# Apollonian gaskets and limit set of automorphisms of a K3 surface

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## **Complex manifolds**

**DEFINITION:** Let *M* be a smooth manifold. An **almost complex structure** is an operator  $I: TM \longrightarrow TM$  which satisfies  $I^2 = -\operatorname{Id}_{TM}$ .

The eigenvalues of this operator are  $\pm \sqrt{-1}$ . The corresponding eigenvalue decomposition is denoted  $TM = T^{0,1}M \oplus T^{1,0}(M)$ .

**DEFINITION:** An almost complex structure is **integrable** if  $\forall X, Y \in T^{1,0}M$ , one has  $[X,Y] \in T^{1,0}M$ . In this case *I* is called a **complex structure operator**. A manifold with an integrable almost complex structure is called a **complex manifold**.

THEOREM: (Newlander-Nirenberg) This definition is equivalent to the usual one.

**DEFINITION:** An Riemannian metric g on an almost complex manifold M is called **Hermitian** if g(Ix, Iy) = g(x, y). In this case,  $g(x, Iy) = g(Ix, I^2y) = -g(y, Ix)$ , hence  $\omega(x, y) := g(x, Iy)$  is skew-symmetric.

**DEFINITION:** The differential form  $\omega \in \Lambda^{1,1}(M)$  is called the Hermitian form of (M, I, g).

#### Kähler manifolds

**THEOREM:** Let (M, I, g) be an almost complex Hermitian manifold. Then the following conditions are equivalent.

(i) The complex structure I is integrable, and the Hermitian form  $\omega$  is closed.

(ii) One has  $\nabla(I) = 0$ , where  $\nabla$  is the Levi-Civita connection

 $\nabla$ : End $(TM) \longrightarrow$  End $(TM) \otimes \Lambda^1(M)$ .

**DEFINITION:** A complex Hermitian manifold M is called Kähler if either of these conditions hold. The cohomology class  $[\omega] \in H^2(M)$  of a form  $\omega$  is called **the Kähler class** of M. The set of all Kähler classes is called **the Kähler cone**.

#### **REMARK: (the Hodge decomposition)**

The second cohomology of a compact Kähler manifold are decomposed as  $H^2(M,\mathbb{C}) = H^{2,0}(M) \oplus H^{1,1}(M) \oplus H^{0,2}(M)$ , where  $H^{2,0}(M)$  is the space of all cohomology classes which can be represented by holomorphic (2,0)forms,  $H^{0,2}(M)$  its complex conjugate, and  $H^{1,1}(M)$  the classes which can be represented by *I*-invariant forms.

#### Kummer surfaces

**REMARK:** Everything I will be talking about today works not only for K3, but for hyperkähler manifolds of maximal holonomy. I will present it for K3 to save the time and effort.

**DEFINITION:** A holomorphically symplectic manifold is a complex manifold equipped with a non-degenerate, holomorphic (2,0)-form.

**EXAMPLE:** For any complex manifold M, the total space  $T^*M$  of the cotangent bundle is holomorphically symplectic.

**REMARK:**  $T^* \mathbb{C}P^1$  is a resolution of a singularity  $\mathbb{C}^2/\pm 1$ .

**REMARK:** Let *M* be a 2-dimensional complex manifold which is holomorphic symplectic form outside of singularities, which are all of form  $\mathbb{C}^2/\pm 1$ . Then **its resolution is also holomorphically symplectic.** 

**DEFINITION:** Take a 2-dimensional complex torus T, then all 16 singular points of  $T/\pm 1$  are of this form. Its resolution  $T/\pm 1$  is called a Kummer surface. It is holomorphically symplectic.

**DEFINITION: A K3 surface** is a complex deformation of a Kummer surface.

# K3 surfaces

"K3: Kummer, Kähler, Kodaira" (the name is due to A. Weil).



"Faichan Kangri is the 12th highest mountain on Earth."

## **Topology of K3 surfaces**

**THEOREM:** Any complex compact surface with  $c_1(M) = 0$  and  $H^1(M) = 0$ is isomorphic to K3. Moreover, it is Kähler.

**CLAIM:** 1.  $\pi_1(K3) = 0$ ,

2. The second homology and cohomology of K3 is torsion-free.

3.  $b_2(K3) = 22$ , and the signature of its intersection form is (3, 19).

4. The intersection form of K3 is even, and the corresponding quadratic lattice is  $U^3 \oplus (-E_8)^2$ , where  $U = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  and  $E_8$  is the Coxeter matrix for the group  $E_8$ .

## **Complex surfaces and hyperbolic lattices**

**REMARK:** Let *M* be a complex surface of Kähler type. Then the signature of the intersection form on  $H^{1,1}(M)$  is  $(1, h^{1,1} - 1)$ .

**THEOREM:** Let M be a projective K3 surface, and Aut(M) its group of complex automorphisms. Then the natural map  $Aut(M) \rightarrow O(H^{1,1}(M))$  has finite kernel.

**REMARK:** Since  $H^{1,1}(M)$  has signature  $(1, h^{1,1}-1)$ , the group  $PSO(H^{1,1}(M))$  is the group of isometries of a hyperbolic space  $\mathbb{P}H^{1,1}(M)$ . If we are interested in dynamics, the "finite kernel" does not make any difference. The automorphisms of M can be classified in the same way as isometries of the hyperbolic space of constant sectional curvature.

## Classification of automorphisms of a hyperbolic space

**REMARK:** The group O(m, n), m, n > 0 has 4 connected components. We denote the connected component of 1 by  $SO^+(m, n)$ . We call a vector v positive if its square is positive.

**DEFINITION:** Let *V* be a vector space with quadratic form *q* of signature (1, n),  $Pos(V) = \{x \in V \mid q(x, x) > 0\}$  its **positive cone**, and  $\mathbb{P}^+V$  projectivization of Pos(V). Denote by *g* any SO(V)-invariant Riemannian structure on  $\mathbb{P}^+V$ . Then  $(\mathbb{P}^+V, g)$  is called **hyperbolic space**, and the group  $SO^+(V)$  **the group of oriented hyperbolic isometries**.

**Theorem-definition:** Let n > 0, and  $\alpha \in SO^+(1, n)$  is an isometry acting on V. Then one and only one of these three cases occurs

(i)  $\alpha$  has an eigenvector x with q(x,x) > 0 ( $\alpha$  is "elliptic isometry")

(ii)  $\alpha$  has an eigenvector x with q(x,x) = 0 and eigenvalue  $\lambda_x$  satisfying  $|\lambda_x| > 1$  ( $\alpha$  is "hyperbolic (or loxodromic) isometry")

(iii)  $\alpha$  has a unique eigenvector x with q(x,x) = 0 and eigenvalue 1. ( $\alpha$  is "parabolic isometry")

**DEFINITION:** An automorphism of a K3 surface (M, I) is called **elliptic** (parabolic, hyperbolic) if it is elliptic (parabolic, hyperbolic) on  $H_I^{1,1}(M, \mathbb{R})$ .

#### Shape of the Kähler cone

**DEFINITION:** Let (M, I) be a compact complex manifold admitting a Kähler structure. Recall that its Kähler cone Kah(M) is the set of all  $x \in H^{1,1}(M, I)$  represented by Kähler forms.

**REMARK:** The Kähler cone of a complex manifold is open and convex in  $H^{1,1}(M, I)$ .

**DEFINITION:** Let *M* be a K3 surface. An integer (1,1)-class  $\eta \in H^{1,1}(M,\mathbb{Z})$  is called a (-2)-class if  $\eta^2 = -2$ .

**PROPOSITION:** Let  $\eta \in H^{1,1}(M,\mathbb{Z})$  be a (-2)-class on a K3 surface. Then  $\eta$  or  $-\eta$  is represented as the fundamental class of a complex curve.

**THEOREM:** Let M be a K3 surface, and  $\mathfrak{S} \subset H^{1,1}(M,\mathbb{Z})$  the set of all (-2)-classes represented by a complex curve. Then Kah(M) is the set of all  $\eta \in H^{1,1}(M,\mathbb{R})$  such that  $\eta^2 > 0$  and  $\langle \eta, S \rangle > 0$  for all  $S \in \mathfrak{S}$ .

# (-2)-reflections and Weyl chambers

**DEFINITION:** Let  $\eta \in H^2(M,\mathbb{Z})$  be an integer class on a complex surface with  $\eta^2 = -2$ . Consider a map  $r_\eta : H^2(M,\mathbb{Z}) \longrightarrow H^2(M,\mathbb{Z})$  taking v to  $v + q(v,\eta)\eta$ , where q denotes the intersection form. Clearly,  $r_\eta$  acts trivially on  $\eta^{\perp}$  and takes  $\eta$  to  $-\eta$ . We call this map **the reflection associated with**  $\eta$ .

**DEFINITION:** Let  $\omega$  be a Kähler form on a complex surface. Clearly,  $\int_M \omega^2 > 0$ . Since the intersection form on  $H^{1,1}(M,\mathbb{R})$  has signature (1,k), the set of vectors with positive square has 2 connected components. Let **the positive cone**  $Pos(M) \subset H^{1,1}(M,\mathbb{R})$  be a connected component of this set containing the Kähler cone.

**DEFINITION:** Let M be a K3 surface, and  $\mathfrak{R} \subset H^{1,1}(M,\mathbb{Z})$  the set of all (-2)-classes. The Weyl chamber is a connected component in the complement  $Pos(M) \setminus \bigcup_{\eta \in \mathfrak{R}} \eta^{\perp}$ .

**CLAIM:** Let  $H \subset O(H^2(M,\mathbb{Z}))$  be the group generated by reflections  $r_{\eta}$ , for all  $\eta \in \mathfrak{R}$ . Then H acts transitively on the set of all Weyl chambers.

## The mapping class group

**REMARK:** Recall that the orthogonal group O(3, 19) has 4 connected components. Denote by  $O^+(3, 19)$  its index 2 subgroup containing the (-2)-reflections. Donaldson proved that **any diffeomorphism of a K3 surface acts on**  $H^2(M,\mathbb{Z})$  **as an element of**  $O^+(H^2(M,\mathbb{Z}))$ .

**CLAIM:** The group  $O(H^2(M,\mathbb{Z}))$  is generated by all reflections  $r_\eta$ , for all (-2)-classes  $\eta$ . Moreover, for each  $\eta$  there exists a diffeomorphism of M which acts as  $r_\eta$  on  $H^2(M,\mathbb{Z})$ .

**REMARK:** This implies that the mapping class group (MCG) of K3 acts on  $H^2(M,\mathbb{Z})$  as  $O^+(H^2(M,\mathbb{Z}))$ .

**REMARK:** Since the MCG acts transitively on the set of Weyl chambers, each Weyl chamber serves as a Kähler cone for an appropriate K3.

## **Arithmetic lattices**

**DEFINITION:** Let G be a semisimple algebraic Lie group defined over  $\mathbb{Q}$ . An **arithmetic lattice** is a group  $\Lambda \subset G$  which is commensurable with  $G_{\mathbb{Z}}$ .

## **THEOREM:** (Borel and Harish-Chandra)

Let G be an algebraic Lie group over  $\mathbb{Q}$  which does not have non-trivial rational characters, such as a semisimple group. Then  $\frac{G}{G_{\mathbb{Z}}}$  has finite Haar measure.

**DEFINITION: Covolume** of a discrete group  $\Gamma$  acting on a space  $(M, \mu)$  with measure is  $\int_{M/\Gamma} \mu$ .

**DEFINITION:** Recall that the group of isometries of the hyperbolic space  $\mathbb{H}^m = \frac{SO^+(1,n)}{SO(n)}$  of constant sectional curvature is  $O^+(1,n)$ . Let  $\Gamma \subset O^+(1,n)$  be a discrete group of finite covolume. A hyperbolic orbifold is a quotient  $\mathbb{H}^m/\Gamma$ . It is called a hyperbolic manifold if  $\Gamma$  has no elements of finite order.

**REMARK:** By Selberg lemma, any arithmetic group  $G_{\mathbb{Z}} \subset G$  has a finite index subgroup without elements of finite order.

**COROLLARY:** Let  $\Gamma \subset SO^+(1, n)$  be an arthmetic lattice. Then  $\mathbb{H}^m/\Gamma$  is aa hyperbolic orbifold.

#### The automorphism group and Hodge monodromy

**DEFINITION:** Let (M, I) be a K3 surface. The group of Hodge mondromy is the group Mon<sub>I</sub> of all  $s \in O^+(H^2(M, \mathbb{Z}))$  which preserve the Hodge decomposition  $H^2(M, \mathbb{C}) = H^{2,0}(M) \oplus H^{1,1}(M) \oplus H^{0,2}(M)$ 

**DEFINITION:** The **Kähler chamber of a K3 surface** is its Kähler cone considered as one of its Weyl chambers.

**THEOREM:** Let M be a K3 surface. Then the automorphism group of M is discrete, the restriction map  $\operatorname{Aut}(M) \longrightarrow \operatorname{Aut}(M)|_{H^2(M,\mathbb{Z})}$  is injective, and its image of all  $\tau \in \operatorname{Mon}_I$  which fix the Kähler chamber.

The automorphism group of K3 can be interpreted as the fundamental group of a certain hyperbolic manifold with a boundary.

## The ample cone

**DEFINITION:** Let  $H^{1,1}(M, \mathbb{Q}) := H^2(M, \mathbb{Q}) \cap H^{1,1}(M)$ . Define the positive rational cone as  $Pos(M) \cap H^{1,1}(M, \mathbb{Q}) \otimes_{\mathbb{Q}} \mathbb{R}$ , and the ample cone  $Kah_{\mathbb{Q}}(M)$  as the intersection of Kah(M) and  $Pos_{\mathbb{Q}}(M)$ .

**REMARK:** The group of Hodge monodromy acts on  $H^{1,1}(M,\mathbb{Q}) \otimes_{\mathbb{Q}} \mathbb{R}$  as an arithmetic lattice, and **the quotient**  $\mathbb{P} \operatorname{Pos}_{\mathbb{Q}}(M) / \operatorname{Mon}_{I}$  is a hyperbolic manifold.

CLAIM: The group of Hodge monodromy acts on the set if all (-2)-classes of type (1,1) with finitely many orbits.

**Proof:** The image of Mon<sub>I</sub> has finite index in  $O(H^{1,1}(M,\mathbb{Z}))$ . In any nondegenerate quadratic lattice  $\Lambda$ , the group  $O(\Lambda)$  acts on the set  $V_n := \{v \in \Lambda \mid q(v,v) = n\}$  with finite number of orbits, for any given  $n \in \mathbb{Z}$ .

## Automorphism group as the fundamental group

**DEFINITION:** Let  $\mathcal{H} := \mathbb{P} \operatorname{Pos}(V)/\Gamma$  be a hyperbolic orbifold, and  $S_1, ..., S_n \subset \mathcal{H}$  a finite collection of immersed hyperbolic hypersurfaces of finite volume, associated with hyperspaces  $V_i \subset V$  of codimension 1. Any connected component of  $\mathcal{H} \setminus \bigcup S_i$  is called **is a convex hyperbolic orbifold with polyhedral boundary**.

**COROLLARY:** The image of a Weyl chamber in  $\mathcal{H} := \mathbb{P} \operatorname{Pos}_{\mathbb{Q}}(M) / \operatorname{Mon}_I$ is a convex hyperbolic orbifold with polyhedral boundary, obtained as a connected component of  $\mathcal{H} \setminus \bigcup_{s \in O} \mathcal{H}_s$ , where O is the set of all orbits of  $\operatorname{Mon}_I$ acting on (-2)-classes of type (1,1), and  $H_s$  is the hyperbolic hypersurface  $\frac{\mathbb{P} \operatorname{Pos}_{\mathbb{Q}}(M) \cap s^{\perp}}{\operatorname{St}_s(\operatorname{Mon}_I)}$ .

**REMARK:** Since the ample cone  $\operatorname{Kah}_{\mathbb{Q}}(M)$  is convex, the orbifold fundamental group of its image in  $\mathcal{H}$  is the subgroup of  $\operatorname{Mon}_I$  fixing  $\operatorname{Kah}_{\mathbb{Q}}(M)$ . **This group is equal to the fundamental group of the corresponding hyperbolic orbifold with a boundary.** 

**COROLLARY: The group of holomorphic automorphisms of a K3 surface is**  $\pi_1(\mathcal{K})$ , where  $\mathcal{K}$  is a hyperbolic orbifold with polyhedral boundary obtained as the image of  $\mathbb{P}$  Kah<sub>Q</sub>(M) in  $\mathcal{H} := \mathbb{P} \operatorname{Pos}_{\mathbb{Q}}(M) / \operatorname{Mon}_I$ .

## The absolute

**DEFINITION:** Let V be a real space equipped with a scalar product q of signature (1,k), and  $V_+$  the set of all vectors with positive square Then  $\mathbb{H}^n = \mathbb{P}V_+$  is the hyperbolic space of constant negative curvature. The absolute Abs is the projectivization of the set of all vectors with square 0; it is identified with the boundary of  $\mathbb{H}^n$ . Clearly, Abs is diffeomorphic to the sphere  $S^{n-1}$ . Clearly, the union  $\mathbb{H}^n \cup Abs$  is compact.

**REMARK:** Let  $W \subset V$  be a 2-dimensional subspace of signature (1,1). Then  $\mathbb{P}(W \cap \text{Pos}(V)) \subset \mathbb{H}$  is a geodesic, and all geodesics are obtained this way.

**DEFINITION:** The endpoints of a geodesic  $\gamma \in \mathbb{P}(W \cap \text{Pos}(V))$  are two points  $\text{Abs} \cap \mathbb{P}(W)$ .

**REMARK:** Clearly, any geodesic is uniquely determined by its two endpoints.

Claim 1: Let  $\gamma_1$ ,  $\gamma_2$  be two geodesics on a hyperbolic space, and  $\delta : \gamma_1 \longrightarrow \mathbb{R}$ the distance from a point of  $\gamma_1$  to  $\gamma_2$ . Let  $\infty_+$ ,  $\infty_-$  be the endpoints of  $\gamma_1$ , considered as points in Abs. Then  $\lim_{x \to \infty_+} \delta(x) = \infty$  if  $\gamma_2$  is not an endpoint of  $\gamma_2$ , and  $\lim_{x \to \infty_+} \delta(x) < \infty$  otherwise.

**Proof:** Left as an exercise.

## The limit set

**CLAIM:** Let  $\Gamma \subset SO(1, n)$  be a group of hyperbolic isometries acting on  $\mathbb{H}^n$ , and  $a, b \in \mathbb{H}^n$  any two points. Let  $\Lambda_a, \Lambda_b \subset Abs$  be the set of all points in Abs obtained as accumulation points for  $\Gamma \cdot a, \Gamma \cdot b$ . Then  $\Lambda_a = \Lambda_b$ .

**Proof:** Let  $x \in \Lambda_a$ , and  $\{y_i = \gamma_i a\} \in \Gamma \cdot a$  be a sequence converging to x. Since  $d(\gamma_i b, \gamma_i a) < \infty$ , the sequence converges to x as well. Indeed, since  $\mathbb{H}^n \cup Abs$  is compact, otherwise we would have a point  $y \neq x$  which is a limit of a subsequence  $\gamma_i b$ . However, for any two sequences of points  $\{x_i\}$  converging to x and  $\{y_i\}$  converging to y, we have  $\lim_i d(x_i, y_i) = \infty$ , giving a contradiction.

**DEFINITION:** In these assumptions, the limit set of  $\Gamma$  is  $\Lambda_a$ , for  $a \in \mathbb{H}$ .

**REMARK:**  $\Gamma$  acts on Abs by conformal equivalences, and it acts on Abs  $\setminus \Lambda$  properly discontinuously.

## **Quasi-Fuchsian groups**

**DEFINITION:** A Kleinian group is a discrete subgroup of  $PSL(2, \mathbb{C}) = SO^+(1, 3)$ .

**EXAMPLE:** Let  $\Gamma \subset PSL(2,\mathbb{R})$  be a fundamental group of a Riemann surface  $C = \mathbb{H}^2/\Gamma$ . We embed  $PSL(2,\mathbb{R})$  to  $PSL(2,\mathbb{C})$ . This gives an embedding of  $\Gamma = \pi_1(C)$  to  $SO^+(1,3)$ ; its image is called a Fuchsian group.

**REMARK:** Clearly, the limit set of  $\Gamma$  is the circle  $Abs(\mathbb{R}^{1,2}) \subset Abs(\mathbb{R}^{1,3}) = S^2$ . The group  $\Gamma$  acts on two half-spheres  $S^+$ ,  $S^-$  conformally, inducing a conformal (that is, complex) structure on manifolds  $S^+/\Gamma$  and  $S^-/\Gamma$ .

CLAIM: The Riemannian surface  $S^+/\Gamma$  is isomorphic to C, and  $S^-/\Gamma$  to its complex conjugate  $\overline{C}$ .

**Proof:** Left as an exercise.

**DEFINITION:** A Kleinian group  $\Gamma \subset SO^+(1,3)$  is called **quasi-Fuchsian** if its limit set  $\Lambda \subset S^2$  is a Jordan curve.

**REMARK:** If this Jordan curve is real analytic somewhere, it is Fuchsian.

## Ahlfors double uniformization theorem

**THEOREM:** A small deformation of a quasi-Fuchsian representation  $\Gamma \longrightarrow SO^+(1,3)$  is always quasi-Fuchsian.

**THEOREM:** (Ahlfors double uniformization theorem) Let  $\Gamma \subset SO^+(1,3)$ be a quasi-Fuchsian subgroup, and  $\Lambda \subset \mathbb{C}P^1$  its limit set, splitting  $\mathbb{C}P^1$  onto two half-spheres  $S^+$ ,  $S^- \subset \mathbb{C}P^1$ . Consider the map  $\Psi : F \longrightarrow$  Teich × Teich from the set F of all quasi-Fuchsian subgroup deformations of  $\Gamma$  to the point of Teich × Teich represented by  $(S^+/\Gamma, S^-/\Gamma)$ . Then  $\Psi$  is bijective.

## "a hyperbolic hypersurspace"

**REMARK:** Consider a hyperbolic space  $\mathbb{H}^n = \mathbb{P} \operatorname{Pos}(V)$ , where V is a real vector space equipped with a scalar product of signature (1, n). For any subspace  $W \subset V$  of signature (1, n - 1), the space  $\mathbb{P} \operatorname{Pos}(W) \subset \mathbb{P} \operatorname{Pos}(V)$  is a completely geodesical hyperbolic hypersurface, and all completely geodesical hyperbolic hypersurfaces  $\mathbb{H}^{n-1} \subset \mathbb{H}^n$  are obtained this way.

**DEFINITION:** Later on, when we say "a hyperbolic hypersurspace", we always mean  $\mathbb{P} \text{Pos}(W) \subset \mathbb{P} \text{Pos}(V)$  as above.

## Constructing convex hyperbolic orbifold with polyhedral boundary

**REMARK:** Let  $\Gamma \subset SO^+(1,n)$  be a group of isometries of finite covolume (that is, a lattice), and  $\mathbb{P} \operatorname{Pos}(W) \subset \mathbb{P} \operatorname{Pos}(V)$  a hyperbolic hypersurspace. Clearly, **the image of**  $\mathbb{P} \operatorname{Pos}(W)$  **in**  $\mathbb{P} \operatorname{Pos}(V)/\Gamma$  **has the same volume as**  $\mathbb{P} \operatorname{Pos}(W)/\Gamma_W$ , where  $\Gamma_W$  is  $\{\gamma \in \Gamma \mid \gamma(W) = W\}$ . Any integer lattice in O(1,n) has finite covolume. This implies the following description of a convex hyperbolic orbifold with polyhedral boundary.

**CLAIM:** Let  $V_{\mathbb{Z}}$  be a lattice equipped with a scalar product of signature (1, n), and  $\Gamma \subset SO^+(V)$  a subgroup commensurable with  $SO(V_{\mathbb{Z}})$ . Consider a finite collection of rational hyperspaces  $W_i \subset V$  of signature (1, n - 1), let  $\mathfrak{S}$  be  $\bigcup_i \Gamma W_i$ , and P a connected component of  $\mathbb{P} \operatorname{Pos} V \setminus \mathbb{P} \mathfrak{S}$ . Denote by  $\Gamma_P$  the group  $\{\gamma \in \Gamma \mid \gamma(P) = P\}$ . Then  $P/\Gamma_P$  is a convex hyperbolic orbifold with polyhedral boundary, and all convex hyperbolic orbifolds with polyhedral boundary in  $\mathbb{P} \operatorname{Pos} V/\Gamma$  are obtained this way.

Today we are interested in the following question.

## QUESTION: What is the limit set of $\Gamma_P$ acting on *P*?

**REMARK:** The following theorem is true for all hyperbolic manifolds, but it is much easier to state and prove when  $\mathcal{H}$  is compact. Later I will explain how to generalize it to all hyperbolic  $\mathcal{H}$ .

## The boundary of a polyhedron

**THEOREM:** Let  $\mathcal{H} := \mathbb{P} \operatorname{Pos} V/\Gamma$  be a hyperbolic manifold, and  $\mathcal{P} \subset \mathcal{H}$  a convex hyperbolic orbifold with polyhedral boundary. Let P be a connected component of the preimage of  $\mathcal{P}$  in  $\mathbb{P} \operatorname{Pos} V = \mathbb{H}$ ; clearly, P is a convex polyhedron in  $\mathbb{H}$  with hyperbolic faces. Denote by Abs P the set of all points on Abs obtained as limits of  $x_i \in P$ . Assume that  $\mathcal{H}$  is compact. Then Abs P is the limit set of  $\Gamma_P$  acting on P.

**Proof. Step 1:** Clearly, for any  $x \in P$ , its orbit belongs to P, hence its limit set belongs to Abs P.

**Step 2:** Conversely, let  $x \in Abs P$ , and let  $\gamma$  be a geodesic with an end in x. Assume that  $\gamma$  contains a point in P. Since P is convex, a ray  $\gamma_+ \subset \gamma$  converging to x also belongs to P. Choose a fundamental domain D of  $\Gamma_{P^-}$  action on P. Since  $\overline{P}/\Gamma_P$  is a closed subset of  $\mathcal{H}$ , this space is compact, hence D can be chosen compact. Let  $R := \operatorname{diam} D$ .

**Step 3:** Choose  $z \in D$ . Since diam D = R, an R-neighbourhood of any point  $y \in \gamma_+$  contains  $\gamma z$  for some  $\gamma \in \Gamma_P$ . Choose a sequence of points  $y_i$  converging to  $x \in Abs P$ , and let  $\gamma_i z$  be points which satisfy  $d(\gamma_i z, y_i) \leq R$ . Then  $\lim \gamma_i z$  is a point in an R-neighbourhood  $\gamma_+(R)$  of  $\gamma_+$ . By Claim 1, any unbounded sequence in  $\gamma_+(R)$  converges to x, hence x belongs to the limit set of  $\Gamma_P$ .

## **Cusp points**

**DEFINITION:** A horosphere on a hyperbolic space is a sphere which is everywhere orthogonal to a pencil of geodesics passing through one point at infinity, and **a horoball** is a ball bounded by a horosphere. **A cusp point** for an *n*-dimensional hyperbolic manifold  $\mathbb{H}/\Gamma$  is a point on the boundary  $\partial \mathbb{H}$  such that its stabilizer in  $\Gamma$  contains a free abelian group of rank n-1. Such subgroups are called maximal parabolic.

**CLAIM:** For any point  $p \in \partial \mathbb{H}$  stabilized by  $\Gamma_0 \subset \Gamma$ , and any horosphere *S* tangent to the boundary in *p*,  $\Gamma_0$  acts on *S* by isometries. In such a situation, *p* is a cusp point if and only if  $(S \setminus p)/\Gamma_0$  is compact.

**REMARK:** A cusp point p yields a **cusp** in the quotient  $\mathbb{H}/\Gamma$ , that is, a geometric end of  $\mathbb{H}/\Gamma$  of the form  $B/\mathbb{Z}^{n-1}$ , where  $B \subset \mathbb{H}$  is a horoball tangent to the boundary at p.

## Cusp points and thick-thin decomposition

**THEOREM:** (Thick-thin decomposition) Any *n*-dimensional complete hyperbolic manifold of finite volume can be represented as a union of a "thick part", which is a compact manifold with a boundary, and a "thin part", which is a finite union of quotients of form  $B/\mathbb{Z}^{n-1}$ , where *B* is a horoball tangent to the boundary at a cusp point, and  $\mathbb{Z}^{n-1} = St_{\Gamma}(B)$ .

**THEOREM:** (A. Borel) Let  $V_{\mathbb{Z}}$  be a lattice equipped with a scalar product of signature (1, n), and  $\Gamma \subset SO^+(V)$  a subgroup commensurable with  $SO(V_{\mathbb{Z}})$ . Then the cusp points of the hyperbolic manifold  $\mathbb{H}/\Gamma$  are in bijective correspondence with  $\Gamma$ -orbits on the set  $Abs \cap \mathbb{P}(V_{\mathbb{Z}} \otimes_{\mathbb{Z}} \mathbb{Q})$  of all rational points on Abs.

## The boundary of a polyhedron,

**THEOREM:** Let  $\mathcal{H} := \mathbb{P} \operatorname{Pos} V/\Gamma$  be a hyperbolic manifold, and  $\mathcal{P} \subset \mathcal{H}$  a convex hyperbolic orbifold with polyhedral boundary. Let P be a connected component of the preimage of  $\mathcal{P}$  in  $\mathbb{P} \operatorname{Pos} V = \mathbb{H}$ ; clearly, P is a convex polyhedron in  $\mathbb{H}$  with hyperbolic faces. Denote by Abs P the set of all points on Abs obtained as limits of  $x_i \in P$  Then Abs P is the union of all cusp points in Abs P and the limit set of  $\Gamma_P$  acting on P. **Proof. Step 1:** Clearly, for any  $x \in P$ , its  $\Gamma_P$  orbit belongs to P, hence its

limit set belongs to Abs P.

**Step 2:** Consider the thick-thin decomposition of  $\mathcal{H}$ , with the thick part  $\mathcal{H}_0$ , and let  $P_0$  be the intersection of P and the preimage of  $\mathcal{H}_0$ . Then  $P_0$  is obtained by removing from P a countable number of horoballs; this set is  $\Gamma_P$ -invariant. The fundamental domain D of  $\Gamma_P$ -action on  $P_0$  has finite diameter R.

**Step 3:** Fix a non-cusp point  $x \in Abs P$ , and fix  $z \in P$ . We need to show that  $\gamma_i z$  converges to x for some sequence  $\{\gamma_i\} \in \Gamma_P$ . Let  $\gamma_+ \subset P$  be a geodesic ray with an end in x. An intersection of a horoball and a geodesic ray is bounded, unless its end coincides with the boundary point of the horoball. Then  $\gamma_+$  contains a family of points  $\{y_i\} \subset \gamma_+ \cap P_0$  converging to x. This implies that an R-neighbourhood of  $y_i$  contains  $\gamma_i D$ , hence it contains  $\gamma_i z$ , and  $\lim \gamma_i z = x$  by another application of Claim 1.

# The Apollonian gasket

**DEFINITION:** Let  $V_{\mathbb{Z}}$  be a lattice equipped with a scalar product of signature (1, n), and  $\Gamma \subset SO^+(V)$  a subgroup commensurable with  $SO(V_{\mathbb{Z}})$ . Consider a convex hyperbolic orbifold  $\mathcal{P} \subset \mathcal{H}$  with polyhedral boundary. Let P be a connected component of the preimage of  $\mathcal{P}$  in  $\mathbb{P}$  Pos  $V = \mathbb{H}$ , and Abs P the set of accumulation points of P on Abs. The Apollonian gasket is the union of all positive-dimensional real analytic subvarieties in Abs P.

**THEOREM:** The Apollonian gasket of P is a union of spheres  $Abs \cap \mathbb{P}W_i$ , where  $W_i \subset V$  is a rational subspace of signature (1, k).

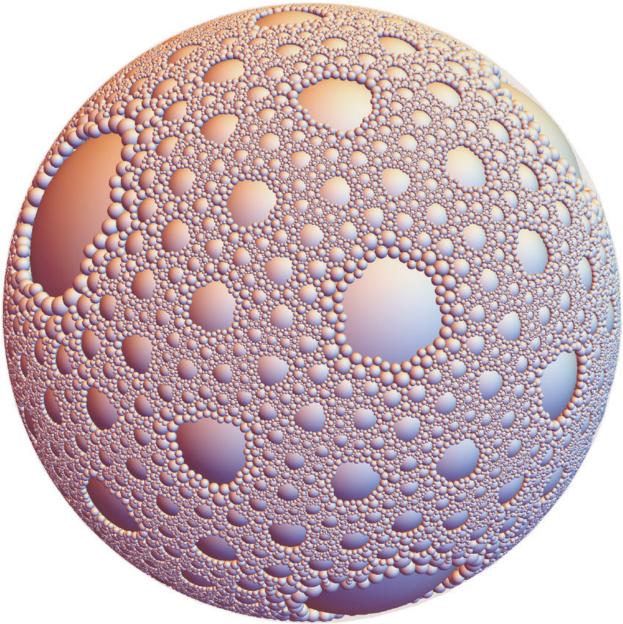
#### The seven mother goddesses



The Seven Mother Goddesses (Matrikas) Flanked by Shiva-Virabhadra and Ganesha, Lord of Obstacles, India, Madhya Pradesh, 9th century

**REMARK:** In a sequence of papers, A. Baragar **found many examples of** K3 surfaces with Apollonian gasket on the boundary.

## **Apollonian sphere packings**



Pentatope-based Apollonian packing, by Michael Fennen and Domenico Giulini, "Lie sphere geometry in lattice cosmology", 2020

## **Ergodic group action**

**DEFINITION:** Let  $(M, \mu)$  be a space with finite measure, and G a group acting on M preserving  $\mu$ . This action is **ergodic** if all G-invariant measurable subsets  $M' \subset M$  satisfy  $\mu(M') = 0$  or  $\mu(M \setminus M') = 0$ .

**CLAIM:** Let M be a manifold,  $\mu$  a Lebesgue measure, and G a group acting on M ergodically. Then the set of non-dense orbits has measure 0.

**Proof. Step 1:** Consider a non-empty open subset  $U \subset M$ . Then  $\mu(U) > 0$ , hence  $M' := G \cdot U$  satisfies  $\mu(M \setminus M') = 0$ . For any orbit  $G \cdot x$  not intersecting  $U, x \in M \setminus M'$ . Therefore the set  $Z_U$  of such orbits has measure 0.

**Proof. Step 2:** Choose a countable base  $\{U_i\}$  of topology on M. Then the set of points in dense orbits is  $M \setminus \bigcup_i Z_{U_i}$ .

**CLAIM:** A group G acts on M ergodically if and only if any  $L^2$ -integrable G-invariant function on M is constant almost everywhere.

## Shah's theorem

**DEFINITION:** Let  $ST\mathbb{H}^n$  be the manifold of unit tangent vectors to a hyperbolic space of constant sectional curvature, and Vis :  $ST\mathbb{H}^n \longrightarrow Abs$  a map taking a tangent vector to the limit point of the corresponding geodesic.

**DEFINITION:** Let  $(v, m) \in ST\mathbb{H}^n$ , with  $v \in T_m\mathbb{H}^n$  being a unit tangent vector. Denote by  $\gamma_{v,m}(t)$  the geodesic starting to m and tangent to v. **Geodesic** flow is a measure-preserving flow of diffeomorphisms  $G_t : ST\mathbb{H}^n \times \mathbb{R} \longrightarrow ST\mathbb{H}^n$ taking (v, m), t to the tangent vector  $\gamma'_{v,m}(t)$ .

**REMARK:** By Hopf theorem, the geodesic flow on a hyperbolic manifold is ergodic. However (unlike for Ratner's theorem on homogeneous flows), **the closure of a geodesic might be very pathological**, such as a product of a Cantor set and an interval. Shah's theorem can be used to rectify this problem.

THEOREM: (Nimish Shah, "LIMITING DISTRIBUTIONS OF CURVES UNDER GEODESIC FLOW ON HYPERBOLIC MANIFOLDS", 2007, Theorem 1.2) Let  $\mathcal{H} = \mathbb{H}^n/\Gamma$  be a hyperbolic orbifold, and  $C \subset STM$  a real analytic interval, such that Vis(C) is not a singleton. Denote by X the minimal closed subset of STM invariant under the geodesic flow and containing C. Then Vis(X) is a totally geodesic sphere in Abs. Moreover, the image of its convex hull is a hyperbolic submanifold in  $\mathcal{H}$ .

## Shah's theorem and hyperbolic polyhedra

**THEOREM:** The Apollonian gasket of *P* is a union of spheres  $Abs \cap \mathbb{P}W_i$ , where  $W_i \subset V$  is a rational subspace of signature (1, k).

**Proof.** Step 1: Let  $C \subset Abs P$  be a real analytic curve. Connecting a point in P with C by a real analytic family of geodesics, we obtain a real analytic path  $C_1 \subset P$  satisfying assumptions of Shah's theorem. Running a geodesic flow on its closure, we obtain a geodesic sphere S on Abs which belongs to Abs P and contains C.

**Step 2:** The convex hull of  $S = \mathbb{P}W \cap Abs$  is the hyperbolic subspace  $\mathbb{P} \operatorname{Pos} W$ . By Ratner theorem, its image in  $\mathcal{H} = \mathbb{P} \operatorname{Pos} V / \Gamma$  is closed if and only if  $\Gamma_W := \{\gamma \in \Gamma \mid \gamma(W) = W\}$  is a lattice in O(W), which happens if and inly if W is rational.

#### Apollonian gasket for a K3 surface

**DEFINITION:** Let *S* be a sphere which lies in the Apollonian gasket. We say that *S* is a component of the Apollonian gasket if *S* does not belong to a sphere of bigger dimension, which also lies in the Apollonian gasket. Note that the components can be tangent or intersect each other.

**DEFINITION: The Apollonian gasket of a K3 surface** is the union of all geodesic spheres which belong to the boundary of its ample cone.

**REMARK:** Clearly, the Apollonian gasket of a K3 surface is the Apollonian gasket of the convex polyhedron with hyperbolic faces obtained as the image of its ample cone.

#### Apollonian gasket for a K3 surface: explicit description

**THEOREM:** Let M be a projective K3 surface,  $NS := H^{1,1}(M, \mathbb{R}) \cap H^2(M, \mathbb{Z})$  its Neron-Severi lattice. Consider the set of all rational subspaces  $W_i \subset NS_{\mathbb{R}}$  of signature (1, k),  $k \ge 2$  such that for any (-2)-class  $\eta \in NS$ , with  $\eta \not \perp W_i$ , and any integer class  $\rho \in W_i^{\perp}$ , the space  $\langle \rho, \eta \rangle$  is not negative definite. Then the sphere  $S_i \operatorname{Abs} \cap \mathbb{P}W_i$  belongs to one of the Weyl chambers of M, associated with the complex structure  $I_i$  on M. Moreover,  $S_i$  belongs to the Apollonian gasket of  $(M, I_i)$ , and all components of the Apollonian gasket of M are obtained this way.

**REMARK:** This gives an effective and easy way to determine the Apollonian gasket for special examples when we have good control over the lattice; by Nikulin's theorem, any even quadratic lattice of signature (1, n),  $n \leq 10$  can be realized as NS(M, I) for an appropriate K3 surface.

#### **Apollonian gasket for a K3 surface: explicit description (2)**

**THEOREM:** Let M be a projective K3 surface,  $NS := H^{1,1}(M, \mathbb{R}) \cap H^2(M, \mathbb{Z})$  its Neron-Severi lattice. Consider the set of all rational subspaces  $W_i \subset NS_{\mathbb{R}}$  of signature (1, k),  $k \ge 2$  such that for any (-2)-class  $\eta \in NS$ , not orthogonal to  $W_i$ , the space  $\eta + W_i^{\perp}$  is not negative definite. Then the sphere  $S_i := Abs \cap \mathbb{P}W_i$  belongs to one of the Weyl chambers of M, associated with the complex structure  $I_i$  on M. Moreover,  $S_i$  belongs to the Apollonian gasket of  $(M, I_i)$ , and all components of the Apollonian gasket of M are obtained this way.

**Proof. Step 1:** Let  $S_i$  be a component of the Apollonian gasket on (M, I). Then  $S_i = \operatorname{Abs} \cap \mathbb{P}W_i$ , where  $W_i$  is a rational subspace. Denote by  $\Gamma$  the group of all  $\nu \in O^+(H^2(M,\mathbb{Z}))$  preserving the Hodge decomposition. Then  $\mathcal{H} := \mathbb{P}\operatorname{Pos}(NS)/\Gamma$  is a hyperbolic lattice, and  $\operatorname{Aut}(M, I)$  is the group of all  $\nu \in \Gamma$  preserving the Hodge decomposition. Let  $\Gamma_{W_i} := \{\gamma \in \Gamma \mid \Gamma(W_i) = W_i\}$ . Then  $\Gamma_{W_i}$  is a lattice in  $O(W_i)$ , hence it acts on  $S_i$  with dense orbits. This implies that  $S_i$  wholly belongs to the closure Kähler cone, and it cannot transversally intersect the orthogonal complement to a (-2)-class. Indeed, the the orthogonal complements to (-2)-classes are faces of the Kähler cone, and  $S_i$  does not cross these faces.

**Step 2:** Let  $U_1, U_2 \subset V$  be spaces of negative signature of a space of signature (1, n). Then  $\mathbb{P} \operatorname{Pos} U_1^{\perp}$  intersects  $\mathbb{P} \operatorname{Pos} U_2^{\perp}$  if and only if  $U_1 + U_2$  is negative definite. If  $U_1 + U_2$  is degenerate, the spheres  $\operatorname{Abs} \mathbb{P} \operatorname{Pos} U_1^{\perp}$  and  $\operatorname{Abs} \mathbb{P} \operatorname{Pos} U_2^{\perp}$  are tangent; otherwise, these spheres do not intersect either. Therefore,  $S_i$  does not transversally intersect an orthogonal complement to a (-2)-class if and only if  $W_i^{\perp} + \eta$  is not negative definite for any (-2)-class  $\eta$  not orthogonal to  $W_i$ .

**Step 3:** In this case  $S_i$  belongs to a Weyl chamber, and  $\Gamma_{W_i}$  preserves this Weil chamber, because it preserves  $S_i$ . Therefore,  $\Gamma_{W_i} \subset \operatorname{Aut}(M)$ . Since  $S_i$  is the limit set of  $\Gamma_{W_i}$ , this implies that  $S_i$  belongs to the Apollonian gasket.

**Step 4:** Conversely, assume that  $W \subset NS_{\mathbb{R}}$  be a rational subspace of signature (1, k) such that Pos W does not intersect  $\rho^{\perp}$  for all (-2)-classes. Consider a subgroup  $\Gamma_W^{\circ} \subset \Gamma_W \subset \Gamma$  consisting of all elements of  $\Gamma_W$  acting trivially on  $W^{\perp}$ . Then  $\Gamma_W^{\circ}$  has finite index in  $O(W_{\mathbb{Z}})$ . Since  $\Gamma_W^{\circ}$  preserves its limit set, which belongs to Abs Kah<sub>Q</sub>, it actually preserves the ample cone, hence  $\Gamma_W^{\circ} \subset \operatorname{Aut}(M)$ . Therefore, its limit set belongs to the limit set of  $\operatorname{Aut}(M)$ .

#### Baragar gasket

**REMARK:** Let  $L \subset \overline{Kah}_{\mathbb{Q}}$  be a face of a Kähler cone which does not meet faces of smaller dimension, dim L > 1. Then  $\mathbb{P} \text{Pos} \mathbb{L} \cap \text{Abs}$  is a component of the Apollonian gasket.

**DEFINITION:** The union of all components of the Apollonian gasket obtained this way is called **the Baragar gasket**.

**REMARK: The Baragar components do not intersect transversally,** but they might be tangential. **Other components of the Apollonian gasket can intersect,** but they cannot intersect the Baragar components transversally.