# Kähler geometry

#### lecture 3

Misha Verbitsky

University of Science and Technology China, Hefei

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## **REMINDER:** Complex structure on vector spaces

**DEFINITION:** Let V be a vector space over  $\mathbb{R}$ , and  $I: V \longrightarrow V$  an automorphism which satisfies  $I^2 = -\operatorname{Id}_V$ . Such an automorphism is called a complex structure operator on V.

**DEFINITION:** The vector space over  $\mathbb{C}$  with the same basis is called **a** complexification of V, denoted  $V \otimes_{\mathbb{R}} \mathbb{C}$ .

**CLAIM:** For an appropriate basis in  $V \otimes_{\mathbb{R}} \mathbb{C}$ , the complex structure operatorcan be written as

$$I = \begin{pmatrix} \sqrt{-1} & 0 & 0 & \dots & 0 & 0 & 0 \\ 0 & \sqrt{-1} & 0 & \dots & 0 & 0 & 0 \\ 0 & 0 & \sqrt{-1} & \dots & 0 & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & \dots & -\sqrt{-1} & 0 & 0 \\ 0 & 0 & 0 & \dots & 0 & -\sqrt{-1} & 0 \\ 0 & 0 & 0 & \dots & 0 & 0 & -\sqrt{-1} \end{pmatrix},$$

with the eigenspaces of equal dimension.

#### **REMINDER: Hermitian structures**

**DEFINITION:** Let (V, I) be a real vector space with a complex structure. A scalar product is called *I*-invariant, if g(Ix, Iy) = g(x, y). An *I*-invariant positive definite scalar product on (V, I) is called **an Hermitian metric on** V, and (V, I, g) – an Hermitian space.

**REMARK:** Let *I* be a complex structure operator on a real vector space *V*, and g – a Hermitian metric. Then **the bilinear form**  $\omega(x,y) := g(x,Iy)$ is skew-symmetric. Indeed,  $\omega(x,y) = g(x,Iy) = g(Ix,I^2y) = -g(Ix,y) = -\omega(y,x)$ .

**DEFINITION:** A skew-symmetric form  $\omega(x, y)$  is called **an Hermitian form** on (V, I).

**REMARK:** In the triple  $I, g, \omega$ , each element can recovered from the other two.

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## **REMINDER: Complex manifolds**

**DEFINITION:** Let *M* be a smooth manifold. An **almost complex structure** is an operator  $I: TM \longrightarrow TM$  which satisfies  $I^2 = -\operatorname{Id}_{TM}$ .

The eigenvalues of this operator are  $\pm \sqrt{-1}$ . The corresponding eigenvalue decomposition is denoted  $TM = T^{0,1}M \oplus T^{1,0}(M)$ .

**DEFINITION:** An almost complex structure is **integrable** if  $\forall X, Y \in T^{1,0}M$ , one has  $[X,Y] \in T^{1,0}M$ . In this case *I* is called a **complex structure operator**. A manifold with an integrable almost complex structure is called a **complex manifold**.

THEOREM: (Newlander-Nirenberg) This definition is equivalent to the usual one.

**REMARK:** The commutator defines a  $\mathbb{C}^{\infty}M$ -linear map  $N := \Lambda^2(T^{1,0}) \longrightarrow T^{0,1}M$ , called **the Nijenhuis tensor** of *I*. **One can represent** *N* as a section of  $\Lambda^{2,0}(M) \otimes T^{0,1}M$ .

**EXAMPLE: Symmetric spaces.** 

**EXAMPLE:**  $\mathbb{C}P^n$ .

# **REMINDER: Kähler manifolds**

**DEFINITION:** An Riemannian metric g on an almost complex manifold M is called **Hermitian** if g(Ix, Iy) = g(x, y). In this case,  $g(x, Iy) = g(Ix, I^2y) = -g(y, Ix)$ , hence  $\omega(x, y) := g(x, Iy)$  is skew-symmetric.

**DEFINITION:** The differential form  $\omega \in \Lambda^2(M)$  is called the Hermitian form of (M, I, g).

**REMARK:** It is U(1)-invariant, hence of Hodge type (1,1).

**DEFINITION:** A complex Hermitian manifold  $(M, I, \omega)$  is called Kähler if  $d\omega = 0$ . The cohomology class  $[\omega] \in H^2(M)$  of a form  $\omega$  is called **the Kähler** class of M, and  $\omega$  the Kähler form.

# **REMINDER:** Projective manifolds.

**Definition:** Let  $M = \mathbb{C}P^n$  be a complex projective space, and g a U(n + 1)invariant Riemannian form. It is called **Fubini-Study form on**  $\mathbb{C}P^n$ . The
Fubini-Study form is obtained by taking arbitrary Riemannian form and averaging with U(n + 1) using the Haar measure on U(n + 1).

**Claim:** Fubini-Study form is Kähler. Indeed,  $d\omega|_x$  is a U(n)-invariant 3-form on  $\mathbb{C}^n$ , but such a form must vanish, because  $-\operatorname{Id} \in U(n)$ 

**REMARK:** The same argument works for all symmetric spaces.

Corollary: Every projective manifold (complex submanifold of  $\mathbb{C}P^n$ ) is Kähler. Indeed, a restriction of a closed form is again closed.

## **REMINDER: Connections**

**Notation:** Let M be a smooth manifold, TM its tangent bundle,  $\Lambda^i M$  the bundle of differential *i*-forms,  $C^{\infty}M$  the smooth functions. The space of sections of a bundle B is denoted by B.

**DEFINITION:** A connection on a vector bundle *B* is a map  $B \xrightarrow{\nabla} \Lambda^1 M \otimes B$  which satisfies

$$\nabla(fb) = df \otimes b + f\nabla b$$

for all  $b \in B$ ,  $f \in C^{\infty}M$ .

**REMARK:** For any tensor bundle  $\mathcal{B}_1 := B^* \otimes B^* \otimes ... \otimes B^* \otimes B \otimes B \otimes ... \otimes B$  a connection on *B* defines a connection on  $\mathcal{B}_1$  using the Leibniz formula.

## **REMINDER:** Levi-Civita connection

**DEFINITION:** Let (M,g) be a Riemannian manifold. A connection  $\nabla$  is called **orthogonal** if  $\nabla(g) = 0$ . It is called **Levi-Civita** if it is torsion-free.

**THEOREM:** ("the main theorem of differential geometry") **For any Riemannian manifold, the Levi-Civita connection exists, and it is unique**.

**THEOREM:** Let (M, I, g) be an almost complex Hermitian manifold. Then the following conditions are equivalent.

(i) The complex structure I is integrable, and the Hermitian form  $\omega$  is closed.

(ii) One has  $\nabla(I) = 0$ , where  $\nabla$  is the Levi-Civita connection.

#### **REMINDER:** Ambrose-Singer theorem

**DEFINITION:** (Cartan, 1923) Let  $(B, \nabla)$  be a vector bundle with connection over M. For each loop  $\gamma$  based in  $x \in M$ , let  $V_{\gamma,\nabla}$ :  $B|_x \longrightarrow B|_x$  be the corresponding parallel transport along the connection. The holonomy group of  $(B, \nabla)$  is a group generated by  $V_{\gamma,\nabla}$ , for all loops  $\gamma$ . If one takes all contractible loops instead,  $V_{\gamma,\nabla}$  generates the local holonomy, or the restricted holonomy group.

**DEFINITION:** Let  $(B, \nabla)$  be a bundle with connection,  $\Theta \in \Lambda^2(M) \otimes \text{End}(B)$  its curvature, and  $a, b \in T_x M$  tangent vectors. An endomorphism  $\Theta(a, b) \in \text{End}(B)|_x$  is called a curvature element.

**THEOREM:** (Ambrose-Singer) The restricted holonomy group of  $B, \nabla$  at  $z \in M$  is a Lie group, with its Lie algebra generated by all curvature elements  $\Theta(a, b) \in \text{End}(B)|_x$  transported to z along all paths.

## **REMINDER:** Holonomy representation

**DEFINITION:** Let (M,g) be a Riemannian manifold, G its holonomy group. A holonomy representation is the natural action of G on TM.

**THEOREM:** (de Rham) Suppose that the holonomy representation is not irreducible:  $T_xM = V_1 \oplus V_2$ . Then *M* locally splits as  $M = M_1 \times M_2$ , with  $V_1 = TM_1$ ,  $V_2 = TM_2$ .

**THEOREM:** (de Rham) A complete, simply connected Riemannian manifold with non-irreducible holonomy **splits as a Riemannian product**.

**REMARK:** It is easy to find non-complete or non-simply connected counterexamples to de Rham theorem.

**THEOREM:** (Simons, 1962) Let M be a manifold with irreducible holonomy. **Then either** M **is locally symmetric, or**  $\mathcal{H}ol(M)$  **acts transitively on the unit sphere in**  $T_xM$ .

## Berger's theorem

**THEOREM:** (Berger's theorem, 1955) Let G be an irreducible holonomy group of a Riemannian manifold which is not locally symmetric. Then G belongs to the Berger's list:

Berger's list	
Holonomy	Geometry
$SO(n)$ acting on $\mathbb{R}^n$	Riemannian manifolds
$U(n)$ acting on $\mathbb{R}^{2n}$	Kähler manifolds
$SU(n)$ acting on $\mathbb{R}^{2n}$ , $n>2$	Calabi-Yau manifolds
$Sp(n)$ acting on $\mathbb{R}^{4n}$	hyperkähler manifolds
$Sp(n)  imes Sp(1)/\{\pm 1\}$	quaternionic-Kähler
acting on $\mathbb{R}^{4n}$ , $n>1$	manifolds
$G_2$ acting on $\mathbb{R}^7$	G <sub>2</sub> -manifolds
$Spin(7)$ acting on $\mathbb{R}^8$	Spin(7)-manifolds

**REMARK:** There is one more group acting transitively on a sphere: Spin(9) acting on  $S^{15} \subset \mathbb{R}^{16}$ . In 1968, D. Alekseevsky has shown that a manifold with holonomy Spin(9) is automatically locally symmetric.

**REMARK:** A similar list exists for non-orthogonal irreducible holonomy without torsion (Merkulov, Schwachhöfer, 1999).

## **Holomorphic vector bundles**

**DEFINITION:** A (smooth) vector bundle on a smooth manifold is a locally trivial sheaf of  $C^{\infty}M$ -modules.

**DEFINITION:** A holomorphic vector bundle on a complex manifold is a locally trivial sheaf of  $\mathcal{O}_M$ -modules.

**REMARK:** A section b of a bundle B is often denoted as  $b \in B$ .

**CLAIM:** Let *B* be a holomorphic vector bundle. Consider the sheaf  $B_{C^{\infty}} := B \otimes_{\mathcal{O}_M} C^{\infty} M$ . It is clearly locally trivial, hence  $B_{C^{\infty}}$  is a smooth vector bundle.

**DEFINITION:**  $B_{C^{\infty}}$  is called a smooth vector bundle underlying *B*.

# A holomorphic structure operator

**DEFINITION:** Let  $d = d^{0,1} + d^{1,0}$  be the Hodge decomposition of the de Rham differential on a complex manifold,  $d^{0,1} : \Lambda^{p,q}(M) \longrightarrow \Lambda^{p,q+1}(M)$  and  $d^{1,0} : \Lambda^{p,q}(M) \longrightarrow \Lambda^{p+1,q}(M)$ . The operators  $d^{0,1}$ ,  $d^{1,0}$  are denoted  $\overline{\partial}$  and  $\partial$ and called **the Dolbeault differentials**.

**REMARK:** From  $d^2 = 0$ , one obtains  $\overline{\partial}^2 = 0$  and  $\partial^2 = 0$ .

# **REMARK:** The operator $\overline{\partial}$ is $\mathcal{O}_M$ -linear.

**DEFINITION:** Let *B* be a holomorphic vector bundle, and  $\overline{\partial}$ :  $B_{C^{\infty}} \longrightarrow B_{C^{\infty}} \otimes \Lambda^{0,1}(M)$  an operator mapping  $b \otimes f$  to  $b \otimes \overline{\partial} f$ , where  $b \in B$  is a holomorphic section, and *f* a smooth function. This operator is called **a holomorphic** structure operator on *B*. It is correctly defined, because  $\overline{\partial}$  is  $\mathcal{O}_M$ -linear.

**REMARK:** The kernel of  $\overline{\partial}$  coincides with the set of holomorphic sections of *B*.

# The $\overline{\partial}$ -operator on vector bundles

**DEFINITION:** A  $\overline{\partial}$ -operator on a smooth bundle is a map  $V \xrightarrow{\overline{\partial}} \Lambda^{0,1}(M) \otimes V$ , satisfying  $\overline{\partial}(fb) = \overline{\partial}(f) \otimes b + f\overline{\partial}(b)$  for all  $f \in C^{\infty}M, b \in V$ .

**REMARK:** A  $\overline{\partial}$ -operator on *B* can be extended to

 $\overline{\partial}: \Lambda^{0,i}(M) \otimes V \longrightarrow \Lambda^{0,i+1}(M) \otimes V,$ 

using  $\overline{\partial}(\eta \otimes b) = \overline{\partial}(\eta) \otimes b + (-1)^{\tilde{\eta}} \eta \wedge \overline{\partial}(b)$ , where  $b \in V$  and  $\eta \in \Lambda^{0,i}(M)$ .

**REMARK:** If  $\overline{\partial}$  is a holomorphic structure operator, then  $\overline{\partial}^2 = 0$ .

**THEOREM:** (Atiyah-Bott) Let  $\overline{\partial}$  :  $V \longrightarrow \Lambda^{0,1}(M) \otimes V$  be a  $\overline{\partial}$ -operator, satisfying  $\overline{\partial}^2 = 0$ . Then  $B := \ker \overline{\partial} \subset V$  is a holomorphic vector bundle of the same rank.

**REMARK:** This statement is a vector bundle analogue of Newlander-Nirenberg theorem.

**DEFINITION:**  $\overline{\partial}$ -operator  $\overline{\partial}$ :  $V \longrightarrow \Lambda^{0,1}(M) \otimes V$  on a smooth manifold is called a holomorphic structure operator, if  $\overline{\partial}^2 = 0$ .

## **Connections and holomorphic structure operators**

**DEFINITION:** let  $(B, \nabla)$  be a smooth bundle with connection and a holomorphic structure  $\overline{\partial} B \longrightarrow \Lambda^{0,1}(M) \otimes B$ . Consider a Hodge decomposition of  $\nabla, \nabla = \nabla^{0,1} + \nabla^{1,0}$ ,

$$\nabla^{0,1}: V \longrightarrow \Lambda^{0,1}(M) \otimes V, \quad \nabla^{1,0}: V \longrightarrow \Lambda^{1,0}(M) \otimes V.$$

We say that  $\nabla$  is compatible with the holomorphic structure if  $\nabla^{0,1} = \overline{\partial}$ .

**DEFINITION: An Hermitian holomorphic vector bundle** is a smooth complex vector bundle equipped with a Hermitian metric and a holomorphic structure.

**DEFINITION: A Chern connection** on a holomorphic Hermitian vector bundle is a connection compatible with the holomorphic structure and preserving the metric.

**THEOREM:** On any holomorphic Hermitian vector bundle, **the Chern connection exists, and is unique.** 

# **Curvature of a connection**

**DEFINITION:** Let  $\nabla$  :  $B \longrightarrow B \otimes \Lambda^1 M$  be a connection on a smooth budnle. Extend it to an operator on *B*-valued forms

$$B \xrightarrow{\nabla} \Lambda^{1}(M) \otimes B \xrightarrow{\nabla} \Lambda^{2}(M) \otimes B \xrightarrow{\nabla} \Lambda^{3}(M) \otimes B \xrightarrow{\nabla} \dots$$

using  $\nabla(\eta \otimes b) = d\eta + (-1)^{\tilde{\eta}} \eta \wedge \nabla b$ . The operator  $\nabla^2 : B \longrightarrow B \otimes \Lambda^2(M)$  is called **the curvature** of  $\nabla$ .

**REMARK:** The algebra of End(*B*)-valued forms naturally acts on  $\Lambda^* M \otimes B$ . The curvature satisfies  $\nabla^2(fb) = d^2fb + df \wedge \nabla b - df \wedge \nabla b + f\nabla^2 b = f\nabla^2 b$ , hence it is  $C^{\infty}M$ -linear. We consider it as an End(*B*)-valued 2-form on *M*.

**PROPOSITION:** (Bianchi identity) Using the **graded Jacobi identity**, we obtain  $[\nabla, \nabla^2] = [\nabla^2, \nabla] + [\nabla, \nabla^2] = 0$ , hence  $[\nabla, \nabla^2] = 0$ . This gives **Bianchi identity:**  $\nabla(\Theta_B) = 0$ .

**REMARK:** If *B* is a line bundle, End *B* is trivial, and the curvature  $\Theta_B$  of *B* is a closed 2-form.

**DEFINITION:** The cohomology class  $c_1(B) := \frac{\sqrt{-1}}{2\pi} [\Theta_B] \in H^2(M)$  is called **the real first Chern class of a line bundle** *B*.

**An exercise:** Check that  $c_1(B)$  is independent from a choice of  $\nabla$ .

## **Curvature of a holomorphic line bundle**

**REMARK:** When speaking of a "curvature of a holomorphic bundle", one usually means the curvature of a Chern connection.

**REMARK:** Let *B* be a holomorphic Hermitian line bundle, and *b* its nondegenerate section. Denote by  $\eta$  a (1,0)-form which satisfies  $\nabla^{1,0}b = \eta \otimes b$ . Then  $d|b|^2 = \operatorname{Re} g(\nabla^{1,0}b, b) = \operatorname{Re} \eta |b|^2$ . This gives  $\nabla^{1,0}b = \frac{\partial |b|^2}{|b|^2}b = 2\partial \log |b|b$ .

**REMARK:** Then  $\Theta_B(b) = 2\overline{\partial}\partial \log |b|b$ , that is,  $\Theta_B = -2\partial\overline{\partial} \log |b|$ .

**COROLLARY:** If  $g' = e^{2f}g$  – two metrics on a holomorphic line bundle,  $\Theta, \Theta'$  their curvatures, one has  $\Theta' - \Theta = -2\partial\overline{\partial}f$ 

**CLAIM:** Let  $\eta$  be a closed (1,1)-form in the same cohomology class as  $\Theta_{B,h}$ . **Then**  $\eta$  **is a curvature of a Chern connection** on B, for some metric h'.

**Proof:** The difference  $\Theta_{B,h} - \eta$  is an exact (1,1)-form, hence **belongs to an image of**  $\partial \overline{\partial}$  (" $\partial \overline{\partial}$ -lemma"):  $\Theta_{B,h} - \eta = -2\partial \overline{\partial} f$ . Then the curvature of a metric  $h' := e^{2f}h$  satisfies  $\Theta_{B,h} - \Theta_{B,h'} = -2\partial \overline{\partial} f$ , hence  $\eta = \Theta_{B,h'}$ .

## **REMARK: Such metric is unique, up to a constant.**

## Calabi-Yau manifolds

**REMARK:** Let *B* be a line bundle on a manifold. Using the long exact sequence of cohomology associated with the exponential sequence

 $0 \longrightarrow \mathbb{Z}_M \longrightarrow C^{\infty}M \longrightarrow (C^{\infty}M)^* \longrightarrow 0,$ 

we obtain  $0 \longrightarrow H^1(M, (C^{\infty}M)^*) \longrightarrow H^2(M, \mathbb{Z}) \longrightarrow 0$ .

**DEFINITION:** Let *B* be a complex line bundle, and  $\xi_B$  its defining element in  $H^1(M, (C^{\infty}M)^*)$ . Its image in  $H^2(M, \mathbb{Z})$  is called **the integer first Chern** class of *B*.

**REMARK: A complex line bundle** *B* is (topologically) trivial if and only if  $c_1(B) = 0$ .

**THEOREM:** (Gauss-Bonnet) A real Chern class of a vector bundle is an image of the integer Chern class  $c_1(B,\mathbb{Z})$  under the natural homomorphism  $H^2(M,\mathbb{Z}) \longrightarrow H^2(M,\mathbb{R})$ .

**DEFINITION:** A first Chern class of a complex *n*-manifold is  $c_1(\Lambda^{n,0}(M))$ .

#### **DEFINITION:**

**A Calabi-Yau manifold** is a compact Kaehler manifold with  $c_1(M,\mathbb{Z}) = 0$ .

## Calabi-Yau theorem

**DEFINITION:** Let  $(M, I, \omega)$  be a Kaehler *n*-manifold, and  $K(M) := \Lambda^{n,0}(M)$  its **canonical bundle**. We consider K(M) as a holomorphic line bundle,  $K(M) = \Omega^n M$ . The natural Hermitian metric on K(M) is written as

$$(\alpha, \alpha') \longrightarrow \frac{\alpha \wedge \overline{\alpha}'}{\omega^n}.$$

Denote by  $\Theta_K$  the curvature of the Chern connection on K(M). The **Ricci** curvature Ric of M is symmetric 2-form  $\operatorname{Ric}(x, y) = \Theta_K(x, Iy)$ .

**DEFINITION:** A Kähler manifold is called **Ricci-flat** if its Ricci curvature vanishes.

## **THEOREM:** (Calabi-Yau)

Let (M, I, g) be Calabi-Yau manifold. Then there exists a unique Ricci-flat Kaehler metric in any given Kaehler class.

### Calabi-Yau theorem and Monge-Ampère equation

**REMARK:** Let  $(M, \omega)$  be a Kähler *n*-fold, and  $\Omega$  a non-degenerate section of K(M), Then  $|\Omega|^2 = \frac{\Omega \wedge \overline{\Omega}}{\omega^n}$  If  $\omega_1$  is a new Kaehler metric on (M, I),  $h, h_1$  the associated metrics on K(M), then  $\frac{h}{h_1} = \frac{\omega_1^n}{\omega^n}$ 

**COROLLARY:** A metric  $\omega_1 = \omega + \partial \overline{\partial} \varphi$  is Ricci-flat if and only if  $(\omega + \partial \overline{\partial} \varphi)^n = \omega^n e^f$ , where  $-2\partial \overline{\partial} f = \Theta_{K,\omega}$ .

**Proof:** For such f,  $\varphi$ , one has  $\log \frac{h}{h_1} = -f$ . This gives

$$\Theta_{K,\omega_1} = \Theta_{K,\omega} + \partial \overline{\partial} \frac{h}{h_1} = \Theta_{K,\omega} - 2\partial \overline{\partial} f = 0.$$

**THEOREM:** (Calabi-Yau) Let  $(M, \omega)$  be a compact Kaehler *n*-manifold, and *f* any smooth function. Then there exists a unique up to a constant function  $\varphi$  such that  $(\omega + dd^c \varphi)^n = Ae^f \omega^n$ , where *A* is a positive constant obtained from the formula  $\int_M Ae^f \omega^n = \int_M \omega^n$ .

#### **REMARK:**

$$(\omega + dd^c \varphi)^n = A e^f \omega^n,$$

is called the Monge-Ampere equation.

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#### Uniqueness of solutions of complex Monge-Ampere equation

**PROPOSITION:** (Calabi) **A complex Monge-Ampere equation has at most one solution,** up to a constant.

**Proof. Step 1:** Let  $\omega_1, \omega_2$  be solutions of Monge-Ampere equation. Then  $\omega_1^n = \omega_2^n$ . By  $dd^c$ -lemma, one has  $\omega_2 = \omega_1 + dd^c\psi$ . We need to show  $\psi = const$ .

Step 2: This gives

$$0 = (\omega_1 + dd^c \psi)^n - \omega_1^n = dd^c \psi \wedge \sum_{i=0}^{n-1} \omega_1^i \wedge \omega_2^{n-1-i}.$$

**Step 3:** Let  $P := \sum_{i=0}^{n-1} \omega_1^i \wedge \omega_2^{n-1-i}$ . This is a positive (n-1, n-1)-form. **There exists a Hermitian form**  $\omega_3$  **on** M **such that**  $\omega_3^{n-1} = P$ .

**Step 4:** Since  $dd^c\psi \wedge P = 0$ , this gives  $\psi dd^c\psi \wedge P = 0$ . Stokes' formula implies

$$0 = \int_{M} \psi \wedge \partial \overline{\partial} \psi \wedge P = -\int_{M} \partial \psi \wedge \overline{\partial} \psi \wedge P = -\int_{M} |\partial \psi|_{\mathbf{3}}^{2} \omega_{\mathbf{3}}^{n}.$$

where  $|\cdot|_3$  is the metric associated to  $\omega_3$ . Therefore  $\overline{\partial}\psi = 0$ .