# Moser's lemma for *C*-symplectic structures

Misha Verbitsky

Workshop on Geometric Structures and Moduli Spaces, Córdoba, UNC, FAMAF, August 31, 2022

#### **Teichmüller space for symplectic structures**

**DEFINITION:** Let  $\Gamma(\Lambda^2 M)$  be the space of all 2-forms on a manifold M, and  $\text{Symp} \subset \Gamma(\Lambda^2 M)$  the space of all symplectic 2-forms. We equip  $\Gamma(\Lambda^2 M)$  with  $C^{\infty}$ -topology of uniform convergence on compacts with all derivatives. Then  $\Gamma(\Lambda^2 M)$  is a vector space, and Symp an infinite-dimensional (Fréchet) manifold.

**DEFINITION:** Let  $Diff_0$  be the group of isotopies of M, that is, **the connected component of the diffeomorphism group. Teichmüller space of symplectic structures on** M is defined as the quotient space  $Teich_s :=$  $Symp / Diff_0$ .

**REMARK:** Let  $\Gamma := \text{Diff} / \text{Diff}_0$  be the mapping class group of M. The quotient  $\text{Teich}_s / \Gamma = \text{Symp} / \text{Diff}$  is identified with the set of symplectic structures up to diffeomorphism.

#### Moser's theorem

**DEFINITION:** Let M be compact. Define the period map Per : Teich<sub>s</sub>  $\longrightarrow H^2(M, \mathbb{R})$  mapping a symplectic structure to its cohomology class.

# THEOREM: (Moser, 1965)

The **Teichmüler space** Teich<sub>s</sub> is a manifold (possibly, non-Hausdorff), and the period map Per : Teich<sub>s</sub>  $\longrightarrow H^2(M, \mathbb{R})$  is locally a diffeomorphism.

The proof is based on another theorem of Moser.

**Moser's lemma:** Let  $\omega_t$ ,  $t \in [0, 1]$  be a smooth family of symplectic structures on a compact manifold M. Assume that the cohomology class  $[\omega_t] \in H^2(M)$ is constant in t. Then all  $\omega_t$  are diffeomorphic.

**Proof of Moser's theorem:** The period map  $P : U \longrightarrow H^2(M, \mathbb{R})$  is a smooth submersion of infinite-dimensional smooth manifolds. By Moser's lemma, the fibers of P are 0-dimensional. **Therefore,** P **is locally a diffeomorphism.** 

#### The proof of Moser's lemma

**Moser's lemma:** Let  $\omega_t$ ,  $t \in [0, 1]$  be a smooth family of symplectic structures on a compact manifold M. Assume that the cohomology class  $[\omega_t] \in H^2(M)$ is constant in t. Then there exists a smooth family  $\Psi_t \in \text{Diff}_0(M)$  of diffeomorphisms such that  $\Psi_t^* \omega_0 = \omega_t$ .

**Proof:** We construct  $\Psi_t$  as a solution of the equation  $\frac{d\Psi_t}{dt} = X_t$ , where  $X_t \in TM$  is a vector field depending on  $t \in [0, 1]$ .

**Step 1:** Since all  $\omega_t$  are cohomologous, the form  $\frac{d\omega_t}{dt}$  is exact. This gives  $\frac{d\omega_t}{dt} = d\eta_t$ , where  $\eta_t \in \Lambda^1(M)$  smoothly depends on  $t \in [0, 1]$ . Let  $X_t$  be the vector field which satisfies  $\omega_t \,\lrcorner\, X_t = \eta_t$ . Cartan's formula gives  $\text{Lie}_{X_t} \,\omega_t = d(\omega_t \,\lrcorner\, X_t) = d\eta_t = \frac{d\omega_t}{dt}$ .

**Step 2:** Let  $\Psi_t$  be the flow of diffeomorphisms obtained by integrating  $X_t$ . By construction,  $\operatorname{Lie}_{X_t} \omega_t = \frac{d\omega_t}{dt}$ . Integrating it in t, we obtain

$$\Psi_1^*\omega_0 = \int_0^1 \Psi_t \operatorname{Lie}_{X_t} \omega_t dt = \int_0^1 \frac{d\omega_t}{dt} dt = \omega_1.$$

# **Complex manifolds**

**DEFINITION:** Let M be a smooth manifold. An **almost complex structure** is an operator  $I: TM \longrightarrow TM$  which satisfies  $I^2 = -\operatorname{Id}_{TM}$ . The eigenvalues of this operator are  $\pm \sqrt{-1}$ . The corresponding eigenvalue decomposition is denoted  $TM = T^{0,1}M \oplus T^{1,0}(M)$ .

**DEFINITION:** An almost complex structure is **integrable** if  $\forall X, Y \in T^{1,0}M$ , one has  $[X,Y] \in T^{1,0}M$ . In this case *I* is called a **complex structure operator**. A manifold with an integrable almost complex structure is called a **complex manifold**.

# **THEOREM:** (Newlander-Nirenberg) This definition is equivalent to the standard one.

**CLAIM:** (the Hodge decomposition determines the complex structure) Let M be a smooth 2n-dimensional manifold. Then there is a bijective correspondence between the set of almost complex structures, and the set of sub-bundles  $T^{0,1}M \subset TM \otimes_{\mathbb{R}} \mathbb{C}$  satisfying  $\dim_{\mathbb{C}} T^{0,1}M = n$  and  $T^{0,1}M \cap TM = 0$  (the last condition means that there are no real vectors in  $T^{1,0}M$ , that is, that  $T^{0,1}M \cap T^{1,0}M = 0$ ).

**Proof:** Set 
$$I|_{T^{1,0}M} = \sqrt{-1}$$
 and  $I|_{T^{0,1}M} = -\sqrt{-1}$ .

#### Holomorphically symplectic manifolds

**DEFINITION:** Let (M, I) be a complex manifold, and  $\Omega \in \Lambda^2(M, \mathbb{C})$  a differential form. We say that  $\Omega$  is **non-degenerate** if ker  $\Omega \cap T_{\mathbb{R}}M = 0$ . We say that it is **holomorphically symplectic** if it is non-degenerate,  $d\Omega = 0$ , and  $\Omega(IX, Y) = \sqrt{-1} \Omega(X, Y)$ .

**REMARK:** The equation  $\Omega(IX, Y) = \sqrt{-1}\Omega(X, Y)$  means that  $\Omega$  is complex linear with respect to the complex structure on  $T_{\mathbb{R}}M$  induced by *I*.

**REMARK:** Consider the Hodge decomposition  $T_{\mathbb{C}}M = T^{1,0}M \oplus T^{0,1}M$  (decomposition according to eigenvalues of *I*). Since  $\Omega(IX,Y) = \sqrt{-1} \Omega(X,Y)$ and  $I(Z) = -\sqrt{-1} Z$  for any  $Z \in T^{0,1}(M)$ , we have  $\ker(\Omega) \supset T^{0,1}(M)$ . Since  $\ker \Omega \cap T_{\mathbb{R}}M = 0$ , real dimension of its kernel is at most  $\dim_{\mathbb{R}}M$ , giving  $\dim_{\mathbb{R}} \ker \Omega = \dim M$ . **Therefore,**  $\ker(\Omega) = T^{0,1}M$ .

**COROLLARY:** Let  $\Omega$  be a holomorphically symplectic form on a complex manifold (M, I). Then I is determined by  $\Omega$  uniquely.

M. Verbitsky

# **C-symplectic structures**

**DEFINITION:** (Bogomolov, Deev, V.) Let M be a smooth 4n-dimensional manifold. A closed complex-valued form  $\Omega$  on M is called C-symplectic if  $\Omega^{n+1} = 0$  and  $\Omega^n \wedge \overline{\Omega}^n$  is a non-degenerate volume form.

**THEOREM:** Let  $\Omega \in \Lambda^2(M, \mathbb{C})$  be a C-symplectic form, and  $T^{0,1}_{\Omega}(M)$  be equal to ker  $\Omega$ , where

 $\ker \Omega := \{ v \in TM \otimes \mathbb{C} \mid \Omega \lrcorner v = 0 \}.$ 

Then  $T_{\Omega}^{0,1}(M) \oplus \overline{T_{\Omega}^{0,1}(M)} = TM \otimes_{\mathbb{R}} \mathbb{C}$ , hence the sub-bundle  $T_{\Omega}^{0,1}(M)$  defines an almost complex structure  $I_{\Omega}$  on M. If, in addition,  $\Omega$  is closed,  $I_{\Omega}$  is integrable, and  $\Omega$  is holomorphically symplectic on  $(M, I_{\Omega})$ .

**Proof:** Rank of  $\Omega$  is 2n because  $\Omega^{n+1} = 0$  and Re  $\Omega$  is non-degenerate. Then  $\ker \Omega \oplus \overline{\ker \Omega} = T_{\mathbb{C}}M$ . The relation  $[T_{\Omega}^{0,1}(M), T_{\Omega}^{0,1}(M)] \subset T_{\Omega}^{0,1}(M)$  follows from Cartan's formula

$$d\Omega(X_1, X_2, X_3) = \frac{1}{6} \sum_{\sigma \in \Sigma_3} (-1)^{\tilde{\sigma}} \operatorname{Lie}_{X_{\sigma_1}} \Omega(X_{\sigma_2}, X_{\sigma_3}) + (-1)^{\tilde{\sigma}} \Omega([X_{\sigma_1}, X_{\sigma_2}], X_{\sigma_3})$$

which gives, for all  $X, Y \in T^{0,1}M$ , and any  $Z \in TM$ ,

$$d\Omega(X,Y,Z) = \Omega([X,Y],Z),$$

implying that  $[X, Y] \in T^{0,1}M$ .

#### Period map for holomorphically symplectic manifolds

**DEFINITION:** Let  $(M, I, \Omega)$  be a holomorphically symplectic manifold, and CSymp the space of all C-symplectic forms. The quotient CTeich :=  $\frac{CSymp}{Diff_0}$ is called **the holomorphically symplectic Teichmüller space**, and the map CTeich  $\longrightarrow H^2(M, \mathbb{C})$  taking  $(M, I, \Omega)$  to the cohomology class  $[\Omega] \in H^2(M, \mathbb{C})$ **the holomorphically symplectic period map**.

We want to prove that **the period map is locally an embedding.** This is immediately implied by the following version of Moser's lemma.

#### THEOREM: (Soldatenkov, V.)

Let  $(M, I_t, \Omega_t)$ ,  $t \in [0, 1]$  be a family of C-symplectic forms on a compact manifold. Assume that the cohomology class  $[\Omega_t] \in H^2(M, \mathbb{C})$  is constant, and  $H^{0,1}(M, I_t) = 0$ , where  $H^{0,1}(M, I_t) = H^1(M, \mathcal{O}_{(M, I_t)})$  is cohomology of the sheaf of holomorphic functions. Then **there exists a smooth family of diffeomorphisms**  $V_t \in \text{Diff}_0(M)$ , such that  $V_t^*\Omega_0 = \Omega_t$ .

#### Holomorphically symplectic Moser's lemma

# THEOREM: (Soldatenkov, V.)

Let  $(M, I_t, \Omega_t)$ ,  $t \in [0, 1]$  be a family of C-symplectic forms on a compact manifold. Assume that the cohomology class  $[\Omega_t] \in H^2(M, \mathbb{C})$  is constant, and  $H^{0,1}(M, I_t) = 0$ , where  $H^{0,1}(M, I_t) = H^1(M, \mathcal{O}_{(M, I_t)})$  is cohomology of the sheaf of holomorphic functions. Then **there exists a smooth family of diffeomorphisms**  $V_t \in \text{Diff}_0(M)$ , such that  $V_t^* \Omega_0 = \Omega_t$ .

**Proof.** Step 1: If we find a vector field  $X_t$  such that  $\operatorname{Lie}_{X_t} \Omega_t = \frac{d}{dt} \Omega_t$ , we have (like in the proof of Moser's lemma)

$$V_{t_1}^* \Omega_0 = \int_0^{t_1} \operatorname{Lie}_{X_t} \Omega_t dt = \int_0^{t_1} \frac{d\Omega_t}{dt} dt = \Omega_{t_1}$$

where  $V_t$  is a diffeomorphism flow such that  $\frac{dV_t}{dt} = X_t$ . It remains to find the family  $X_t \in T_{\mathbb{R}}M$ .

**Step 2:** The contraction map  $\Lambda^{2,0}M \otimes_{\mathbb{R}} T_{\mathbb{R}}M \longrightarrow \Lambda^{1,0}(M)$  is surjective (an exercise).

**Step 3:** Since  $\frac{d}{dt}\Omega_t$  is exact, one has  $\frac{d}{dt}\Omega_t = d\alpha_t$ . If  $\alpha_t$  has Hodge type (1,0), we could obtain it as  $\Omega_t \lrcorner X_t$  (Step 2), which gives  $\frac{d}{dt}\Omega_t = d\alpha_t = d(\Omega_t \lrcorner X_t) = \text{Lie}_{X_t}\Omega_t$ . It remains to find  $\alpha_t \in \Lambda^{1,0}(M, I_t)$  such that  $\frac{d}{dt}\Omega_t = d\alpha_t$ .

#### Holomorphically symplectic Moser's lemma (2)

It remains to find  $X_t \in T_{\mathbb{R}}M$  such that  $\operatorname{Lie}_{X_t}\Omega_t = \frac{d}{dt}\Omega_t$ .

**Step 2:** The contraction map  $\Lambda^{2,0}M \otimes_{\mathbb{R}} T_{\mathbb{R}}M \longrightarrow \Lambda^{1,0}(M)$  is surjective.

**Step 3:** Since  $\frac{d}{dt}\Omega_t$  is exact, one has  $\frac{d}{dt}\Omega_t = d\alpha_t$ . If  $\alpha_t$  has Hodge type (1,0), we could obtain it as  $\Omega_t \lrcorner X_t$  (Step 2), which gives  $\frac{d}{dt}\Omega_t = d\alpha_t = d(\Omega_t \lrcorner X_t) = \text{Lie}_{X_t}\Omega_t$ . It remains to find  $\alpha_t \in \Lambda^{1,0}(M, I_t)$  such that  $\frac{d}{dt}\Omega_t = d\alpha_t$ .

**Step 4:** Let  $\Omega'_t := \frac{d}{dt}\Omega_t$  and  $\dim_{\mathbb{C}} M = 2n$ . Differentiating  $\Omega_t^{n+1} = 0$  in t, we obtain  $\Omega'_t \wedge \Omega_t^n = 0$ . Since  $\Phi := \Omega_t^n$  is a holomorphic volume form, the multiplication map  $\Lambda^{0,2}(M) \xrightarrow{\Lambda \Phi} \Lambda^{2n,2}(M)$  is an isomorphism of vector bundles. Then  $\Omega'_t \wedge \Omega_t^n = 0$  implies that  $\Omega'_t \in \Lambda^{1,1}(M, I_{\Omega_t}) + \Lambda^{2,0}(M, I_{\Omega_t})$ .

**Step 5:** Using Step 3 and Step 4, we obtain that holomorphic Moser's lemma **is implied by the following statement.** 

**LEMMA:** Let *M* be a complex manifold which satisfies  $H^{0,1}(M) = 0$ , and  $\eta \in \Lambda^{1,1}(M) + \Lambda^{2,0}(M)$  an exact form. Then  $\eta = d\alpha$ , for some  $\alpha \in \Lambda^{1,0}(M)$ .

# Holomorphically symplectic Moser's lemma (3)

**LEMMA:** Let *M* be a complex manifold which satisfies  $H^{0,1}(M) = 0$ , and  $\eta \in \Lambda^{1,1}(M) + \Lambda^{2,0}(M)$  an exact form. Then  $\eta = d\alpha$ , for some  $\alpha \in \Lambda^{1,0}(M)$ .

**Proof.** Step 1: Let  $\eta = d\beta$ , where  $\beta = \beta^{1,0} + \beta^{0,1}$ . Since  $\eta \in \Lambda^{1,1}(M) + \Lambda^{2,0}(M)$ , we have  $\overline{\partial}(\beta^{0,1}) = 0$ . The first cohomology of the complex  $(\Lambda^{0,*}(M),\overline{\partial})$  vanish, because  $H^{0,1}(M) = 0$ , hence  $\beta^{0,1} = \overline{\partial}\psi$ , for some  $\psi \in C^{\infty}M$ .

Step 2: This gives  $\eta = d(\beta - d\psi)$ , hence  $\alpha := \beta - d\psi = \beta^{1,0} + \beta^{0,1} - \partial\psi - \beta^{0,1}$ is a (1,0)-form which satisfies  $\eta = d\alpha$ .

**COROLLARY:** Let CSymp be the space of all C-symplectic structures with  $C^{\infty}$ -topology. Denote by Teich<sub>C</sub> the corresponding Teichmüller space, Teich<sub>C</sub> :=  $\frac{\text{CSymp}}{\text{Diff}_0(M)}$ . Define **the period map** Per : Teich<sub>C</sub>  $\longrightarrow H^2(M, \mathbb{C})$  mapping  $\Omega$  to its cohomology class. Then Per is locally a homeomorphism to its image.

**Proof:** All fibers of Per are 0-dimensional. ■

# Local Torelli theorem for a K3 surface

**REMARK:** In real dimension 4, C-symplectic form is a pair  $\omega_1, \omega_2$  of symplectic forms which satisfy  $\omega_1^2 = \omega_2^2$  and  $\omega_1 \wedge \omega_2 = 0$ .

**THEOREM:** Let  $(M, I, \Omega)$  be a complex holomorphically symplectic surface with  $H^{0,1}(M) = 0$ , that is, a K3 surface. Then for any sufficiently small cohomology class  $[\eta] \in H^{1,1}(M)$ , there exists a C-symplectic form  $\Omega + \rho$ , where  $\rho \in \Lambda^{1,1}M + \Lambda^{0,2}M$  is a closed form which satisfies  $\rho^{1,1} \wedge \rho^{1,1} =$  $-\Omega \wedge \rho^{0,2}$ , and  $\rho^{1,1}$  is  $\partial$ -cohomologous to  $[\eta]$ . Moreover, the cohomology class of  $\rho$  is uniquely determined by  $[\eta]$ .

**Proof:** Next slide

**REMARK:** This theorem locally identifies  $H^{1,1}(M)$  with the neighbourhood  $\Omega$  in the C-symplectic Teichmüller space, proving that it is smooth and  $b_2-2$ -dimensional. This proves the local Torelli theorem for K3.

**REMARK:** The proof of this theorem is done using the same argument as used to prove the Maurer-Cartan equation, central to Kuranishi theory. Indeed, the equation (\*) we are going to solve below is a version of Maurer-Cartan, adopted and simplified for the C-symplectic structures.

#### Local Torelli theorem for K3 (2)

**THEOREM:** Let  $(M, I, \Omega)$  be a complex holomorphically symplectic surface with  $H^{0,1}(M) = 0$ , that is, a K3 surface. Then for any sufficiently small cohomology class  $[\eta] \in H^{1,1}(M)$ , there exists a C-symplectic form  $\Omega + \rho$ , where  $\rho \in \Lambda^{1,1}M + \Lambda^{0,2}M$  is a closed form which satisfies  $\rho^{1,1} \wedge \rho^{1,1} =$  $-\Omega \wedge \rho^{0,2}$ , and  $\rho^{1,1}$  is  $\partial$ -cohomologous to  $[\eta]$ . Moreover, the cohomology class of  $\rho$  is uniquely determined by  $[\eta]$ .

**Proof. Step 1:** Since  $(\Omega + \rho)^2 = \rho^{1,1} \wedge \rho^{1,1} = -\Omega \wedge \rho^{0,2}$ , this form is (almost) C-symplectic. **To prove that it is C-symplectic, we need to find**  $\rho$  **such that that**  $d\rho = 0$ .

**Step 2:** From Hodge to de Rham isomorphism, we obtain that the cohomology class [u] of  $\Omega + \rho$  is equal to  $[\Omega + \eta + u^{0,2}]$ . Since M is K3, we have  $H^{0,2}(M) = \mathbb{C}[\overline{\Omega}]$ , which gives  $[u^{0,2}] = \lambda[\overline{\Omega}]$ , for some  $\lambda \in \mathbb{C}$ . since  $(\Omega + \rho)^2 = 0$ , this gives  $[\Omega \wedge u^{0,2}] = [\eta]$ , Then  $\lambda = -\frac{[\eta^2]}{[\Omega \wedge \overline{\Omega}]}$ . We proved that the cohomology class of  $\Omega + \rho$  is uniquely determined by  $[\eta^2]$ .

# Local Torelli theorem for K3 (3)

Below, we need the following version of  $\partial \overline{\partial}$ -lemma: for any (1,2)-form  $\alpha$ , which is  $\partial$ -exact and  $\overline{\partial}$ -closed,  $\alpha = \overline{\partial}\beta$ , where  $\beta$  is  $\partial$ -exact.

**Step 3:** Let  $\Lambda_{\Omega}$  be contraction with the (2,0)-bivector associated with  $\Omega$ . This operation clearly commutes with  $\overline{\partial}$ . Then  $\rho^{1,1} \wedge \rho^{1,1} = -\Omega \wedge \rho^{0,2}$  is equivalent to  $\Lambda_{\Omega}(\rho^{1,1} \wedge \rho^{1,1}) = -\rho^{0,2}$ . To solve the equation  $d\rho = 0$ , we solve the equivalent equation, which is a version of Maurer-Cartan

$$\partial \Lambda_{\Omega}(\rho^{1,1} \wedge \rho^{1,1}) = -\overline{\partial}\rho^{1,1}, \qquad \partial \rho^{1,1} = 0. \qquad (*)$$

Let  $\gamma_0$  be the harmonic (1,1)-form representing  $[\eta]$ . We solve the equation (\*) inductively by taking

$$\overline{\partial}\gamma_n = \partial \Lambda_\Omega \left( \sum_{i+j=n-1} \gamma_i \wedge \gamma_j \right). \quad (**)$$

Such  $\gamma_n$  is found using  $\partial \overline{\partial}$ -lemma, because the RHS of (\*\*) is  $\partial$ -exact and  $\overline{\partial}$ -closed, which is clear because  $\overline{\partial}$  commutes with  $\Lambda_{\Omega}$ . Since  $\overline{\partial} \sum_i \gamma_i = \partial \Lambda_{\Omega} \left( \sum_{i,j} \gamma_i \wedge \gamma_j \right)$ , the sum  $\rho^{1,1} := \sum \gamma_i$  is a solution of (\*).

**Step 4:** Since  $\gamma_i$ , i > 0 are  $\partial$ -exact, the  $\partial$ -cohomology class of  $\gamma$  is  $[\gamma_0] = [\eta]$ .