# **Riemann surfaces**

lecture 5: conformal structures

Misha Verbitsky

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## **Riemannian manifolds (reminder)**

**DEFINITION:** Let  $h \in \text{Sym}^2 T^*M$  be a symmetric 2-form on a manifold which satisfies h(x,x) > 0 for any non-zero tangent vector x. Then h is called **Riemannian metric**, of **Riemannian structure**, and (M,h) **Riemannian manifold**.

**DEFINITION:** For any  $x.y \in M$ , and any path  $\gamma$ :  $[a,b] \longrightarrow M$  connecting x and y, consider **the length** of  $\gamma$  defined as  $L(\gamma) = \int_{\gamma} |\frac{d\gamma}{dt}| dt$ , where  $|\frac{d\gamma}{dt}| = h(\frac{d\gamma}{dt}, \frac{d\gamma}{dt})^{1/2}$ . Define **the geodesic distance** as  $d(x,y) = \inf_{\gamma} L(\gamma)$ , where infimum is taken for all paths connecting x and y.

**EXERCISE:** Prove that the geodesic distance satisfies triangle inequality and defines metric on M.

**EXERCISE:** Prove that this metric induces the standard topology on M.

**EXAMPLE:** Let  $M = \mathbb{R}^n$ ,  $h = \sum_i dx_i^2$ . Prove that the geodesic distance coincides with d(x, y) = |x - y|.

**EXERCISE:** Using partition of unity, **prove that any manifold admits a Riemannian structure.** 

# Hermitian structures (reminder)

**DEFINITION:** A Riemannia metric *h* on an almost complex manifold is called **Hermitian** if h(x, y) = h(Ix, Iy).

**REMARK:** Given any Riemannian metric g on an almost complex manifold, a Hermitian metric h can be obtained as h = g + I(g), where I(g)(x, y) = g(I(x), I(y)).

**REMARK:** Let *I* be a complex structure operator on a real vector space *V*, and *g* – a Hermitian metric. Then **the bilinear form**  $\omega(x,y) := g(x,Iy)$ is skew-symmetric. Indeed,  $\omega(x,y) = g(x,Iy) = g(Ix,I^2y) = -g(Ix,y) = -\omega(y,x)$ .

**DEFINITION:** A skew-symmetric form  $\omega(x, y)$  is called **an Hermitian form** on (V, I).

**REMARK:** In the triple  $I, g, \omega$ , each element can recovered from the other two.

# **Conformal structure (reminder)**

**DEFINITION:** Let h, h' be Riemannian structures on M. These Riemannian structures are called **conformally equivalent** if h' = fh, where f is a positive smooth function.

**DEFINITION: Conformal structure** on *M* is a class of conformal equivalence of Riemannian metrics.

**CLAIM:** Let *I* be an almost complex structure on a 2-dimensional Riemannian manifold, and h, h' two Hermitian metrics. Then *h* and *h'* are conformally equivalent. Conversely, any metric conformally equivalent to Hermitian is Hermitian.

**REMARK:** The last statement is clear from the definition, and true in any dimension.

To prove that two Hermitian metrics are equivalent, we need to consider the standard U(1)-action on a complex vector space (see the next slide).

# **Standard** U(1)-action

**DEFINITION:** Let (V, I) be a real vector space equipped with a complex structure, U(1) the group of unit complex numbers,  $U(1) = e^{\sqrt{-1}\pi t}$ ,  $t \in \mathbb{R}$ . Define the action of U(1) on V as follows:  $\rho(t) = e^{tI}$ . This is called **the standard** U(1)-action on a complex vector space. To prove that this formula defines an action if  $U(1) = \mathbb{R}/2\pi\mathbb{Z}$ , it suffices to show that  $e^{2\pi I} = 1$ , which is clear from the eigenvalue decomposition of I.

**CLAIM:** Let (V, I, h) be a Hermitian vector space, and  $\rho$ :  $U(1) \longrightarrow GL(V)$  the standard U(1)-action. Then h is U(1)-invariant.

**Proof:** It suffices to show that  $\frac{d}{dt}(h(\rho(t)x,\rho(t)x) = 0$ . However,  $\frac{d}{dt}e^{tI}(x)|_{t=t_0} = I(e^{t_0I}(x))$ , hence

$$\frac{d}{dt}(h(\rho(t)x,\rho(t)x)) = h(I(\rho(t)x),\rho(t)x) + h(\rho(t)x,I(\rho(t)x)) = 2\omega(x,x) = 0.$$

#### Hermitian metrics in $\dim_{\mathbb{R}} = 2$ .

**COROLLARY:** Let h, h' be Hermitian metrics on a space (V, I) of real dimension 2. Then h and h' are proportional.

**Proof:** *h* and *h'* are constant on any U(1)-orbit. Multiplying *h'* by a constant, we may assume that h = h' on a U(1)-orbit U(1)x. Then h = h' everywhere, because **for each non-zero vector**  $v \in V$ ,  $tv \in U(1)x$  **for some**  $t \in \mathbb{R}$ , **giving**  $h(v,v) = t^{-2}h(tv,tv) = t^{-2}h'(tv,tv) = h'(v,v)$ .

**DEFINITION:** Given two Hermitian forms h, h' on (V, I), with dim<sub> $\mathbb{R}$ </sub> V = 2, we denote by  $\frac{h'}{h}$  a constant t such that h' = th.

**CLAIM:** Let *I* be an almost complex structure on a 2-dimensional Riemannian manifold, and h, h' two Hermitian metrics. Then *h* and *h'* are conformally equivalent.

**Proof:**  $h' = \frac{h'}{h}h$ .

**EXERCISE:** Prove that Riemannian structure on M is uniquely defined by its conformal class and its Riemannian volume form.

#### **Conformal structures and almost complex structures**

**REMARK:** The following theorem implies that almost complex structures on a 2-dimensional oriented manifold are equivalent to conformal structures.

**THEOREM:** Let *M* be a 2-dimensional oriented manifold. Given a complex structure *I*, let  $\nu$  be the conformal class of its Hermitian metric (it is unique as shown above). Then  $\nu$  determines *I* uniquely.

**Proof:** Choose a Riemannian structure h compatible with the conformal structure  $\nu$ . Since M is oriented, the group SO(2) = U(1) acts in its tangent bundle in a natural way:  $\rho : U(1) \longrightarrow GL(TM)$ . Rescaling h does not change this action, hence it is determined by  $\nu$ . Now, define I as  $\rho(\sqrt{-1})$ ; then  $I^2 = \rho(-1) = -\operatorname{Id}$ . Since U(1) acts by isometries, this almost complex structure is compatible with h and with  $\nu$ .

DEFINITION: A Riemann surface is a complex manifold of dimension 1, or (equivalently) an oriented 2-manifold equipped with a conformal structure. A map from one Riemann surface to another is holomorphic if and only if it preserves the conformal structure.

#### Homogeneous spaces

**DEFINITION:** A Lie group is a smooth manifold equipped with a group structure such that the group operations are smooth. Lie group G acts on a manifold M if the group action is given by the smooth map  $G \times M \longrightarrow M$ .

**DEFINITION:** Let *G* be a Lie group acting on a manifold *M* transitively. Then *M* is called **a homogeneous space**. For any  $x \in M$  the subgroup  $St_x(G) = \{g \in G \mid g(x) = x\}$  is called **stabilizer of a point** *x*, or **isotropy subgroup**.

**CLAIM:** For any homogeneous manifold M with transitive action of G, one has M = G/H, where  $H = St_x(G)$  is an isotropy subgroup.

**Proof:** The natural surjective map  $G \longrightarrow M$  putting g to g(x) identifies M with the space of conjugacy classes G/H.

**REMARK:** Let g(x) = y. Then  $St_x(G)^g = St_y(G)$ : all the isotropy groups are conjugate.

#### **Isotropy representation**

**DEFINITION:** Let M = G/H be a homogeneous space,  $x \in M$  and  $St_x(G)$  the corresponding stabilizer group. The **isotropy representation** is the natural action of  $St_x(G)$  on  $T_xM$ .

**DEFINITION:** A tensor  $\Phi$  on a homogeneous manifold M = G/H is called **invariant** if it is mapped to itself by all diffeomorphisms which come from  $g \in G$ .

**REMARK:** Let  $\Phi_x$  be an isotropy invariant tensor on  $St_x(G)$ . For any  $y \in M$  obtained as y = g(x), consider the tensor  $\Phi_y$  on  $T_yM$  obtained as  $\Phi_y := g(\Phi)$ . The choice of g is not unique, however, for another  $g' \in G$  which satisfies g'(x) = y, we have g = g'h where  $h \in St_x(G)$ . Since  $\Phi$  is h-invariant, the tensor  $\Phi_y$  is independent from the choice of g.

We proved

**THEOREM:** Homogeneous tensors on M = G/H are in bijective correspondence with isotropy invariant tensors on  $T_xM$ , for any  $x \in M$ .

# **Space forms**

**DEFINITION: Simply connected space form** is a homogeneous manifold of one of the following types:

**positive curvature:**  $S^n$  (an *n*-dimensional sphere), equipped with an action of the group SO(n+1) of rotations

**zero curvature:**  $\mathbb{R}^n$  (an *n*-dimensional Euclidean space), equipped with an action of isometries

**negative curvature:** SO(1,n)/SO(n), equipped with the natural SO(1,n)-action. This space is also called **hyperbolic space**, and in dimension 2 **hyperbolic plane** or **Poincaré plane** or **Bolyai-Lobachevsky plane** 

#### **Riemannian metric on space forms**

**LEMMA:** Let G = SO(n) act on  $\mathbb{R}^n$  in a natural way. Then there exists a unique *G*-invariant symmetric 2-form, the standard Euclidean.

**Proof:** Let g, g' be two *G*-invariant symmetric 2-forms. Since  $S^{n-1}$  is an orbit of *G*, we have g(x,x) = g(y,y) for any  $x, y \in S^{n-1}$ . Multiplying g' by a constant, we may assume that g(x,x) = g'(x,x) for any  $x \in S^{n-1}$ . Then  $g(\lambda x, \lambda x) = g'(\lambda x, \lambda x)$  for any  $x \in S^{n-1}$ ,  $\lambda \in \mathbb{R}$ ; however, all vectors can be written as  $\lambda x$ .

**COROLLARY:** Let M = G/H be a simply connected space form. Then M admits a unique, up to a constant multiplier, G-invariant Riemannian form.

**Proof:** The isotropy group is SO(n-1) in all three cases, and the previous lemma can be applied.

**REMARK:** From now on, all space forms are assumed to be homogeneous Riemannian manifolds.

# Some low-dimensional Lie group isomorphisms

**DEFINITION:** Lie algebra of a Lie group G is the Lie algebra Lie(G) of leftinvariant vector fields. Adjoint representation of G is the standard action of G on Lie(G). For a Lie group G = GL(n), SL(n), etc., PGL(n), PSL(n), etc. denote the image of G in GL(Lie(G)) with respect to the adjoint action.

**REMARK:** This is the same as a quotient G/Z by the centre of G.

**DEFINITION:** Define SO(1,2) as the group of orthogonal matrices on a 3-dimensional space equipped with a scalar product of signature (1,2), and U(1,1) as the group of complex linear maps  $\mathbb{C}^2 \to \mathbb{C}^2$  preserving a pseudio-Hermitian form of signature (1,1).

**THEOREM:** The groups PU(1,1),  $PSL(2,\mathbb{R})$  and SO(1,2) are isomorphic.

**Proof:** Isomorphism PU(1,1) = SO(1,2) will be established later in this lecture. To see  $PSL(2,\mathbb{R}) \cong SO(1,2)$ , consider the Killing form  $\kappa$  on the Lie algebra  $\mathfrak{sl}(2,\mathbb{R})$ ,  $a,b \to \operatorname{Tr}(ab)$ . Check that it has signature (1,2). Then the image of  $SL(2,\mathbb{R})$  in automorphisms of its Lie algebra is mapped to  $SO(\mathfrak{sl}(2,\mathbb{R}),\kappa) = SO(1,2)$ . Both groups are 3-dimensional, hence it is an isomorphism.

#### Poincaré-Koebe uniformization theorem

**DEFINITION:** A **Riemannian manifold of constant curvature** is a Riemannian manifold which is locally isomorphic to a space form.

**THEOREM:** (Poincaré-Koebe uniformization theorem) Let *M* be a Riemann surface. Then *M* admits a unique complete metric of constant curvature in the same conformal class.

**COROLLARY:** Any Riemann surface is a quotient of a space form X by a discrete group of isometries  $\Gamma \subset Iso(X)$ .

COROLLARY: Any simply connected Riemann surface is conformally equivalent to a space form.

**REMARK:** We shall prove some cases of the uniformization theorem in later lectures.

Today's subject: classify conformal automorphisms of all space forms.

#### Laurent power series

# **THEOREM:** (Laurent theorem)

Let f be a holomorphic function on an annulus (that is, a ring)

 $R = \{ z \mid \alpha < |z| < \beta \}.$ 

Then f can be expressed as a Laurent power series  $f(z) = \sum_{i \in \mathbb{Z}} z^i a_i$  converging in R.

**Proof:** Same as Cauchy formula. ■

**REMARK:** This theorem remains valid if  $\alpha = 0$  and  $\beta = \infty$ .

**REMARK: A function**  $\varphi$  :  $\mathbb{C}^* \longrightarrow \mathbb{C}$  uniquely determines its Laurent power series. Indeed, residue of  $z^k \varphi$  in 0 is  $\sqrt{-1} 2\pi a_{-k-1}$ .

**REMARK:** Let  $\varphi : \mathbb{C}^* \longrightarrow \mathbb{C}$  be a holomorphic function, and  $\varphi = \sum_{i \in \mathbb{Z}} z^i a_i$ its Laurent power series. Then  $\psi(z) := \varphi(z^{-1})$  has Laurent polynomial  $\psi = \sum_{i \in \mathbb{Z}} z^{-i} a_i$ .

#### Affine coordinates on $\mathbb{C}P^1$

**DEFINITION:** We identify  $\mathbb{C}P^1$  with the set of pairs x : y defined up to equivalence  $x : y \sim \lambda x : \lambda y$ , for each  $\lambda \in \mathbb{C}^*$ . Affine coordinates are 1 : z for  $x \neq 0$ , z = y/x and z : 1 for  $y \neq 0$ , z = x/y. The corresponding gluing functions are given by the map  $z \longrightarrow z^{-1}$ .

**DEFINITION:** Meromorphic function is a quotient f/g, where f,g are holomorphic and  $g \neq 0$ .

**REMARK:** A holomorphic map  $\mathbb{C} \longrightarrow \mathbb{C}P^1$  is the same as a pair of maps f:g up to equivalence  $f:g \sim fh:gh$ . In other words, holomorphic maps  $\mathbb{C} \longrightarrow \mathbb{C}P^1$  are identified with meromorphic functions on  $\mathbb{C}$ .

**REMARK:** In homogeneous coordinates, an element  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in PSL(2, \mathbb{C})$  acts as  $x : y \longrightarrow ax + by : cx + dy$ . Therefore, in affine coordinates it acts as  $z \longrightarrow \frac{az+b}{cz+d}$ .

#### **Möbius transforms**

**DEFINITION:** Möbius transform is a conformal (that is, holomorphic) diffeomorphism of  $\mathbb{C}P^1$ .

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REMARK: The group PGL(2, \mathbb{C}) acts on \mathbb{C}P^1 holomorphially.
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The following theorem will be proven later in this lecture.

**THEOREM:** The natural map from  $PGL(2, \mathbb{C})$  to the group of Möbius transforms is an isomorphism.

**Claim 1:** Let  $\varphi$  :  $\mathbb{C}P^1 \longrightarrow \mathbb{C}P^1$  be a holomorphic automorphism,  $\varphi_0$  :  $\mathbb{C} \longrightarrow \mathbb{C}P^1$  its restriction to the chart z : 1, and  $\varphi_{\infty}$  :  $\mathbb{C} \longrightarrow \mathbb{C}P^1$  its restriction 1 : z. We consider  $\varphi_0$ ,  $\varphi_{\infty}$  as meromorphic functions on  $\mathbb{C}$ . Then  $\varphi_{\infty} = \varphi_0(z^{-1})^{-1}$ .

# Möbius transforms and $PGL(2, \mathbb{C})$

# **THEOREM:** The natural map from $PGL(2,\mathbb{C})$ to the group $Aut(\mathbb{C}P^1)$ of Möbius transforms is an isomorphism.

**Proof.** Step 1: Let  $\varphi \in Aut(\mathbb{C}P^1)$ . Since  $PSL(2,\mathbb{C})$  acts transitively on pairs of points  $x \neq y$  in  $\mathbb{C}P^1$ , by composing  $\varphi$  with an appropriate element in  $PGL(2,\mathbb{C})$  we can assume that  $\varphi(0) = 0$  and  $\varphi(\infty = \infty)$ . This means that we may consider the restrictions  $\varphi_0$  and  $\varphi_\infty$  of  $\varphi$  to the affine charts as a holomorphic functions on these charts,  $\varphi_0, \varphi_\infty : \mathbb{C} \longrightarrow \mathbb{C}$ .

Step 2: Let 
$$\varphi_0 = \sum_{i>0} a_i z^i$$
,  $a_1 \neq 0$ . Claim 1 gives  
 $\varphi_{\infty}(z) = \varphi_0(z^{-1})^{-1} = a_1 z (1 + \sum_{i \ge 2} \frac{a_i}{a_1} z^{-i})^{-1}.$ 

Unless  $a_i = 0$  for all  $i \ge 2$ , this Laurent series has singularities in 0 and cannot be holomorphic. Therefore  $\varphi_0$  is a linear function, and it belongs to  $PGL(2,\mathbb{C})$ .

**Lemma 1:** Let  $\varphi$  be a Möbius transform fixing  $\infty \in \mathbb{C}P^1$ . Then  $\varphi(z) = az + b$ for some  $a, b \in \mathbb{C}$  and all  $z = z : 1 \in \mathbb{C}P^1$ . **Proof:** Let  $A \in PGL(2,\mathbb{C})$  be a map acting on  $\mathbb{C} = \mathbb{C}P^1 \setminus \infty$  as parallel transport mapping  $\varphi(0)$  to 0. Then  $\varphi \circ A$  is a Moebius transform which fixes  $\infty$ 

and 0. As shown in Step 2 above, it is a linear function. ■