

# **Global Torelli theorem for hyperkähler manifolds**

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## Hyperkähler manifolds

**DEFINITION:** A **hyperkähler structure** on a manifold  $M$  is a Riemannian structure  $g$  and a triple of complex structures  $I, J, K$ , satisfying quaternionic relations  $I \circ J = -J \circ I = K$ , such that  $g$  is Kähler for  $I, J, K$ .

**REMARK:** A hyperkähler manifold **has three symplectic forms**  
 $\omega_I := g(I\cdot, \cdot)$ ,  $\omega_J := g(J\cdot, \cdot)$ ,  $\omega_K := g(K\cdot, \cdot)$ .

**REMARK:** This is equivalent to  $\nabla I = \nabla J = \nabla K = 0$ : the parallel translation along the connection preserves  $I, J, K$ .

**DEFINITION:** Let  $M$  be a Riemannian manifold,  $x \in M$  a point. The subgroup of  $GL(T_x M)$  generated by parallel translations (along all paths) is called **the holonomy group** of  $M$ .

**REMARK:** A hyperkähler manifold can be defined as a manifold which **has holonomy in  $Sp(n)$**  (the group of all endomorphisms preserving  $I, J, K$ ).

## Holomorphically symplectic manifolds

**DEFINITION:** A holomorphically symplectic manifold is a complex manifold equipped with non-degenerate, holomorphic  $(2, 0)$ -form.

**REMARK:** Hyperkähler manifolds are holomorphically symplectic. Indeed,  $\Omega := \omega_J + \sqrt{-1} \omega_K$  is a holomorphic symplectic form on  $(M, I)$ .

**THEOREM:** (Calabi-Yau) A compact, Kähler, holomorphically symplectic manifold admits a unique hyperkähler metric in any Kähler class.

**DEFINITION:** For the rest of this talk, **a hyperkähler manifold is a compact, Kähler, holomorphically symplectic manifold.**

**DEFINITION:** A hyperkähler manifold  $M$  is called **simple** if  $\pi_1(M) = 0$ ,  $H^{2,0}(M) = \mathbb{C}$ .

**Bogomolov's decomposition:** Any hyperkähler manifold admits a finite covering which is a product of a torus and several simple hyperkähler manifolds.

**Further on, all hyperkähler manifolds are assumed to be simple.**

**EXAMPLES.**

**EXAMPLE:** An even-dimensional complex vector space.

**EXAMPLE:** An even-dimensional complex torus.

**EXAMPLE: A non-compact example:**  $T^*\mathbb{C}P^n$  (Calabi).

**REMARK:**  $T^*\mathbb{C}P^1$  is a resolution of a singularity  $\mathbb{C}^2/\pm 1$ .

**EXAMPLE:** Take a 2-dimensional complex torus  $T$ , then the singular locus of  $T/\pm 1$  is of form  $(\mathbb{C}^2/\pm 1) \times T$ . Its resolution  $T/\pm 1$  is called **a Kummer surface**. **It is holomorphically symplectic.**

**REMARK:** Take a symmetric square  $\text{Sym}^2 T$ , with a natural action of  $T$ , and let  $T^{[2]}$  be a blow-up of a singular divisor. **Then  $T^{[2]}$  is naturally isomorphic to the Kummer surface  $T/\pm 1$ .**

**DEFINITION:** A complex surface is called **K3 surface** if it is a deformation of the Kummer surface.

**THEOREM: (a special case of Enriques-Kodaira classification)**

Let  $M$  be a compact complex surface which is hyperkähler. **Then  $M$  is either a torus or a K3 surface.**

## Hilbert schemes

**DEFINITION:** A **Hilbert scheme**  $M^{[n]}$  of a complex surface  $M$  is a classifying space of all ideal sheaves  $I \subset \mathcal{O}_M$  for which the quotient  $\mathcal{O}_M/I$  has dimension  $n$  over  $\mathbb{C}$ .

**REMARK:** A Hilbert scheme **is obtained as a resolution of singularities** of the symmetric power  $\text{Sym}^n M$ .

**THEOREM:** (Fujiki, Beauville) **A Hilbert scheme of a hyperkähler surface is hyperkähler.**

**EXAMPLE:** **A Hilbert scheme of K3** is hyperkähler.

**EXAMPLE:** Let  $T$  be a torus. Then it acts on its Hilbert scheme freely and properly by translations. For  $n = 2$ , the quotient  $T^{[n]}/T$  is a Kummer K3-surface. For  $n > 2$ , a universal covering of  $T^{[n]}/T$  is called **a generalized Kummer variety**.

**REMARK:** There are 2 more “sporadic” examples of compact hyperkähler manifolds, constructed by K. O’Grady. **All known compact hyperkaehler manifolds are these 2 and the three series:** tori, Hilbert schemes of K3, and generalized Kummer.

## The Teichmüller space and the mapping class group

**Definition:** Let  $M$  be a compact complex manifold, and  $\text{Diff}_0(M)$  a connected component of its diffeomorphism group (**the group of isotopies**). Denote by  $\widetilde{\text{Teich}}$  the space of complex structures on  $M$ , and let  $\text{Teich} := \widetilde{\text{Teich}} / \text{Diff}_0(M)$ . We call it **the Teichmüller space**.

**Remark:**  $\text{Teich}$  is a **finite-dimensional complex space** (Kodaira-Spencer-Kuranishi-Douady), but often **non-Hausdorff**.

**Definition:** Let  $\text{Diff}_+(M)$  be the group of oriented diffeomorphisms of  $M$ . We call  $\Gamma := \text{Diff}_+(M) / \text{Diff}_0(M)$  **the mapping class group**. The **coarse moduli space of complex structures on  $M$**  is a connected component of  $\text{Teich} / \Gamma$ .

**Remark:** This terminology is **standard for curves**.

**REMARK:** For hyperkähler manifolds, it is convenient to take for  $\text{Teich}$  **the space of all complex structures of hyperkähler type**, that is, **holomorphically symplectic and Kähler**. It is open in the usual Teichmüller space.

**REMARK:** To describe the moduli space, we shall compute  $\text{Teich}$  and  $\Gamma$ .

## The Bogomolov-Beauville-Fujiki form

**THEOREM:** (Fujiki). Let  $\eta \in H^2(M)$ , and  $\dim M = 2n$ , where  $M$  is hyperkähler. Then  $\int_M \eta^{2n} = c q(\eta, \eta)^n$ , for some primitive integer quadratic form  $q$  on  $H^2(M, \mathbb{Z})$ , and  $c > 0$  an integer number.

**Definition:** This form is called **Bogomolov-Beauville-Fujiki form**. It is defined by the Fujiki's relation uniquely, up to a sign. The sign is determined from the following formula (Bogomolov, Beauville)

$$\lambda q(\eta, \eta) = \int_X \eta \wedge \eta \wedge \Omega^{n-1} \wedge \overline{\Omega}^{n-1} - \frac{n-1}{n} \left( \int_X \eta \wedge \Omega^{n-1} \wedge \overline{\Omega}^n \right) \left( \int_X \eta \wedge \Omega^n \wedge \overline{\Omega}^{n-1} \right)$$

where  $\Omega$  is the holomorphic symplectic form, and  $\lambda > 0$ .

**Remark:**  $q$  has signature  $(b_2 - 3, 3)$ . It is negative definite on primitive forms, and positive definite on  $\langle \Omega, \overline{\Omega}, \omega \rangle$ , where  $\omega$  is a Kähler form.

## Automorphisms of cohomology.

**THEOREM:** Let  $M$  be a simple hyperkähler manifold, and  $G \subset GL(H^*(M))$  a group of automorphisms of its cohomology algebra preserving the Pontryagin classes. Then  $G$  acts on  $H^2(M)$  **preserving the BBF form**. Moreover, the map  $G \rightarrow O(H^2(M, \mathbb{R}), q)$  **is surjective on a connected component, and has compact kernel**.

**Proof. Step 1:** Fujiki formula  $v^{2n} = q(v, v)^n$  implies that  $\Gamma_0$  **preserves the Bogomolov-Beauville-Fujiki up to a sign**. The sign is fixed, if  $n$  is odd.

**Step 2:** For even  $n$ , the sign is also fixed. Indeed,  $G$  preserves  $p_1(M)$ , and (as Fujiki has shown)  $v^{2n-2} \wedge p_1(M) = q(v, v)^{n-1}c$ , for some  $c \in \mathbb{R}$ . The constant  $c$  is positive, **because the degree of  $c_2(B)$  is positive** for any Yang-Mills bundle with  $c_1(B) = 0$ .

**Step 3:**  $\mathfrak{o}(H^2(M, \mathbb{R}), q)$  acts on  $H^*(M, \mathbb{R})$  by derivations preserving Pontryagin classes (V., 1995). Therefore  $\text{Lie}(G)$  surjects to  $\mathfrak{o}(H^2(M, \mathbb{R}), q)$ .

**Step 4:** **The kernel  $K$  of the map  $G \rightarrow G|_{H^2(M, \mathbb{R})}$  is compact**, because it commutes with the Hodge decomposition and Lefschetz  $\mathfrak{sl}(2)$ -action, hence preserves the Riemann-Hodge form, which is positive definite. ■



## Computation of the mapping class group

**Theorem:** (Sullivan) Let  $M$  be a compact, simply connected Kähler manifold,  $\dim_{\mathbb{C}} M \geq 3$ . Denote by  $\Gamma_0$  the group of automorphisms of an algebra  $H^*(M, \mathbb{Z})$  preserving the Pontryagin classes  $p_i(M)$ . Then **the natural map  $\text{Diff}_+(M)/\text{Diff}_0 \rightarrow \Gamma_0$  has finite kernel, and its image has finite index in  $\Gamma_0$ .**

**Theorem:** Let  $M$  be a simple hyperkähler manifold, and  $\Gamma_0$  as above. Then

- (i)  $\Gamma_0|_{H^2(M, \mathbb{Z})}$  **is a finite index subgroup of  $O(H^2(M, \mathbb{Z}), q)$ .**
- (ii) The map  $\Gamma_0 \rightarrow O(H^2(M, \mathbb{Z}), q)$  **has finite kernel.**

**Proof:** Follows from Sullivan and a computation of  $\text{Aut}(H^*(M, \mathbb{R}))$  done earlier. ■

**REMARK:** (Kollar-Matsusaka, Huybrechts) **There are only finitely many connected components** of Teich.

**REMARK:** The mapping class group acts on the set of connected components of Teich.

**COROLLARY:** Let  $\Gamma_I$  be the group of elements of mapping class group preserving a connected component of Teichmüller space containing  $I \in \text{Teich}$ . **Then  $\Gamma_I$  is also arithmetic.** Indeed, **it has finite index in  $\Gamma$ .**

**Deformations of holomorphically symplectic manifolds.**

**THEOREM:** (Kodaira) **A small deformation of a compact Kähler manifold is again Kähler.**

**COROLLARY:** A small deformation of a holomorphically symplectic Kähler manifold  $M$  **is again holomorphically symplectic.**

**Proof:** A small deformation  $M'$  of  $M$  would satisfy  $H^{2,0}(M') = H^{2,0}(M)$ , however, a small deformation of a non-degenerate  $(2,0)$ -form remains non-degenerate. ■

**COROLLARY:** **Small deformations of hyperkähler manifolds are hyperkähler.**

**REMARK:** By **the moduli** of hyperkähler manifolds we shall understand the deformation space of complex manifolds admitting holomorphically symplectic and Kähler structure.

## The period map

**Remark:** For any  $J \in \text{Teich}$ ,  $(M, J)$  is also a simple hyperkähler manifold, hence  $H^{2,0}(M, J)$  is one-dimensional.

**Definition:** Let  $P : \text{Teich} \rightarrow \mathbb{P}H^2(M, \mathbb{C})$  map  $J$  to a line  $H^{2,0}(M, J) \in \mathbb{P}H^2(M, \mathbb{C})$ . The map  $P : \text{Teich} \rightarrow \mathbb{P}H^2(M, \mathbb{C})$  is called **the period map**.

**REMARK:**  $P$  maps  $\text{Teich}$  into an open subset of a quadric, defined by

$$\text{Per} := \{l \in \mathbb{P}H^2(M, \mathbb{C}) \mid q(l, l) = 0, q(l, \bar{l}) > 0\}.$$

It is called **the period space** of  $M$ .

**REMARK:**  $\text{Per} = SO(b_2 - 3, 3)/SO(2) \times SO(b_2 - 3, 1)$

**THEOREM:** Let  $M$  be a simple hyperkähler manifold, and  $\text{Teich}$  its Teichmüller space. Then

- (i) (Bogomolov) **The period map  $P : \text{Teich} \rightarrow \text{Per}$  is étale.**
- (ii) (Huybrechts) It is **surjective**.

**REMARK:** Bogomolov's theorem implies that  $\text{Teich}$  is smooth. It is **non-Hausdorff** even in the simplest examples.

## Hausdorff reduction

**REMARK:** A **non-Hausdorff manifold** is a topological space locally diffeomorphic to  $\mathbb{R}^n$ .

**DEFINITION:** Let  $M$  be a topological space. We say that  $x, y \in M$  are **non-separable** (denoted by  $x \sim y$ ) if for any open sets  $V \ni x, U \ni y, U \cap V \neq \emptyset$ .

**THEOREM:** (D. Huybrechts) If  $I_1, I_2 \in \text{Teich}$  are non-separable points, then  $P(I_1) = P(I_2)$ , and  $(M, I_1)$  **is birationally equivalent** to  $(M, I_2)$

**DEFINITION:** Let  $M$  be a topological space for which  $M/\sim$  is Hausdorff. Then  $M/\sim$  is called **a Hausdorff reduction** of  $M$ .

### Problems:

1.  $\sim$  **is not always an equivalence relation.**
2. **Even if  $\sim$  is equivalence, the  $M/\sim$  is not always Hausdorff.**

**REMARK:** A quotient  $M/\sim$  is Hausdorff, if  $M \rightarrow M/\sim$  is open, and the graph  $\Gamma_{\sim} \in M \times M$  is closed.

## Weakly Hausdorff manifolds

**DEFINITION:** A point  $x \in X$  is called **Hausdorff** if  $x \not\sim y$  for any  $y \neq x$ .

**DEFINITION:** Let  $M$  be an  $n$ -dimensional real analytic manifold, not necessarily Hausdorff. Suppose that **the set  $Z \subset M$  of non-Hausdorff points is contained in a countable union of real analytic subvarieties of codim  $\geq 2$ .** Suppose, moreover, that

(S) For every  $x \in M$ , there is a closed neighbourhood  $B \subset M$  of  $x$  and a continuous surjective map  $\Psi : B \rightarrow \mathbb{R}^n$  to a closed ball in  $\mathbb{R}^n$ , **inducing a homeomorphism** on an open neighbourhood of  $x$ .

Then  $M$  is called **a weakly Hausdorff manifold**.

**REMARK:** The period map satisfies (S). Also, the non-Hausdorff points of Teich **are contained in a countable union of divisors**.

**THEOREM:** A **weakly Hausdorff manifold  $X$  admits a Hausdorff reduction**. In other words, the quotient  $X/\sim$  is a Hausdorff. Moreover,  $X \rightarrow X/\sim$  is locally a homeomorphism.

This theorem is proven using 1920-ies style point-set topology.

## Birational Teichmüller moduli space

**DEFINITION:** The space  $\text{Teich}_b := \text{Teich} / \sim$  is called **the birational Teichmüller space** of  $M$ .

**THEOREM: The period map**  $\text{Teich}_b \xrightarrow{\text{Per}} \mathbb{P}er$  **is an isomorphism**, for each connected component of  $\text{Teich}_b$ .

The proof is based on two results.

**PROPOSITION: (The Covering Criterion)** Let  $X \xrightarrow{\varphi} Y$  be an étale map of smooth manifolds. Suppose that each  $y \in Y$  has a neighbourhood  $B \ni y$  diffeomorphic to a closed ball, such that for each connected component  $B' \subset \varphi^{-1}(B)$ ,  $B'$  projects to  $B$  surjectively. **Then  $\varphi$  is a covering.**

**PROPOSITION: The period map satisfies the conditions of the Covering Criterion.**

## Global Torelli theorem

**DEFINITION:** Let  $M$  be a hyperkaehler manifold,  $\text{Teich}_b$  its birational Teichmüller space, and  $\Gamma$  the mapping class group. The quotient  $\text{Teich}_b/\Gamma$  is called **the birational moduli space** of  $M$ .

**REMARK:** The birational moduli space is obtained from the usual moduli space **by gluing some (but not all) non-separable points. It is still non-Hausdorff.**

**THEOREM:** Let  $(M, I)$  be a hyperkähler manifold, and  $W$  a connected component of its birational moduli space. **Then  $W$  is isomorphic to  $\mathbb{P}\text{er}/\Gamma_I$ , where  $\mathbb{P}\text{er} = SO(b_2 - 3, 3)/SO(2) \times SO(b_2 - 3, 1)$  and  $\Gamma_I$  is an arithmetic group in  $O(H^2(M, \mathbb{R}), q)$ .**

**A CAUTION:** Usually “the global Torelli theorem” is understood as a theorem about Hodge structures. For K3 surfaces, **the Hodge structure on  $H^2(M, \mathbb{Z})$  determines the complex structure.** For  $\dim_{\mathbb{C}} M > 2$ , **it is false.**

## The birational Hodge-theoretic Torelli theorem

**DEFINITION:** The birational Hodge-theoretic Torelli theorem is true for  $M$  if  $\Gamma_I$  (the stabilizer of a Torelli component in the mapping class group) is isomorphic to  $O^+(H^2(M, \mathbb{Z}), q)$ .

**REMARK:** If a birational Hodge-theoretic Torelli theorem holds for  $M$ , then any deformation of  $M$  is up to a bimeromorphic equivalence **determined by the Hodge structure on  $H^2(M)$** .

**THEOREM:** (Markman) The for  $M = K3^{[n]}$ , the group  $\Gamma_I$  **is a subgroup of  $O^+(H^2(M, \mathbb{Z}), q)$  generated by oriented reflections**.

**THEOREM:** Let  $M = K3^{[n+1]}$  with  $n$  a prime power. Then the (usual) global Torelli theorem holds birationally: **two deformations of a Hilbert scheme with isomorphic Hodge structures are bimeromorphic**. **For other  $n$ , it is false** (Markman).