^\gamma$ , containing unit, with  $R = \mathbb{C}[V]/I$  and  $N$  a number such that  $I$  is generated by  $V^N \cap I$ . Define the Banach norm on  $\mathcal{O}_{\tilde{M}_c}^\gamma$  by taking  $|f| = \sup_{x \in \varphi^{-1}[0, a]} |f(x)|$ , where  $\varphi$  is the LCK potential, and let  $R$  be its Banach completion. Using Lemma 1, choose  $C \in \mathbb{Z}^{>0}$  such that on  $R_N := R_1^N$  one has  $|\gamma^C - \text{Id}| < 1$ , and let  $\log \gamma^C$  be the logarithm defined as in Proposition 1. Then  $e^{\log \gamma^C} = \gamma^C$ , hence we can take  $\vec{r} := \log \gamma^C$ . ■

## $\mathbb{R}$ -automorphic LCK metrics

**REMARK:** Let  $\varphi$  be any LCK-potential on  $\tilde{M}$ , satisfying  $(\gamma^k)^*\varphi = e^\lambda\varphi$ ,  $\vec{r}$  a vector field constructed above, and  $\rho(t) \rightarrow e^{-t\lambda}(e^{t\vec{r}})^*$  the corresponding endomorphism of  $C^\infty M$ . Since  $\rho(k+t)(\varphi) = \rho(t)\varphi$ , the orbit of  $\varphi$  is compact.

**Averaging  $\rho(t)\varphi$  over  $\mathbb{R}$ , we obtain a  $\rho(t)$ -invariant Kähler potential  $\varphi_0$ .** Then  $\varphi_0$  is obtained from a pseudoconvex shell  $\varphi_0^{-1}(1)$  and the vector field  $\vec{r}$  as in Theorem 1. **This proves Theorem 2.**