# **Ergodic complex structures**

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# **Ergodic complex structures**

**DEFINITION:** Let M be a smooth manifold. A complex structure on M is an endomorphism  $I \in \text{End } TM$ ,  $I^2 = -\text{Id}_{TM}$  such that the eigenspace bundles of I are **involutive**, that is, satisfy  $[T^{1,0}M, T^{1,0}M] \subset T^{1,0}M$ .

**REMARK:** Let Comp be the space of such tensors equipped with a topology of convergence of all derivatives. **It is a Fréchet manifold**.

**DEFINITION:** The diffeomorphism group Diff is a Fréchet Lie group acting on Comp in a natural way. A complex structure is called **ergodic** if its Difforbit is dense in Comp.

**REMARK:** The "moduli space" of complex structures (if it exists) is identified with Comp / Diff; **existence of ergodic complex structures guarantees that the moduli space does not exist** (all points are non-separable).

**THEOREM:** Let M be a compact torus, dim<sub> $\mathbb{C}</sub> <math>M \ge 2$ , or a simple hyperkähler manifold. A complex structure on M is ergodic if and only if Pic(M) is not of maximal rank.</sub>

#### Period space as a Grassmannian of positive 2-planes

**PROPOSITION:** The period space

 $\mathbb{P}er := \{l \in \mathbb{P}H^2(M, \mathbb{C}) \mid q(l, l) = 0, q(l, \bar{l}) > 0.\}$ 

is identified with  $Gr_{+,+}(H^2(M,\mathbb{R})) = SO(b_2 - 3,3)/SO(2) \times SO(b_2 - 3,1)$ , which is a Grassmannian of positive oriented 2-planes in  $H^2(M,\mathbb{R})$ .

**Proof. Step 1:** Given  $l \in \mathbb{P}H^2(M, \mathbb{C})$ , the space generated by Im l, Re l is **2-dimensional**, because q(l, l) = 0,  $q(l, \bar{l})$  implies that  $l \cap H^2(M, \mathbb{R}) = 0$ .

Step 2: This 2-dimensional plane is positive, because  $q(\text{Re}l, \text{Re}l) = q(l + \overline{l}, l + \overline{l}) = 2q(l, \overline{l}) > 0$ .

**Step 3:** Conversely, for any 2-dimensional positive plane  $V \in H^2(M, \mathbb{R})$ , **the quadric**  $\{l \in V \otimes_{\mathbb{R}} \mathbb{C} \mid q(l, l) = 0\}$  consists of two lines; a choice of a line is determined by orientation.

#### Birational Teichmüller moduli space

**DEFINITION:** Let *M* be a topological space. We say that  $x, y \in M$  are **non-separable** (denoted by  $x \sim y$ ) if for any open sets  $V \ni x, U \ni y, U \cap V \neq \emptyset$ .

**THEOREM:** (Huybrechts) Two points  $I, I' \in$  Teich are non-separable if and only if there exists a bimeromorphism  $(M, I) \longrightarrow (M, I')$  which is non-singular in codimension 2.

**DEFINITION:** The space Teich<sub>b</sub> := Teich /  $\sim$  is called **the birational Te**ichmüller space of M.

**THEOREM:** The period map  $\text{Teich}_b \xrightarrow{\text{Per}} \mathbb{P}er$  is an isomorphism, for each connected component of  $\text{Teich}_b$ .

**THEOREM:** Let (M, I) be a hyperkähler manifold, and W a connected component of its birational moduli space. Then the set of isomorphism classes of complex holomorphically symplectic structures is identified with  $\mathbb{P}er/\Gamma$ , where  $\mathbb{P}er = SO(b_2 - 3, 3)/SO(2) \times SO(b_2 - 3, 1)$  and  $\Gamma$  is a finite index subgroup in  $O(H^2(M, \mathbb{Z}), q)$ , called the monodromy group.

# **Ergodic complex structures**

**DEFINITION:** Let  $(M, \mu)$  be a space with measure, and G a group acting on M preserving measure. This action is **ergodic** if all G-invariant measurable subsets  $M' \subset M$  satisfy  $\mu(M') = 0$  or  $\mu(M \setminus M') = 0$ .

**CLAIM:** Let M be a manifold,  $\mu$  a Lebesgue measure, and G a group acting on M ergodically. Then the set of non-dense orbits has measure 0.

**Proof. Step 1:** Consider a non-empty open subset  $U \subset M$ . Then  $\mu(U) > 0$ , hence  $M' := G \cdot U$  satisfies  $\mu(M \setminus M') = 0$ . For any orbit  $G \cdot x$  not intersecting  $U, x \in M \setminus M'$ . Therefore the set  $Z_U$  of such orbits has measure 0.

**Proof. Step 2:** Choose a countable base  $\{U_i\}$  of topology on M. Then the set of points with dense orbits is  $M \setminus \bigcup_i Z_{U_i}$ .

**DEFINITION:** Let M be a complex manifold, Teich its Techmüller space, and  $\Gamma$  the mapping group acting on Teich **An ergodic complex structure** is a complex structure with dense  $\Gamma$ -orbit.

### Ergodicity of the monodromy group action

**DEFINITION:** A lattice in a Lie group is a discrete subgroup  $\Gamma \subset G$  such that  $G/\Gamma$  has finite volume with respect to Haar measure.

**THEOREM:** (Calvin C. Moore, 1966) Let  $\Gamma$  be a lattice in a non-compact simple Lie group G with finite center, and  $H \subset G$  a non-compact subgroup. **Then the left action of**  $\Gamma$  **on** G/H **is ergodic.** 

**THEOREM:** Let  $\mathbb{P}$ er be a component of a birational Teichmüller space, and  $\Gamma$  its monodromy group. Let  $\mathbb{P}$ er<sub>e</sub> be a set of all points with dense orbits. **Then**  $Z := \mathbb{P}$ er \  $\mathbb{P}$ er<sub>e</sub> has measure 0.

**Proof:** Let  $G = SO(b_2 - 3, 3)$ ,  $H = SO(2) \times SO(b_2 - 3, 1)$ . Then  $\Gamma_I$ -action on  $G/H = \mathbb{P}$ er is ergodic, by Moore's theorem. Therefore, all orbits outside of a measure 0 set are dense.

**REMARK:** Generic deformation of M has no rational curves, and no nontrivial birational models. Therefore, **outside of a measure zero subset**, Teich = Teich<sub>b</sub>. This implies that **almost all complex structures on** M **are ergodic**.

## Ratner's theorem

**DEFINITION:** Let G be a connected Lie group equipped with a Haar measure. A lattice  $\Gamma \subset G$  is a discrete subgroup of finite covolume (that is,  $G/\Gamma$  has finite volume).

**REMARK: Arithmetic lattices in simple Lie groups have finite covolume** (Borel, Harish-Chandra).

**THEOREM:** Let  $H \subset G$  be a Lie subroup generated by unipotents, and  $\Gamma \subset G$  an arithmetic lattice. Then a closure of any *H*-orbit in  $G/\Gamma$  is an orbit of a closed, connected subgroup  $S \subset G$ , such that  $S \cap \Gamma \subset S$  is a lattice.

**REMARK:** A closure of  $H \cdot x$  in  $G/\Gamma$  and a closure of  $x \cdot \Gamma$  in  $H \setminus G$  are related as follows. Let  $\pi_1 : G \longrightarrow H \setminus G$ ,  $\pi_2 : G \longrightarrow G/\Gamma$  be the projections. Then the connected component of  $\pi_2^{-1}(\overline{H \cdot x}_{G/\Gamma})$  is the connected component of  $\pi_1^{-1}(\overline{x \cdot \Gamma}_{H \setminus G})$ .

**EXAMPLE:** Let V be a real vector space with a non-degenerate bilinear symmetric form of signature (3, k), k > 0,  $G := SO^+(V)$  a connected component

of the isometry group,  $H \subset G$  a subgroup fixing a given positive 2-dimensional plane,  $H \cong SO^+(1,k) \times SO(2)$ , and  $\Gamma \subset G$  an arithmetic lattice. Consider the quotient  $\mathbb{P}$ er := G/H. Then a closure of  $\Gamma \cdot J$  in G/H is  $\Gamma \cdot J \cdot S$ , for some Lie subgroup  $S \supset H$ . Moreover, S = H if and only if the orbit  $\Gamma \cdot J$  is closed.

# **Characterization of ergodic complex structures**

**CLAIM:** Let  $G = SO^+(3,k)$ , and  $H \cong SO^+(1,k) \times SO(2) \subset G$ . Then any closed connected Lie subgroup  $S \subset G$  containing H coincides with G or with H.

**COROLLARY:** Let  $J \in \mathbb{P}$ er = G/H. Then either J is ergodic, or its  $\Gamma$ -orbit is closed in  $\mathbb{P}$ er.

**REMARK:** By Ratner's theorem, in the latter case the *H*-orbit of *J* has finite volume in  $G/\Gamma$ . Therefore, **its intersection with**  $\Gamma$  **is a lattice in** *H*. This brings

**COROLLARY:** Let  $J \in \mathbb{P}$ er be a point, such that its  $\Gamma$ -orbit is closed in  $\mathbb{P}$ er. Consider its stabilizer  $St(J) \cong H \subset G$ . Then  $St(J) \cap \Gamma$  is a lattice in St(J).

**COROLLARY:** Let *J* be a non-ergodic complex structure on a hyperkähler manifold, and  $W \subset H^2(M, \mathbb{R})$  be a plane generated by  $\operatorname{Re}\Omega, \operatorname{Im}\Omega$ . Then *W* is rational.

**REMARK:** This can be used to show that **any hyperkähler manifold is Kobayashi non-hyperbolic.** 

# Kobayashi pseudometric

**REMARK:** The results further on are from a joint paper arXiv:1308.5667 by Ljudmila Kamenova, Steven Lu, Misha Verbitsky.

**DEFINITION:** Pseudometric on M is a function  $d: M \times M \longrightarrow \mathbb{R}^{\geq 0}$  which is symmetric: d(x,y) = d(y,x) and satisfies the triangle inequality  $d(x,y) + d(y,z) \geq d(x,z)$ .

**REMARK:** Let  $\mathfrak{D}$  be a set of pseudometrics. Then  $d_{\max}(x, y) := \sup_{d \in \mathfrak{D}} d(x, y)$  is also a pseudometric.

**DEFINITION:** The Kobayashi pseudometric on a complex manifold M is  $d_{\text{max}}$  for the set  $\mathfrak{D}$  of all pseudometrics such that any holomorphic map from the Poincaré disk to M is distance-non-increasing.

**THEOREM:** Let  $\pi : \mathcal{M} \longrightarrow X$  be a smooth holomorphic family, which is trivialized as a smooth manifold:  $\mathcal{M} = M \times X$ , and  $d_x$  the Kobayashi metric on  $\pi^{-1}(x)$ . Then  $d_x(m, m')$  is upper continuous on x.

**COROLLARY:** Denote the diameter of the Kobayashi pseudometric by  $\operatorname{diam}(d_x) := \sup_{m,m'} d_x(m,m')$ . Then the Kobayashi diameter of a fiber of  $\pi$  is an upper continuous function:  $\operatorname{diam} : X \longrightarrow \mathbb{R}^{\geq 0}$ .

# Vanishing of Kobayashi pseudometric

**THEOREM:** Let (M, I) be a complex manifold with vanishing Kobayashi pseudometric. Then **the Kobayashi pseudometric vanishes for all ergodic complex structures in the same deformation class.** 

**Proof:** Let diam : Comp  $\longrightarrow \mathbb{R}^{\geq 0}$  map a complex structure J to the diameter of the Kobayashi pseudometric on (M, J). Let J be an ergodic complex structure. The set of points  $J' = \nu(J) \in$  Comp such that (M, J') is biholomorphic to (M, J) is dense, because J is ergodic. By upper semi-continuity,  $0 = \operatorname{diam}(I) \geq \inf_{J'=\nu(J)} \operatorname{diam}(J)$ .

**EXAMPLE:** Let M be a projective K3 surface. Then the Kobayashi metric on M vanishes. Since all non-projective K3 are ergodic, the Kobayashi metric vanishes on non-projective K3 surfaces as well.

**THEOREM:** Let M be a compact simple hyperkähler manifold. Assume that a deformation of M admits a holomorphic Lagrangian fibration and the Picard rank of M is not maximal. Then the Kobayashi pseudometric on M vanishes.

**THEOREM:** Let M be a Hilbert scheme of K3. Then the Kobayashi pseudometric on M vanishes.

# Kobayashi hyperbolic manifolds

**DEFINITION:** An entire curve is a non-constant map  $\mathbb{C} \longrightarrow M$ .

**DEFINITION:** A compact complex manifold M is called **Kobayashi hyper-bolic** if there exist no entire curves  $\mathbb{C} \longrightarrow M$ .

# THEOREM: (Brody, 1975)

Let  $I_i$  be a sequence of complex structures on M which are not hyperbolic, and I its limit. Then (M, I) is also not hyperbolic.

# **THEOREM:** All hyperkähler manifolds are non-hyperbolic.

**REMARK: This theorem would follow if we produce an ergodic complex structure which is non-hyperbolic.** Indeed, a closure of its orbit is the whole Teich, and a limit of non-hyperbolic complex structures is nonhyperbolic.

# Twistor spaces and hyperkähler geometry

**DEFINITION:** A hyperkähler structure on a manifold M is a Riemannian structure g and a triple of complex structures I, J, K, satisfying quaternionic relations  $I \circ J = -J \circ I = K$ , such that g is Kähler for I, J, K.

**DEFINITION:** Induced complex structures on a hyperkähler manifold are complex structures of form  $S^2 \cong \{L := aI + bJ + cK, a^2 + b^2 + c^2 = 1.\}$ 

**DEFINITION:** A twistor space Tw(M) of a hyperkähler manifold is a complex manifold obtained by gluing these complex structures into a holomorphic family over  $\mathbb{C}P^1$ . More formally:

Let  $\mathsf{Tw}(M) := M \times S^2$ . Consider the complex structure  $I_m : T_m M \to T_m M$  on M induced by  $J \in S^2 \subset \mathbb{H}$ . Let  $I_J$  denote the complex structure on  $S^2 = \mathbb{C}P^1$ .

The operator  $I_{\mathsf{TW}} = I_m \oplus I_J : T_x \mathsf{Tw}(M) \to T_x \mathsf{Tw}(M)$  satisfies  $I^2_{\mathsf{TW}} = -\operatorname{Id}$ . **It defines an almost complex structure on**  $\mathsf{Tw}(M)$ . This almost complex structure is known to be integrable (Obata).

### **Entire curves in twistor fibers**

# THEOREM: (F. Campana, 1992)

Let *M* be a hyperkähler manifold, and  $Tw(M) \xrightarrow{\pi} \mathbb{C}P^1$  its twistor projection. Then there exists an entire curve in some fiber of  $\pi$ .

# CLAIM: There exists a twistor family which has only ergodic fibers.

**Proof:** There are only countably many complex structures which are not ergodic. ■

### **THEOREM:** All hyperkähler manifolds are non-hyperbolic.

**Proof:** Let  $Tw(M) \rightarrow \mathbb{C}P^1$  be a twistor family with all fibers ergodic. By Campana's theorem, one of these fibers, denoted (M, I), is non-hyperbolic. Since any complex structure  $I' \in$  Teich lies in the closure of  $Diff(M) \cdot I$ , all complex structures  $I' \in$  Teich are non-hyperbolic.