

# **Zoll manifolds, conifold transform and symplectic packing**

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## Contact manifolds.

**Definition:** Let  $M$  be a smooth manifold,  $\dim M = 2n - 1$ , and  $\omega$  a symplectic form on  $M \times \mathbb{R}^{>0}$ . Suppose that  $\omega$  is **automorphic**:  $\Psi_q^* \omega = q^2 \omega$ , where  $\Psi_q(m, t) = (m, qt)$ . Then  $M$  is called **contact**.

**DEFINITION: The contact form** on  $M$  is defined as  $\theta = i_v \omega$ , where  $v = t \frac{d}{dt}$ . Then  $d\theta = \{d, i_v\} \omega = \text{Lie}_v \omega = \omega$ . Therefore, **the form  $(d\theta)^{n-1} \wedge \theta = \frac{1}{n} \text{Lie}_v \omega^n$  is non-degenerate on  $M \times \{t_0\} \subset M \times \mathbb{R}^{>0}$ .**

**Remark:** Usually, a contact manifold is defined as a  $(2n - 1)$ -manifold with 1-form  $\theta$  such that  $d\theta^{n-1} \wedge \theta$  is nowhere degenerate.

**Example: An odd-dimensional sphere  $S^{2n-1}$  is contact.** Indeed, its cone  $S^{2n-1} \times \mathbb{R}^{>0} = \mathbb{R}^{2n} \setminus 0$  has the standard symplectic form  $\sum_{i=1}^n dx_{2i-1} \wedge dx_{2i}$  which is obviously homogeneous.

**Contact geometry is an odd-dimensional counterpart to symplectic geometry**

**DEFINITION: The Reeb field** on a contact manifold  $(M, \theta)$  is a field  $R \in TM$  such that  $d\theta(R, \cdot) = 0$  and  $\langle \theta, R \rangle = 1$ .

## Kähler manifolds.

**Definition:** Let  $(M, I)$  be a complex manifold,  $\dim_{\mathbb{C}} M = n$ , and  $g$  is Riemannian form. Then  $g$  is called **Hermitian** if  $g(Ix, Iy) = g(x, y)$ .

**Remark:** Since  $I^2 = -\text{Id}$ , it is equivalent to  $g(Ix, y) = -g(x, Iy)$ . **The form  $\omega(x, y) := g(x, Iy)$  is skew-symmetric.**

**Definition:** The differential form  $\omega$  is called **the Hermitian form of  $(M, I, g)$ .**

**Definition:** A complex Hermitian manifold is called **Kähler** if  $d\omega = 0$ .

## Sasakian manifolds.

**Definition:** Let  $(M, g_M)$  be a Riemannian manifold,  $\dim M = 2n - 1$ , and  $(g, \omega, I)$  a Kaehler structure on  $M \times \mathbb{R}^{>0}$  with  $g = g_M + t^2 dt \otimes dt$ . Suppose that  $\omega$  is **automorphic**:  $\Psi_q^* \omega = q^2 \omega$ , where  $\Psi_q(m, t) = (m, qt)$ , and  $I$  is  $\Psi_q$ -invariant. Then  $M$  is called **Sasakian**, and  $M \times \mathbb{R}^{>0}$  its **Kähler cone**.

**Sasakian geometry is an odd-dimensional counterpart to Kähler geometry**

**Remark:** A Sasakian manifold is obviously contact. Indeed, **a Sasakian manifold is a contact manifold equipped with a compatible Riemannian metric.**

**Example: An odd-dimensional sphere  $S^{2n-1}$  is Sasakian.** Indeed, its cone  $S^{2n-1} \times \mathbb{R}^{>0} = \mathbb{C}^n \setminus 0$  has the standard Kähler form  $\sqrt{-1} \sum_{i=1}^n dz_i \wedge d\bar{z}_i$  which is obviously automorphic.

*S. Sasaki, "On differentiable manifolds with certain structures which are closely related to almost contact structure", Tohoku Math. J. 2 (1960), 459-476.*

## Boothby-Wang theorem

*W. M. Boothby, H. C. Wang, On Contact Manifolds Annals of Mathematics, Second Series, Vol. 68, No. 3 (Nov., 1958), pp. 721-734.*

**DEFINITION:** A contact manifold  $(M, \theta)$  is **normal** if it is equipped with an  $S^1$ -action preserving  $\theta$  and tangent to the Reeb field.

**REMARK:** Let  $(M, \theta)$  be a contact manifold. **Then the form  $d\theta$  is non-degenerate on the bundle  $\ker \theta \subset TM$ .**

### **THEOREM: (Boothby-Wang, 1958)**

Let  $(M, \theta)$  be a normal contact manifold. **Then its space  $X$  of Reeb orbits is symplectic and the natural projection  $\pi : M \rightarrow X$  induces a symplectic isomorphism  $d\pi : \ker \theta|_x \rightarrow T_{\pi(x)}X$ .**

### **THEOREM: (Boothby-Wang, 1958)**

Let  $(M, \theta)$  be a normal contact manifold, and  $(X, \omega)$  the symplectic manifold obtained as its space of Reeb orbits. **Then the cohomology class of  $\omega$  is integral.** Conversely, for any symplectic manifold  $(X, \omega)$  with  $[\omega] \in H^2(X, \mathbb{Z})$ , **there exists a principal  $S^1$ -bundle  $L$  with  $c_1(L) = [\omega]$  and a normal contact structure on  $M := \text{Tot}(L)$  such that the corresponding Boothby-Wang projection coincides with the natural map  $M \rightarrow X$ .**

## Boothby-Wang theorem for Sasakian manifolds

**REMARK:** Suppose that  $M$  is a Sasakian manifold with all Reeb orbits closed. Then its space  $X$  of Reeb orbits is a projective orbifold, and the Kähler cone  $C(M)$  is the affine cone over  $X \subset \mathbb{C}P^n$ . The converse is also true: **whenever  $X$  is Kähler, the corresponding Boothby-Wang contact manifold is Sasakian.**

## Hypersurfaces of contact type

**DEFINITION:** A vector field  $v$  on a symplectic manifold  $(M, \omega)$  is called **Liouville** if  $\text{Lie}_v \omega = \omega$ . We say that a smooth hypersurface  $S \subset M$  is a **hypersurface of contact type** if there exists a Liouville vector field  $v \in TM$  defined in a neighbourhood  $U \supset S$  and transversal to  $S$ .

**CLAIM:** A hypersurface of contact type is contact, with the contact form given by  $\alpha := i_v \omega|_S$ .

**Proof. Step 1:** Since  $v$  is transversal to  $S$ , its orbit space is  $S$ . This can be used to identify its tubular neighbourhood  $U$  with  $S \times I$ , where  $I$  is an interval, in such a way that the shift in  $I$  multiplies the symplectic form  $\omega$  by a scalar.

**Step 2:** We write  $\omega = t dt \wedge \alpha + t^2 \omega_0$ , where  $\omega_0$  is a 2-form on  $S$  and  $t$  the coordinate on  $I$ . In these notations,  $v = t \frac{d}{dt}$ . Then  $t^2 \alpha = i_v \omega$ . Therefore,  $d\omega = 0$  implies that  $d\alpha = -\omega_0$ , hence  $i_v \omega^n = \alpha \wedge (\omega_0)^n = \alpha \wedge (d\alpha)^n$  is non-degenerate on  $S$ . ■

**REMARK:** Gray stability theorem claims that a smooth deformation of a compact contact manifold  $S$  is contactomorphic to  $S$ . The space of Liouville vector fields in a neighbourhood of  $S \subset M$  is convex, hence contractible. This implies that the contact structure on a hypersurface of contact type is unique up to a contact diffeomorphism.

## Reeb field and almost complex structures

**DEFINITION:** An almost complex structure  $I$  is **compatible with a symplectic structure**  $\omega$  if  $\omega(Ix, Iy) = \omega(x, y)$  and  $\omega(x, Ix) > 0$  for any  $x \neq 0$ . **In this case,  $g(x, y) := \omega(x, Iy)$  is a positive definite scalar product.**

**PROPOSITION 1:** Let  $S$  be a contact manifold and  $(C(S), \omega)$  its symplectic cone, equipped with the symplectic homothety diffeomorphism  $\Psi_t$ . Consider an  $\Psi_t$ -invariant almost complex structure  $I$  on  $C(S)$ . Assume that the vector field  $d/dt$  satisfies  $|d/dt| = 1$  and is orthogonal to  $S \subset C(S)$  embedded as  $S \times \{r\}$ . **Then the Reeb field can be expressed as  $R = I(d/dt)$ ,** where  $t$  is the coordinate on  $\mathbb{R}^{>0}$ , considered as a function on  $C(S) = S \times \mathbb{R}^{>0}$ .

**Proof:** For any  $x \in TC(S)$ , we have  $x \in TS$  if and only if  $x \perp \frac{d}{dt}$ . Therefore, the symplectic orthogonal to  $TS$  is  $I(d/dt)$ ; this vector field clearly has constant length. ■



## Geodesic flow on a Riemannian manifold as a Hamiltonian flow

**REMARK:** Recall that a **Hamiltonian vector field** on a symplectic manifold  $M$  is a vector field  $v$  which is symplectically dual to  $dH$ , where  $H$  is a smooth function, called **the Hamiltonian** of  $v$ .

**DEFINITION:** Let  $M$  be a complete Riemannian manifold,  $(m, v) \in TM$  a point in its tangent space, and  $\gamma_{(m,v)}(t)$  the geodesic starting in  $m$  and tangent to  $v$ . **The geodesic flow** is a diffeomorphism flow  $\Psi_t, t \in \mathbb{R}$  on the tangent bundle  $TM$  taking  $(m, v) \in TM$  to  $(\gamma_{(m,v)}(t), \dot{\gamma}_{(m,v)}(t)) \in T_{\gamma_{(m,v)}(t)}M$ .

**CLAIM:** Let  $M$  be a Riemannian manifold. We use the Riemannian metric to identify  $TM$  and  $T^*M$ . This identification gives a symplectic structure on  $TM$ . Denote by  $H$  the function  $H(v) = |v|^2$ . **Then the geodesic flow on  $TM$  is the Hamiltonian flow associated with the function  $H$ .**

**Proof:** See *V. I. Arnold, Mathematical Methods of Classical Mechanics*. ■

## Contact manifold associated with the cotangent bundle

**CLAIM:** Let  $M$  be a smooth manifold, and  $\omega$  the Hamilton symplectic form on  $T^*M$ . Then **the set  $ST^*M := \{v \in T^*M \mid |v| = 1\}$  is a hypersurface of contact type.**

**Proof:** In coordinates the form  $\omega$  can be written as  $\sum dp_i \wedge dq_i$ , where  $p_i$  are coordinates on  $M$  and  $q_i$  the corresponding coordinates on the fibers of the bundle  $T^*M \rightarrow M$ . The fiberwise homothety vector field  $\sum q_i d/dq_i$  is a Liouville field, transversal to  $ST^*M$ . ■

## Reeb orbits and the geodesic flow

**PROPOSITION:** In the above assumptions, the Reeb field of  $ST^*M$  is equal to the symplectic dual of  $dH$ , that is, **it is the vector field generating the geodesic flow.**

**Proof. Step 1:** To use Proposition 1, we need to construct a compatible almost complex structure which is invariant with respect to the homothety map. Let  $\pi : T^*M \rightarrow M$  be the projection. Using the Levi-Civita connection, we obtain a decomposition  $TT^*M = \pi^*T^*M \oplus \pi^*TM$ . The symplectic structure on  $T^*M$  is induced by the natural pairing of these two factors.

The metric on  $M$  induces a Riemannian metric on  $TT^*M$ , called **the Sasaki metric**. The corresponding almost complex structure uses the decomposition  $TT^*M = \pi^*T^*M \oplus \pi^*TM$ , with the first term  $T_{vert}T^*M$  consisting of fiberwise tangent vector fields, and the second term  $T_{hor}T^*M$  the “horizontal sub-bundle”, obtained using the connection. The almost complex structure exchanges  $T_{vert}T^*M$  identified with  $\pi^*TM$  using the metric and  $T_{hor}T^*M = \pi^*T^*M$ . Under this identification the radial vector field becomes the vector field which is horizontal and equal to  $v$  in  $(m, v) \in TM = T^*M$ ; this is precisely the vector field tangent to the geodesic flow.

**Step 2:** By Proposition 1, the Reeb field is  $F(v)$ , where  $v$  is the radial vector field tangent to the homothety. ■

## Zoll manifolds and contact manifolds

**DEFINITION:** A compact Riemannian manifold  $M$  is called **Zoll** if all its geodesics are compact and the geodesics have constant length

**PROPOSITION:** Let  $M$  be a compact Riemannian manifold, and  $ST^*M$  the manifold of unit cotangent vectors, considered as a contact manifold. **Then  $ST^*M$  is a normal contact manifold if and only if  $M$  is Zoll.**

**Proof. Step 1:** Let  $Z$  be a Riemannian manifold equipped with a rank 1 foliation  $\mathcal{F}$  with compact fibers, and  $Z \rightarrow Z/\mathcal{F}$  be the projection to the leaf space. **Then  $Z/\mathcal{F}$  is smooth if and only if length of an orbit is a continuous function on  $Z/\mathcal{F}$ .** This is left as an exercise.

**Step 2:** We apply this observation to the geodesic flow on  $ST^*M$ . If  $M$  is Zoll, this implies that the the projection from  $ST^*M$  to the space of Reeb orbits is smooth, hence  $ST^*M$  is normal; converse follows from Wadsley theorem. ■

## Zoll manifolds and Kähler geometry

**EXAMPLE:** In dimension 2, there are many non-trivial metrics on 2-spheres (“Zoll spheres”), and a symmetric metric on  $\mathbb{R}P^2$ . In bigger dimension, the only known Zoll manifolds are  $S^n$ ,  $\mathbb{R}P^n$ ,  $\mathbb{C}P^n$ ,  $\mathbb{H}P^n$ , and the Cayley projective plane  $\mathbb{C}aP^2$ .

**PROPOSITION:** Let  $M$  be  $S^n$ ,  $\mathbb{R}P^n$ ,  $\mathbb{C}P^n$ ,  $\mathbb{H}P^n$ , or  $\mathbb{C}aP^2$ . **Then the contact manifold  $ST^*M$  is Sasakian**, and its space of Reeb orbits is Kähler.

**REMARK:** For  $S^n$  the space of Reeb orbits is  $\text{Gr}_2(\mathbb{R}^{n+1})$ , with its natural structure of the Kähler symmetric space. For  $\mathbb{C}P^n$  it is the space of 1, 2-flags (point and a line) in  $\mathbb{C}P^n$ . For  $\mathbb{H}P^n$  and  $\mathbb{C}aP^2$  we don't know.

## The conifold transform for Calabi-Yau threefolds

*Smith, I.; Thomas, R. P.; Yau, S.-T., Symplectic conifold transitions, J. Differential Geom. 62 (2002), no. 2, 209-242.*

**DEFINITION:** A **Calabi-Yau threefold** is a complex 3-dimensional compact Kähler manifold with  $c_1(M) = 0$ .

**REMARK:** Let  $S \subset M$  be a smooth  $\mathbb{C}P^1$  on a Calabi-Yau manifold. In the typical situation,  $NS \cong \mathcal{O}(-1) \oplus \mathcal{O}(-1)$ . By Grauert theorem, such a rational curve can be blown down, defining a map  $M \rightarrow M_0$ , where  $M_0$  is a singular complex variety. It is not hard to see that **this singularity is locally biholomorphic to an affine cone over  $\mathbb{C}P^1 \times \mathbb{C}P^1$ .**

**PROPOSITION:** Let  $S \subset M$  be a smooth rational curve on a Calabi-Yau manifold, with  $NS \cong \mathcal{O}(-1) \oplus \mathcal{O}(-1)$ , and  $M_0$  the singular variety obtained by a blow-down of  $S$ . **Then  $M_0$  admits a smooth deformation  $M_1$ .**

**DEFINITION:** The transition from  $M$  to  $M_1$  is called **the conifold transition**.

## Miles Reed's conjecture

**REMARK:** The manifold  $M_1$  has trivial canonical bundle. If it satisfies  $b_2(M_1) = 0$ , this manifold is diffeomorphic to  $\#_i S^3 \times S^3$  (connected sum of several copies of  $S^3 \times S^3$ ). Miles Reed **conjectured that any Calabi-Yau can be devolved to such a manifold after a sequence of conifold transitions.**

Note that the conifold transform in complex-analytic setup **is emphatically non-invertible:** there exists a Calabi-Yau manifold with a Lagrangian  $S^3$  which cannot be blown down.

*Smith-Thomas and Yau described the topology of conifold transition symplectically.*

## Gluing symplectic manifolds over contact hypersurfaces

**DEFINITION:** Let  $S \subset M$  be a contact-type boundary hypersurface in a symplectic manifold. We say that  $S$  is **convex** if the Liouville field is directed from  $M$  to  $S$ , and **concave** otherwise.

**PROPOSITION:** Let  $S_1 \subset M_1$  be a concave component of a boundary of a symplectic manifold, and  $S_2 \subset M_2$  a convex component. Assume that  $S_1$  is isomorphic to  $S_2$  as contact manifold. **Then one can glue  $M_1$  to  $M_2$  by taking an appropriate contact diffeomorphism, identifying  $S_1$  with  $S_2$ .**

**Proof:** Since  $M_i$  is symplectomorphic to a symplectic cone in a neighbourhood of  $S_i$ , it would suffice to pick a contactomorphism  $S_1 \rightarrow S_2$  which can be extended to a cone. However, any contactomorphism can be extended to a cone, by construction. ■



## Symplectic manifolds with normal contact boundary

**EXAMPLE:** Let  $M$  be a symplectic threefold, and  $S^3 \subset M$  a Lagrangian 3-sphere. By Weinstein neighbourhood theorem, there is a neighbourhood of  $S$  in  $M$  which is symplectomorphic to a manifold of open balls in  $T^*S^3$  (for sufficiently small radius of the ball). **Its boundary  $Z$  is a Boothby-Wang contact manifold, which is  $S^1$ -fibered over the space of geodesics in  $S^3$ , identified with  $\mathbb{C}P^1 \times \mathbb{C}P^1$**  Then  $Z$  is a boundary of the cone over  $\mathbb{C}P^1 \times \mathbb{C}P^1$  associated with the ample bundle  $\mathcal{O}(1, 1)$ .

We glue the corresponding singular complex variety in  $Z$ , using the gluing theorem. This replaces the Lagrangian  $S^3$  with a neighbourhood of zero in the affine cone over  $\mathbb{C}P^1 \times \mathbb{C}P^1$ .

**CLAIM:** A small resolution of this conical singularity **is biholomorphic to a neighbourhood of a rational curve  $C$**  in a Calabi-Yau manifold, with  $NC = \mathcal{O}(-1) \oplus \mathcal{O}(-1)$ .

**REMARK:** Unlike the construction with complex deformations, **this construction is invertible:** by Weinstein neighbourhood theorem, **any symplectic submanifold in a symplectic manifold has a neighbourhood symplectomorphic to its neighbourhood in the normal bundle.**

## The conifold transition, a general definition

**DEFINITION:** Let  $M$  be a symplectic manifold,  $L \subset M$  a Lagrangian submanifold admitting a Zoll metric. Consider a Weinstein neighbourhood  $U_L$  with normal (in Boothby-Wang sense) contact boundary. Denote by  $Z_L$  the corresponding singular complex variety,  $\partial Z_L = \partial U_L$ , and let  $V_L$  be a complex resolution of  $Z_L$ . **The direct conifold transform** is obtained by replacing  $U_L$  with  $V_L$ ; it replaces a Lagrangian submanifold by a symplectic submanifold.

**DEFINITION:** Its inverse is defined in a similar way: given a smooth symplectic submanifold  $X \subset M$ , isomorphic to the preimage of the singularity in the resolution map  $V_L \rightarrow Z_L$ , with normal bundle isomorphic to the normal bundle of  $X \subset V_L$ , **the inverse conifold transform uses the Weinstein neighbourhood theorem to replace  $V_L$  with  $U_L$ .**

**EXAMPLE:** The symplectic conifold transition of Smith-Thomas and Yau is an example of this construction.

## The conifold transition, examples and applications

**EXAMPLE:** For dimension  $n \geq 4$  the cone over  $\text{Gr}_2(\mathbb{R}^n)$  does not have partial resolutions, this means that **we can only replace a Lagrangian sphere  $S^n$  with a Grassmannian  $\text{Gr}_2(\mathbb{R}^n)$ , symplectically embedded to an ambient manifold, and vice versa.**

**EXAMPLE:** The cone over (1,2)-flags (the space of geodesics in  $\mathbb{C}P^n$ ) **has a partial resolution which is bimeromorphic to a holomorphic cotangent bundle to  $\mathbb{C}P^n$ .** The corresponding conifold transform replaces a Lagrangian  $\mathbb{C}P^n$  by a symplectic  $\mathbb{C}P^n$  and vice versa, similar to the hyperkähler rotation.

**This construction is useful for symplectic packing:** if we have a control over the volume of  $V_L$ , we obtain control over volume of  $U_L$  and vice versa.

This brings the following result about K3 surfaces.

**THEOREM:** Let  $L_1, \dots, L_n$  be a collection of non-intersecting special Lagrangian 2-spheres in a K3 surface  $M$ , and  $u_1, \dots, u_n$  a numbers which satisfy  $\sum u_i \leq \text{Vol}_\omega M$ . Denote by  $U_{L_i}$  the Weinstein neighbourhood of  $S^2$  in  $T^*S^2$  which is invariant under rotations and has symplectic volume  $u_i$ ; such a neighbourhood is clearly unique. **Then there exists a collection of symplectic embeddings  $\varphi_i : U_{L_i} \rightarrow M$ , with non-intersecting images, taking the zero section  $S^2 \subset U_{L_i} \subset T^*S^2$  to  $L_i \subset M$ .**