

# **Gromov-Hausdorff limits of Ricci-flat metrics**

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## Gromov-Hausdorff metrics

**DEFINITION:** Let  $X \subset M$  be a subset of a metric space, and  $y \in M$  a point. **Distance from a  $y$  to  $X$**  is  $\inf_{x \in X} d(x, y)$ . **Hausdorff distance**  $d_H(X, Y)$  between two subsets  $X, Y \subset M$  of a metric space is

$$\max\left(\sup_{x \in X} d(x, Y), \sup_{y \in Y} d(y, X)\right).$$

**Gromov-Hausdorff distance** between complete metric spaces  $X, Y$  of diameter  $\leq d$  is an infimum of  $d_H(\varphi(X), \psi(Y))$  taken over all isometric embeddings  $\varphi : X \rightarrow Z, \psi : Y \rightarrow Z$  to a third metric space.

**REMARK:** This definition **puts the structure of a metric space** on the set of equivalence classes of all separable metric spaces.

**REMARK:** Let  $\varphi : X \rightarrow Y$  be a map of metric spaces (not necessarily continuous). Its **defect**  $\delta_\varphi$  is  $\inf_{x_1, x_2 \in X} |d(x_1, x_2) - d(\varphi(x_1), \varphi(x_2))|$ . Gromov-Hausdorff distance between metric spaces  $X, Y$  is bounded (both directions) by the quantity  $\hat{d}_{GH}(X, Y) = \inf_{\varphi, \psi} \max(\delta_\varphi, \delta_\psi)$ , where infimum is taken over the set of all maps  $\varphi : X \rightarrow Y, \psi : Y \rightarrow X$ :

$$C_1 \hat{d}_{GH}(X, Y) \leq d_{GH}(X, Y) \leq C_2 \hat{d}_{GH}(X, Y)$$

**REMARK:** This means that **a converging sequence of Riemannian metrics converges in Gromov-Hausdorff topology.**

## Gromov's compactness theorem

**DEFINITION:** A subset  $X \subset M$  is called **precompact** if its closure in  $M$  is compact.

**DEFINITION:** We say that **Ricci curvature of a Riemannian manifold  $(M, g)$  is bounded from below by  $c$**  if the symmetric form  $\text{Ric}_g - cg \in \text{Sym}^2 T^*M$  is positive definite.

### **THEOREM: (Gromov's compactness theorem)**

Let  $W_d$  be the Gromov's space of all metric spaces of diameter  $d$ , and  $X_{c,d} \subset W_d$  the Gromov-Hausdorff space of all Riemannian manifolds with Ricci curvature bounded from below by  $c$ . **Then  $X_{c,d}$  is precompact.**

**QUESTION:** Let  $\text{Hyp}_d$  be the space of all Ricci-flat metrics of diameter  $d$  on a K3 surface. **What is the shape of  $\text{Hyp}_d$  and its closure?**

## What are the limits of Ricci-flat metrics on Calabi-Yau manifolds?

Let  $(M, I)$  be a sufficiently general K3 surface, or hyperkähler manifold, and  $V_I$  the set of all Ricci-flat Kähler metrics on  $(M, I)$ . By Calabi-Yau theorem,  $V_I$  is identified with the Kähler cone of  $(M, I)$ , **which is  $b_2 - 2$ -dimensional**.

Let  $V$  be the set of all Ricci-flat Kähler metrics on  $M$  obtained as Gromov-Hausdorff limits of  $h \in V_I$ . **This set has real dimension  $3b_2 - 8$ .**

The main result of today's lecture.

**THEOREM:** All  $h \in V$  can be obtained as Gromov-Hausdorff limits of the sequences  $\{h_i\} \in V_I$ .

## K3 surface

**DEFINITION:** A holomorphically symplectic manifold is a complex manifold equipped with a non-degenerate, holomorphic  $(2, 0)$ -form.

**REMARK:** Compact holomorphically symplectic manifolds of Kähler type are also called “**hyperkähler**”.

**EXAMPLE:** Take a 2-dimensional complex torus  $T$ , then all the singularities of  $T/\pm 1$  are of this form. Its resolution  $\widetilde{T/\pm 1}$  is called **a Kummer surface**.  
**It is holomorphically symplectic**

**DEFINITION:** **A K3 surface** is a deformation of a Kummer surface.

“**K3: Kummer, Kähler, Kodaira**” (a name is due to A. Weil).

**THEOREM:** Any complex compact surface with  $c_1(M) = 0$  and  $H^1(M) = 0$  is isomorphic to **K3**. Moreover, **it is Kähler and holomorphically symplectic**.

## The Broad Peak

*Broad Peak, the 12th highest mountain in the world at 8,047 meters, is located in the Karakoram Range in Northeastern Pakistan. The mountain is located along the western Baltoro glacier between K2 and Gasherbrum IV.*

*The first westerner who saw the peak was probably Lieutenant T. G. Montgomerie. He was surveying the mountains in the area and in 1856 he spotted some extraordinary peaks, which he gave temporary names. K for Karakoram + a number for the peak. K1, K2, K3 etc.*



*K3, the third peak to be measured by Montgomerie, didn't have a local name. The summit ridge of the peak is almost 2 km long and therefore British explorer W.M. Conway thought Broad Peak was a suitable name.*

## Holomorphically symplectic manifolds

**DEFINITION:** A **hyperkähler structure** on a manifold  $M$  is a Riemannian structure  $g$  and a triple of complex structures  $I, J, K$ , satisfying quaternionic relations  $I \circ J = -J \circ I = K$ , such that  $g$  is Kähler for  $I, J, K$ .

**REMARK:** Let  $\omega_I, \omega_J, \omega_K$  be the Kähler symplectic forms associated with  $I, J, K$ . **A hyperkähler manifold is holomorphically symplectic:**  $\omega_J + \sqrt{-1}\omega_K$  is a holomorphic symplectic form on  $(M, I)$ . **Converse is also true:**

**THEOREM:** (Calabi-Yau) A compact, Kähler, holomorphically symplectic manifold **admits a unique hyperkähler metric in any Kähler class.**

**DEFINITION:** For the rest of this talk, **a hyperkähler manifold is a compact, Kähler, holomorphically symplectic manifold.**

**DEFINITION:** A compact hyperkähler manifold  $M$  is called **maximal holonomy manifold**, or **simple**, or **IHS** if  $\pi_1(M) = 0$ ,  $H^{2,0}(M) = \mathbb{C}$ .

**Bogomolov's decomposition:** (Bogomolov, 1974) Any hyperkähler manifold admits a finite covering which is a product of a torus and several maximal holonomy (simple) hyperkähler manifolds.

**Further on, all hyperkähler manifolds are assumed to be simple.**

## Teichmüller spaces

**DEFINITION:** Let  $M$  be a smooth manifold. **A complex structure** on  $M$  is an endomorphism  $I \in \text{End } TM$ ,  $I^2 = -\text{Id}_{TM}$  such that the eigenspace bundles of  $I$  are **involutive**, that is, satisfy  $[T^{1,0}M, T^{1,0}M] \subset T^{1,0}M$ .

**REMARK:** Let  $\text{Comp}$  be the space of such tensors equipped with a topology of convergence of all derivatives. The diffeomorphism group  $\text{Diff}$  is a Fréchet Lie group acting on a Fréchet space  $\text{Comp}$  in a natural way.

**Definition:** Let  $M$  be a compact complex manifold, and  $\text{Diff}_0(M)$  a connected component of its diffeomorphism group (**the group of isotopies**). Let  $\text{Teich} := \text{Comp} / \text{Diff}_0(M)$ . We call it **the Teichmüller space**.

**REMARK:** The set of equivalence classes of complex structures is identified with  $\text{Teich} / \Gamma$ , where  $\Gamma$  is **the mapping class group**,  $\Gamma = \text{Diff} / \text{Diff}_0$ .



## Computation of the mapping class group

**THEOREM:** (Fujiki). Let  $\eta \in H^2(M)$ , and  $\dim M = 2n$ , where  $M$  is hyperkähler. **Then  $\int_M \eta^{2n} = cq(\eta, \eta)^n$ , for some primitive integer quadratic form  $q$  on  $H^2(M, \mathbb{Z})$ , and  $c > 0$  a rational number.**

**DEFINITION:** The form  $q$  is called **Bogomolov-Beauville-Fujiki form**. It has signature  $(3, b_2 - 3)$ . The sign is chosen in such a way that  **$q$  is positive on the triple of Kähler forms  $\omega_I, \omega_J, \omega_K$ , and negative on their orthogonal complement.**

**THEOREM:** (V., 1996, 2009) Let  $M$  be a simple hyperkähler manifold, and  $\Gamma_0 = \text{Aut}(H^*(M, \mathbb{Z}))$ . Then  $\Gamma_0|_{H^2(M, \mathbb{Z})}$  **is a finite index subgroup of  $O(H^2(M, \mathbb{Z}), q)$ .**

## The period map

**REMARK:** To simplify the language, we redefine Teich and Comp for hyperkähler manifolds, restricting to complex structures of Kähler type and admitting a holomorphic symplectic form. Since the Hodge numbers are constant in families of Kähler manifolds, **for any  $J \in \text{Teich}$ ,  $(M, J)$  is also a simple hyperkähler manifold, hence  $H^{2,0}(M, J)$  is one-dimensional.**

**Definition:** Let  $\text{Per} : \text{Teich} \rightarrow \mathbb{P}H^2(M, \mathbb{C})$  map  $J$  to a line  $H^{2,0}(M, J) \in \mathbb{P}H^2(M, \mathbb{C})$ . The map  $\text{Per} : \text{Teich} \rightarrow \mathbb{P}H^2(M, \mathbb{C})$  is called **the period map**.

**REMARK:** **Per maps Teich into an open subset of a quadric**, defined by

$$\text{Per} := \{l \in \mathbb{P}H^2(M, \mathbb{C}) \mid q(l, l) = 0, q(l, \bar{l}) > 0\}.$$

It is called **the period space** of  $M$ .

**REMARK:**  $\text{Per} = SO(b_2 - 3, 3)/SO(2) \times SO(b_2 - 3, 1)$ . Indeed, the group  $SO(H^2(M, \mathbb{R}), q) = SO(b_2 - 3, 3)$  acts transitively on  $\text{Per}$ , and  $SO(2) \times SO(b_2 - 3, 1)$  is a stabilizer of a point.

## Birational Teichmüller moduli space

**DEFINITION:** Let  $M$  be a topological space. We say that  $x, y \in M$  are **non-separable** (denoted by  $x \sim y$ ) if for any open sets  $V \ni x, U \ni y$ ,  $U \cap V \neq \emptyset$ .

**THEOREM:** (Huybrechts, 2001) Two points  $I, I' \in \text{Teich}$  are **non-separable** if and only if there exists a bimeromorphism  $(M, I) \rightarrow (M, I')$  which is non-singular in codimension 2 and acts as identity on  $H^2(M)$ .

**REMARK:** This is possible only if  $(M, I)$  and  $(M, I')$  contain a rational curve. **General hyperkähler manifold has no curves;** ones which have belong to a countable union of divisors in  $\text{Teich}$ .

**DEFINITION:** The space  $\text{Teich}_b := \text{Teich} / \sim$  is called **the birational Teichmüller space** of  $M$ .

**THEOREM:** (V., 2009) **The period map**  $\text{Teich}_b \xrightarrow{\text{Per}} \mathbb{P}er$  **is an isomorphism**, for each connected component  $\text{Teich}_b^I$  of  $\text{Teich}_b$ . Moreover, **this isomorphism is compatible with the mapping class group action:** the mapping class group acts on  $\mathbb{P}er = \text{Teich}_b^I$  as a finite index subgroup in the arithmetic lattice  $O(H^2(M, \mathbb{Z}), q)$

## Ergodic complex structures

**DEFINITION:** Let  $(M, \mu)$  be a space with measure, and  $G$  a group acting on  $M$  preserving measure. This action is **ergodic** if all  $G$ -invariant measurable subsets  $M' \subset M$  satisfy  $\mu(M') = 0$  or  $\mu(M \setminus M') = 0$ .

**CLAIM:** Let  $M$  be a manifold,  $\mu$  a Lebesgue measure, and  $G$  a group acting on  $M$  ergodically. **Then the set of non-dense orbits has measure 0.** ■

**DEFINITION:** A complex structure  $I \in \text{Teich}$  is called **ergodic** if its orbit is dense in its connected component in  $\text{Teich}$ .

**CLAIM:** Let  $(M, I)$  be a manifold with an ergodic complex structure, and  $I'$  its deformation. **Then there exists a sequence of diffeomorphisms  $\nu_i$  such that  $\nu_i(I)$  converges to  $I'$  in  $C^\infty$ -topology.** Moreover, this property is equivalent to ergodicity of  $I$ .

**THEOREM:** Let  $M$  be a compact torus,  $\dim_{\mathbb{C}} M \geq 2$ , or a simple hyperkähler manifold. **Then a general complex structure on  $M$  is ergodic.**

**REMARK:** More precisely, a complex structure on a hyperkähler manifold  $(M, I)$  is ergodic **if the 2-dimensional space  $\text{Re } H^{2,0}(M, I)$  does not contain non-zero rational cohomology classes.**

## Space of hyperkähler metrics and its closure

**THEOREM:** Let  $(M, I)$  be a hyperkähler manifold with ergodic complex structure, and  $\bar{V}_I$  the Gromov-Hausdorff closure of the space  $V_I$  of all hyperkähler metrics on  $M$ . **Then  $\bar{V}_I$  contains the space Hyp of all hyperkähler metrics on  $M$  obtained by deformation from  $V_I$ .**

**REMARK:**  $\dim V_I = b_2 - 2$ , and  $\dim \text{Hyp} = 3b_2 - 8$ : much bigger!

## Kodaira stability theorem and its application

### THEOREM: (Kodaira stability)

Let  $(M, J, \omega)$  be a closed Kähler manifold, and let  $\{J_t\}$ ,  $t \in B$ ,  $J_0 = J$ , be a smooth local deformation of  $J$ . **Then there exists a neighborhood of  $U \subset B$  of zero in  $B$  such that the complex structure  $J_t$  on  $M$  is of Kähler type and  $[\omega]_{J_t}^{1,1}$  is a Kähler class for all  $t \in U$ . ■**

**THEOREM:** Let  $(M, I)$  be a hyperkähler manifold with ergodic complex structure, and  $\bar{V}_I$  the Gromov-Hausdorff closure of the space  $V_I$  of all hyperkähler metrics of diameter  $d$  on  $M$ . **Then  $\bar{V}_I$  contains the space  $\text{Hyp}_d$  of all hyperkähler metrics on  $M$  obtained by deformation from  $V_I$ .**

**Proof. Step 1:** Let  $g \in \text{Hyp}_d$  be a hyperkähler metric on  $(M, J)$ , and  $\omega$  its Kähler form. Since  $I$  is ergodic, there exists a sequence  $I_i \in \text{Teich}$  converging to  $J \in \text{Teich}$  such that  $I_i = \nu_i(I)$ .

**Step 2:** By Kodaira's stability, for  $i$  sufficiently big, there exists a Kähler class  $[\omega_i]$  on  $(M, I_i)$  converging to  $[\omega]$ .

**Step 3:** Let  $g_i$  be the hyperkähler metric on  $(M, I_i)$  associated with the Kähler class  $[\omega_i]$ . Then  $(M, g_i)$  converges to  $(M, g)$  in  $C^\infty$ -topology, because the Calabi-Yau metric depends  $C^\infty$ -continuously on  $(I, [\omega])$ . ■