

# **Kähler manifolds and holonomy**

## **lecture 3**

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## Kähler manifolds

**DEFINITION:** An Riemannian metric  $g$  on an almost complex manifold  $M$  is called **Hermitian** if  $g(Ix, Iy) = g(x, y)$ . In this case,  $g(x, Iy) = g(Ix, I^2y) = -g(y, Ix)$ , hence  $\omega(x, y) := g(x, Iy)$  is skew-symmetric.

**DEFINITION:** The differential form  $\omega \in \Lambda^{1,1}(M)$  is called **the Hermitian form** of  $(M, I, g)$ .

**REMARK:** It is  $U(1)$ -invariant, hence **of Hodge type (1,1)**.

**DEFINITION:** A complex Hermitian manifold  $(M, I, \omega)$  is called **Kähler** if  $d\omega = 0$ . The cohomology class  $[\omega] \in H^2(M)$  of a form  $\omega$  is called **the Kähler class** of  $M$ , and  $\omega$  **the Kähler form**.

## Levi-Civita connection and Kähler geometry

**DEFINITION:** Let  $(M, g)$  be a Riemannian manifold. A connection  $\nabla$  is called **orthogonal** if  $\nabla(g) = 0$ . It is called **Levi-Civita** if it is torsion-free.

**THEOREM:** (“the main theorem of differential geometry”)

**For any Riemannian manifold, the Levi-Civita connection exists, and it is unique.**

**THEOREM:** Let  $(M, I, g)$  be an almost complex Hermitian manifold. **Then the following conditions are equivalent.**

(i)  $(M, I, g)$  is **Kähler**

(ii) One has  $\nabla(I) = 0$ , where  $\nabla$  is the Levi-Civita connection.

## Holonomy group

**DEFINITION:** (Cartan, 1923) Let  $(B, \nabla)$  be a vector bundle with connection over  $M$ . For each loop  $\gamma$  based in  $x \in M$ , let  $V_{\gamma, \nabla} : B|_x \rightarrow B|_x$  be the corresponding parallel transport along the connection. The **holonomy group** of  $(B, \nabla)$  is a group generated by  $V_{\gamma, \nabla}$ , for all loops  $\gamma$ . If one takes all contractible loops instead,  $V_{\gamma, \nabla}$  generates **the local holonomy**, or **the restricted holonomy** group.

**REMARK:** A bundle is **flat** (has vanishing curvature) **if and only if its restricted holonomy vanishes**.

**REMARK:** If  $\nabla(\varphi) = 0$  for some tensor  $\varphi \in B^{\otimes i} \otimes (B^*)^{\otimes j}$ , **the holonomy group preserves  $\varphi$** .

**DEFINITION:** **Holonomy of a Riemannian manifold** is holonomy of its Levi-Civita connection.

**EXAMPLE:** Holonomy of a Riemannian manifold lies in  $O(T_x M, g|_x) = O(n)$ .

**EXAMPLE:** Holonomy of a Kähler manifold lies in  $U(T_x M, g|_x, I|_x) = U(n)$ .

**REMARK:** The holonomy group **does not depend on the choice of a point  $x \in M$** .

## The Berger's list

**THEOREM:** (de Rham) A complete, simply connected Riemannian manifold with non-irreducible holonomy **splits as a Riemannian product.**

**THEOREM:** (Berger's theorem, 1955) Let  $G$  be an irreducible holonomy group of a Riemannian manifold which is not locally symmetric. **Then  $G$  belongs to the Berger's list:**

<b>Berger's list</b>	
<i>Holonomy</i>	<i>Geometry</i>
$SO(n)$ acting on $\mathbb{R}^n$	Riemannian manifolds
$U(n)$ acting on $\mathbb{R}^{2n}$	Kähler manifolds
$SU(n)$ acting on $\mathbb{R}^{2n}$ , $n > 2$	Calabi-Yau manifolds
$Sp(n)$ acting on $\mathbb{R}^{4n}$	hyperkähler manifolds
$Sp(n) \times Sp(1)/\{\pm 1\}$ acting on $\mathbb{R}^{4n}$ , $n > 1$	quaternionic-Kähler manifolds
$G_2$ acting on $\mathbb{R}^7$	$G_2$ -manifolds
$Spin(7)$ acting on $\mathbb{R}^8$	$Spin(7)$ -manifolds

## Chern connection

**DEFINITION:** Let  $B$  be a holomorphic vector bundle, and  $\bar{\partial} : B_{C^\infty} \longrightarrow B_{C^\infty} \otimes \Lambda^{0,1}(M)$  an operator mapping  $b \otimes f$  to  $b \otimes \bar{\partial}f$ , where  $b \in B$  is a holomorphic section, and  $f$  a smooth function. This operator is called **a holomorphic structure operator** on  $B$ . **It is correctly defined, because  $\bar{\partial}$  is  $\mathcal{O}_M$ -linear.**

**REMARK:** A section  $b \in B$  is holomorphic iff  $\bar{\partial}(b) = 0$

**DEFINITION:** let  $(B, \nabla)$  be a smooth bundle with connection and a holomorphic structure  $\bar{\partial} : B \longrightarrow \Lambda^{0,1}(M) \otimes B$ . Consider the Hodge decomposition of  $\nabla$ ,  $\nabla = \nabla^{0,1} + \nabla^{1,0}$ . We say that  $\nabla$  is **compatible with the holomorphic structure** if  $\nabla^{0,1} = \bar{\partial}$ .

**DEFINITION:** **An Hermitian holomorphic vector bundle** is a smooth complex vector bundle equipped with a Hermitian metric and a holomorphic structure.

**DEFINITION:** **A Chern connection** on a holomorphic Hermitian vector bundle is a connection compatible with the holomorphic structure and preserving the metric.

**THEOREM:** On any holomorphic Hermitian vector bundle, **the Chern connection exists, and is unique.**

## Calabi-Yau manifolds

### DEFINITION:

**A Calabi-Yau manifold** is a compact Kähler manifold with  $c_1(M, \mathbb{Z}) = 0$ .

**DEFINITION:** Let  $(M, I, \omega)$  be a Kähler  $n$ -manifold, and  $K(M) := \Lambda^{n,0}(M)$  its **canonical bundle**. We consider  $K(M)$  as a holomorphic line bundle,  $K(M) = \Omega^n M$ . The natural Hermitian metric on  $K(M)$  is written as

$$(\alpha, \alpha') \longrightarrow \frac{\alpha \wedge \bar{\alpha}'}{\omega^n}.$$

Denote by  $\Theta_K$  the curvature of the Chern connection on  $K(M)$ . The **Ricci curvature** Ric of  $M$  is symmetric 2-form  $\text{Ric}(x, y) = \Theta_K(x, Iy)$ .

**DEFINITION:** A Kähler manifold is called **Ricci-flat** if its Ricci curvature vanishes.

**THEOREM:** (Calabi-Yau)

Let  $(M, I, g)$  be Calabi-Yau manifold. **Then there exists a unique Ricci-flat Kähler metric in any given Kähler class.**

**REMARK:** Converse is also true: **any Ricci-flat Kähler manifold has a finite covering which is Calabi-Yau.** This is due to Bogomolov.

## Bochner's vanishing

**THEOREM:** (Bochner vanishing theorem) On a compact Ricci-flat Calabi-Yau manifold, **any holomorphic  $p$ -form  $\eta$  is parallel** with respect to the Levi-Civita connection:  $\nabla(\eta) = 0$ .

**REMARK:** Its proof uses spinors (see below).

**DEFINITION:** A **holomorphic symplectic manifold** is a manifold admitting a non-degenerate, holomorphic symplectic form.

**REMARK:** A holomorphic symplectic manifold is Calabi-Yau. The top exterior power of a holomorphic symplectic form **is a non-degenerate section of canonical bundle**.

**REMARK:** Due to Bochner's vanishing, **holonomy of Ricci-flat Calabi-Yau manifold lies in  $SU(n)$** , and **holonomy of Ricci-flat holomorphically symplectic manifold lies in  $Sp(n)$** .

**DEFINITION:** A holomorphically symplectic Ricci-flat Kähler manifold is called **hyperkähler**.

**REMARK:** Since  $Sp(n) = SU(\mathbb{H}, n)$ , a **hyperkähler manifold admits quaternionic action in its tangent bundle**.



## Bogomolov's decomposition theorem

**THEOREM: (Cheeger-Gromoll)** Let  $M$  be a compact Ricci-flat Riemannian manifold with  $\pi_1(M)$  infinite. **Then a universal covering of  $M$  is a product of  $\mathbb{R}$  and a Ricci-flat manifold.**

**COROLLARY:** A fundamental group of a compact Ricci-flat Riemannian manifold is **“virtually polycyclic”**: it is projected to a free abelian subgroup with finite kernel.

**REMARK:** This is equivalent to any compact Ricci-flat manifold having a finite covering which has free abelian fundamental group.

**REMARK:** This statement contains the Bieberbach's solution of Hilbert's 18-th problem on classification of crystallographic groups.

**THEOREM: (Bogomolov's decomposition)** Let  $M$  be a compact, Ricci-flat Kähler manifold. **Then there exists a finite covering  $\tilde{M}$  of  $M$  which is a product of Kähler manifolds of the following form:**

$$\tilde{M} = T \times M_1 \times \dots \times M_i \times K_1 \times \dots \times K_j,$$

with all  $M_i, K_i$  simply connected,  $T$  a torus, and  $\mathcal{H}ol(M_l) = Sp(n_l)$ ,  $\mathcal{H}ol(K_l) = SU(m_l)$

## Harmonic forms

Let  $V$  be a vector space. **A metric  $g$  on  $V$  induces a natural metric on each of its tensor spaces:**  $g(x_1 \otimes x_2 \otimes \dots \otimes x_k, x'_1 \otimes x'_2 \otimes \dots \otimes x'_k) = g(x_1, x'_1)g(x_2, x'_2)\dots g(x_k, x'_k)$ .

**This gives a natural positive definite scalar product on differential forms over a Riemannian manifold  $(M, g)$ :**  $g(\alpha, \beta) := \int_M g(\alpha, \beta) \text{Vol}_M$ . The topology induced by this metric is called  **$L^2$ -topology**.

**DEFINITION:** Let  $d$  be the de Rham differential and  $d^*$  denote the adjoint operator. The **Laplace operator** is defined as  $\Delta := dd^* + d^*d$ . A form is called **harmonic** if it lies in  $\ker \Delta$ .

**THEOREM: The image of  $\Delta$  is closed** in  $L^2$ -topology on differential forms.

**REMARK:** This is a very difficult theorem!

**REMARK:** On a compact manifold, the form  $\eta$  is **harmonic iff  $d\eta = d^*\eta = 0$** . Indeed,  $(\Delta x, x) = (dx, dx) + (d^*x, d^*x)$ .

**COROLLARY:** This defines a map  $\mathcal{H}^i(M) \xrightarrow{\tau} H^i(M)$  from harmonic forms to cohomology.

## Hodge theory

**THEOREM:** (Hodge theory for Riemannian manifolds)

On a compact Riemannian manifold, the map  $\mathcal{H}^i(M) \xrightarrow{\tau} H^i(M)$  to cohomology **is an isomorphism.**

**Proof. Step 1:**  $\ker d \perp \operatorname{im} d^*$  and  $\operatorname{im} d \perp \ker d^*$ . Therefore, **a harmonic form is orthogonal to  $\operatorname{im} d$ .** This implies that  **$\tau$  is injective.**

**Step 2:**  $\eta \perp \operatorname{im} \Delta$  **if and only if  $\eta$  is harmonic.** Indeed,  $(\eta, \Delta x) = (\Delta x, x)$ .

**Step 3:** Since  $\operatorname{im} \Delta$  is closed, **every closed form  $\eta$  is decomposed as  $\eta = \eta_h + \eta'$ ,** where  $\eta_h$  is harmonic, and  $\eta' = \Delta \alpha$ .

**Step 4:** When  $\eta$  is closed,  $\eta'$  is also closed. Then  $0 = (d\eta, d\alpha) = (\eta, d^*d\alpha) = (\Delta \alpha, d^*d\alpha) = (dd^*\alpha, d^*d\alpha) + (d^*d\alpha, d^*d\alpha)$ . The term  $(dd^*\alpha, d^*d\alpha)$  vanishes, because  $d^2 = 0$ , hence  $(d^*d\alpha, d^*d\alpha) = 0$ . This gives  $d^*d\alpha = 0$ , and  $(d^*d\alpha, \alpha) = (d\alpha, d\alpha) = 0$ . We have shown that **for any closed  $\eta$  decomposing as  $\eta = \eta_h + \eta'$ , with  $\eta' = \Delta \alpha$ ,  $\alpha$  is closed**

**Step 5:** This gives  $\eta' = dd^*\alpha$ , hence  **$\eta$  is a sum of an exact form and a harmonic form. ■**

**REMARK:** This gives a way of obtaining the Poincaré duality via PDE.

## Hodge decomposition on cohomology

**THEOREM:** (this theorem will be proven in the next lecture)

On a compact Kähler manifold  $M$ , **the Hodge decomposition is compatible with the Laplace operator.** This gives a decomposition of cohomology,  $H^i(M) = \bigoplus_{p+q=i} H^{p,q}(M)$ , with  $\overline{H^{p,q}(M)} = H^{q,p}(M)$ .

**COROLLARY:**  $H^p(M)$  is even-dimensional for odd  $p$ .

The Hodge diamond:

$$\begin{array}{ccccccc}
 & & & & H^{n,n} & & \\
 & & & & & & \\
 & & & & H^{n,n-1} & & H^{n-1,n} \\
 & & & & & & \\
 & & & & H^{n,n-2} & & H^{n-1,n-1} & & H^{n-2,n} \\
 & & & & \vdots & & \vdots & & \vdots \\
 & & & & H^{2,0} & & H^{1,1} & & H^{0,2} \\
 & & & & & & & & \\
 & & & & & & H^{1,0} & & H^{0,1} \\
 & & & & & & & & \\
 & & & & & & & & H^{0,0}
 \end{array}$$

**REMARK:**  $H^{p,0}(M)$  is the space of holomorphic  $p$ -forms. Indeed,  $dd^* + d^*d = 2(\bar{\partial}\bar{\partial}^* + \bar{\partial}^*\bar{\partial})$  (next lecture), hence **a holomorphic form on a compact Kähler manifold is closed.**

## Holomorphic Euler characteristic

**DEFINITION:** A holomorphic Euler characteristic  $\chi(M)$  of a Kähler manifold is a sum  $\sum (-1)^p \dim H^{p,0}(M)$ .

**THEOREM:** (Riemann-Roch-Hirzebruch) For an  $n$ -fold,  $\chi(M)$  can be expressed as a polynomial expressions of the Chern classes,  $\chi(M) = td_n$  where  $td_n$  is an  $n$ -th component of the Todd polynomial,

$$td(M) = 1 + \frac{1}{2}c_1 + \frac{1}{12}(c_1^2 + c_2) + \frac{1}{24}c_1c_2 + \frac{1}{720}(-c_1^4 + 4c_1^2c_2 + c_1c_3 + 3c_2^2 - c_4) + \dots$$

**REMARK:** The Chern classes are obtained as polynomial expression of the curvature (Gauss-Bonnet). Therefore  $\chi(\tilde{M}) = p\chi(M)$  for any unramified  $p$ -fold covering  $\tilde{M} \rightarrow M$ .

**REMARK:** Bochner's vanishing and the classical invariants theory imply:

1. When  $\mathcal{H}ol(M) = SU(n)$ , we have  $\dim H^{p,0}(M) = 1$  for  $p = 1, n$ , and 0 otherwise. In this case,  $\chi(M) = 2$  for even  $n$  and 0 for odd.
2. When  $\mathcal{H}ol(M) = Sp(n)$ , we have  $\dim H^{p,0}(M) = 1$  for even  $p$   $0 \leq p \leq 2n$ , and 0 otherwise. In this case,  $\chi(M) = n + 1$ .

**COROLLARY:**  $\pi_1(M) = 0$  if  $\mathcal{H}ol(M) = Sp(n)$ , or  $\mathcal{H}ol(M) = SU(2n)$ . If  $\mathcal{H}ol(M) = SU(2n + 1)$ ,  $\pi_1(M)$  is finite.

## Spinors and Clifford algebras

**DEFINITION:** A Clifford algebra of a vector space  $V$  with a scalar product  $q$  is an algebra generated by  $V$  with a relation  $xy + yx = q(x, y)1$ .

**REMARK:** A Clifford algebra of a complex vector space with  $V = \mathbb{C}^n$  with  $q$  non-degenerate **is isomorphic to**  $\text{Mat}\left(\mathbb{C}^{n/2}\right)$  ( $n$  even) **and**  $\text{Mat}\left(\mathbb{C}^{\frac{n-1}{2}}\right) \oplus \text{Mat}\left(\mathbb{C}^{\frac{n-1}{2}}\right)$  ( $n$  odd).

**DEFINITION:** The space of spinors of a complex vector space  $V, q$  is a fundamental representation of  $Cl(V)$  ( $n$  even) and one of two fundamental representations of the components of  $\text{Mat}\left(\mathbb{C}^{\frac{n-1}{2}}\right) \oplus \text{Mat}\left(\mathbb{C}^{\frac{n-1}{2}}\right)$  ( $n$  odd).

**REMARK:** A 2-sheeted covering  $\text{Spin}(V) \longrightarrow \text{SO}(V)$  naturally acts on the spinor space, which is called **the spin representation of  $\text{Spin}(V)$** .

**DEFINITION:** Let  $\Gamma$  be a principal  $\text{SO}(n)$ -bundle of a Riemannian oriented manifold  $M$ . We say that  $M$  is a spin-manifold, **if  $\Gamma$  can be reduced to a  $\text{Spin}(n)$ -bundle**.

**REMARK:** This happens precisely when the second Stiefel-Whitney class  $w_2(M)$  vanishes.

## Spinor bundles and Dirac operator

**DEFINITION:** A **bundle of spinors** on a spin-manifold  $M$  is a vector bundle associated to the principal  $Spin(n)$ -bundle and a spin representation.

**DEFINITION:** Consider the map  $TM \otimes Spin \rightarrow Spin$  induced by the Clifford multiplication. One defines **the Dirac operator**  $D : Spin \rightarrow Spin$  as a composition of  $\nabla : Spin \rightarrow \Lambda^1 M \otimes Spin = TM \otimes Spin$  and the multiplication.

**DEFINITION:** A **harmonic spinor** is a spinor  $\psi$  such that  $D(\psi) = 0$ .

**THEOREM:** (Bochner's vanishing) A harmonic spinor  $\psi$  on a compact manifold with vanishing scalar curvature  $Sc = Tr(\text{Ric})$  **satisfies**  $\nabla\psi = 0$ .

**Proof:** The **coarse Laplacian**  $\nabla^*\nabla$  is expressed through the Dirac operator using the **Lichnerowicz formula**  $\nabla^*\nabla - D^2 = -\frac{1}{4}Sc$ . When these two operators are equal, **any harmonic spinor  $\psi$  lies in  $\ker \nabla^*\nabla$ , giving**  $(\psi, \nabla^*\nabla\psi) = (\nabla\psi, \nabla\psi) = 0$ . ■

## Bochner's vanishing on Kaehler manifolds

**REMARK:** A Kaehler manifold is spin if and only if  $c_1(M)$  is even, or, equivalently, if there exists a square root of a canonical bundle  $K^{1/2}$ .

**REMARK:** On a Kaehler manifold of complex dimension  $n$ , one has a natural isomorphism between the spinor bundle and  $\Lambda^{*,0}(M) \otimes K^{1/2}$  (for  $n$  even) and  $\Lambda^{2*,0}(M) \otimes K^{1/2}$  (for  $n$  odd).

**REMARK:** On a Kähler manifold, the Dirac operator corresponds to  $\partial + \partial^*$ .

**COROLLARY:** On a Ricci-flat Kähler manifold, all  $\alpha \in \ker(\partial + \partial^*)|_{\Lambda^{*,0}(M)}$  are parallel.

**REMARK:**  $\ker \partial + \partial^* = \ker \{\partial, \partial^*\}$ , where  $\{\cdot, \cdot\}$  denotes the anticommutator. However,  $\{\partial, \partial^*\} = \{\bar{\partial}, \bar{\partial}^*\}$  as Kähler identities imply. Therefore, on a Kähler manifold, harmonic spinors are holomorphic forms.

**THEOREM: (Bochner's vanishing)** Let  $M$  be a Ricci-flat Kaehler manifold, and  $\Omega \in \Lambda^{p,0}(M)$  a holomorphic differential form. Then  $\nabla \Omega = 0$ .